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Visible Light Wireless Communications

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Department of ECE Indian Institute of Science, Bangalore

> Tutorial in SPCOM 2016 IISc, Bangalore 12 June 2016



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Wireless spectrum

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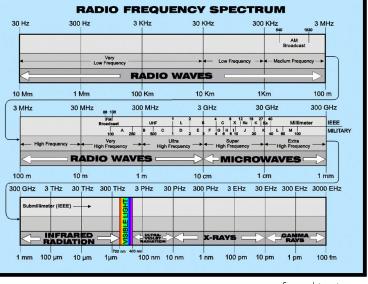
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Optical wireless

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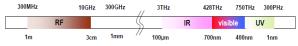
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- Optical wireless communication (OWC)
 - promising complementary technology for RF communication (RFC) technology
 - information conveyed via optical radiation in free space
 - wavelengths of interest
 - infrared to ultraviolet
 - includes visible light wavelengths (380 to 780 nm)



Source: www.ieee802.org/15

- Visible light communication (VLC)
 - communications using visible light spectrum
 - abundant VLC spectrum (~ 300 THz bandwidth)
 - multi-gigabit rates over short distances
 - LEDs as transmitters and photo diodes (PD) as receivers

VLC: Pros and Cons

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• Pros

- low power, low cost devices (LEDs, PDs)
- no spectrum cost
- no RF radiation issues
- inherent security in closed-room applications
- simultaneous data transmission and lighting
 - VLC technology rides along with efficient white LED lighting technology
- MIMO and OFDM techniques
 - improve spectral efficiency and performance

• Cons

- channel itself!
 - ambient light/interference from other light sources
 - alignment between Tx and Rx
 - scattering and multipath dispersion (ISI)
- no/low mobility

VLC is not that new!

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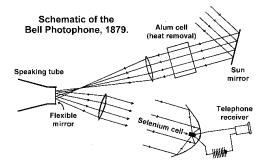
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1879: 'photophone' by Alexander G. Bell (Patented Dec. 14, 1880. Filing date: Sep. 25, 1880. Patent No. US235496 A. Title: Photophone-Transmitter)

- Analog voice transceiver
- Transmitter: a mirror controls the amount of light reflected from a source
- Receiver: a photocell connected to a speaker



OWC and VLC in recent days

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• 1980

- infrared remote controls (analog)
- 1993
 - infrared data transfer in mobiles, laptops, etc.
 - standards body: IrDA (9.6-128 Kbps).
- IEEE 802.15c
 - low power, high data rate systems in satellites, portable devices, etc.
- VLCC: Visible Light Communication Consortium
- VLC for home networks
 - hOME Gigabit Access (OMEGA) project
- IEEE 802.15.7
 - VLC PHY, up to 96 Mbps
- LiFi and attocells

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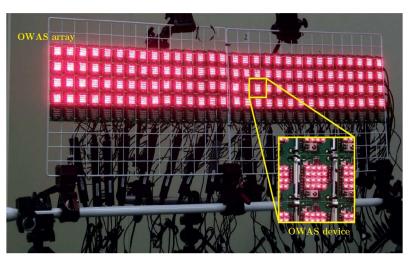
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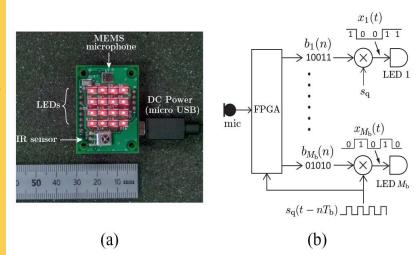
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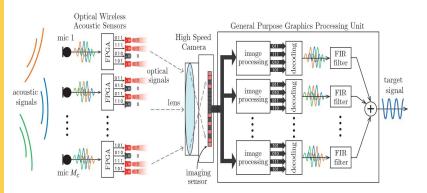
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• Efficient lighting using white LEDs

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• Efficient lighting using white LEDs

- Lumen: SI unit of luminous flux (luminous power)
 - measure of the quantity of visible light emitted by a source
 - example LED specs: 5 lumens, 90 lumens, 160 lumens

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 - Tungsten incandescent lamp: 15 lumens/watt

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 - Halogen lamp: 20 lumens/watt (4.5 W for 90 lm)

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 - Fluorescent lamp: 60 lumens/watt (1.5 W for 90 lm)

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 - Fluorescent lamp: 60 lumens/watt (1.5 W for 90 lm)
 - LED lamp: 90 lumens/watt (1 W for 90 lm)

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 - LED lamp: 90 lumens/watt (1 W for 90 lm)
 - High pressure sodium vapour lamp: 117 lumens/watt (0.77 W for 90 lm)

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 - Low pressure sodium vapour lamp: 150 lumens/watt (0.6 W for 90 lm)
- Energy saving lamps have high luminous efficacy

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- Theoretical max. for white LED (with phosphorescence mixing): 250 Im/W

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- Energy saving lamps have high luminous efficacy
- Theoretical max. for white LED (with phosphorescence mixing): 250 ${\rm Im}/{\rm W}$
- Recent claims on white LEDs: 100 to 160 lm/W
 - examples commercial white LED spec: 90 lm/W, 120 lm/W

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- Target for 2020: 200 |m/W

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- Recent claims on white LEDs: 100 to 160 |m/W
 - examples commercial white LED spec: 90 \mbox{Im}/\mbox{W} , 120 \mbox{Im}/\mbox{W}
- Target for 2020: 200 |m/W
 - claimed to have been breached! 208 Im/W LED (prototype)

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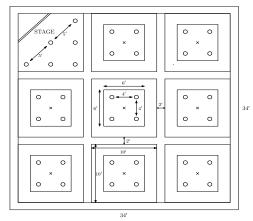
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• Lighting arrangement in Golden Jubilee Seminar Hall, ECE



- Off-stage
 - 32 bulbs (20 W bulbs previously; now replaced with 5 W LED bulbs)
- On-stage
 - 6 bulbs (60 W bulbs previously; now replaced with 18 W LED bulbs)

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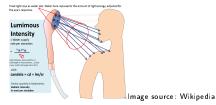
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• Luminous intensity (LI):

- Luminous power radiated by a point light source in a particular direction per unit solid angle
- SI unit of LI: Candela (Lumens/Steradian); cd (lm/sr)



- Solid angle (in steradians) of a cone with apex angle θ (in degrees) = $2\pi(1 \cos\frac{\theta^{\circ}}{2})$, i.e., $cd = \frac{m}{2\pi(1 \cos\frac{\theta^{\circ}}{2})}$
- Examples of white LED spec:
 - Luminous flux = 90 lm; luminous intensity = 59 cd
 - $\Rightarrow \ heta = 81.5^\circ$ (viewing angle at 50% power; half-power angle)
 - Luminous intensity = 59 cd; $\theta = 55^{\circ}$
 - \Rightarrow Luminous flux = 41.8 lm
 - luminous intensity = 11200 mcd (11.2 cd); θ = 45°

 \Rightarrow Luminous flux = 5.35 lm



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• Luminous intensity (LI):

- Two LEDs with same luminous flux of 0.2 lumens
- Left LED's solid angle is 15° . \implies LI = 3.7 cd
- Right LED's solid angle is 30° . \implies LI = 0.9 cd
- Left LED produces a smaller, brighter spot





(b)

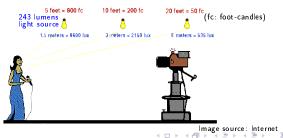
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• Illuminance:

- measure of how much luminous power is incident on a given area
- brightness: subjective impression of illuminance
- SI unit of illuminance: Lux (lx)
- Lux: Lumens per square meter (lm/m^2)
- illuminance varies inversely with square of the distance from the source in free-space line of sight
 - Luminous flux (lumens) = Illuminance ($|x| \times 4\pi r^2$ (r: distance from source in meters)



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• Color temperature:

• different shades of white



- 'yellowish white' (warm white): 2700° K
- 'bluish white' (cool white): 6000° K





Image source: Internet

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• Color rendering index (CRI):

- a measure of a light source's ability to show object colors 'realistically' (or 'naturally') compared to a familiar reference source, either incandescent light or daylight
- Max. value is 100
- Lower CRI values

 \Rightarrow some colors may appear unnatural when illuminated by the light source (LED) in question

- Example CRI values:
 - 70-80 (cool LED); 80-90 (warm/neutral LED)
- Switching speed (rise/fall times):
 - typ. tens of nsec
 - switch LED for the following reasons:
 - to meet illumination constraints (dimming)
 - consider human eye's response characteristics
 - to achieve data communication
 - consider photo detector's response characteristics
 - to achieve both dimming control and communication simultaneously



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• White LED spectrum:

- Emitted wavelengths of a white LED include peaks in blue (450-470 nm) and yellow (570-590 nm) regions (solid curve)
- Interpreted as white light by the human eye
 - Relative light sensitivity of human eye is shown (dotted curve)

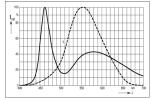
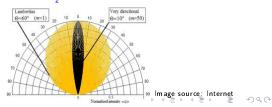


Image source: Internet

• Half-power semi-angle, $\Phi_{\frac{1}{2}}$:



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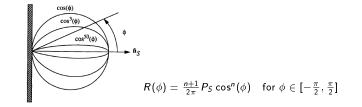
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Generalized Lambertian radiation pattern of LED

• *n* is the mode number of the radiating lobe given by

$$n = rac{-\ln(2)}{\ln\cos \Phi_{rac{1}{2}}}, \quad \Phi_{rac{1}{2}} ext{ is half-power semi-angle}$$

- Mode number specifies the directionality of the source
 - larger the mode number, higher is the directionality
 - n = 1 corresponds to a traditional Lambertian source

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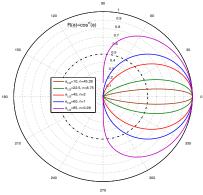
MIMO, OFDM, QCM DCM in VLC

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Concluding remarks

• Generalized Lambertian radiation pattern



Generalized Lambertian radiation pattern of LED

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• Flicker

- Fluctuation of the brightness of light (as perceived by human eye)
- LEDs are switched for the purposes of
 - communication (using intensity modulation, e.g., OOK/PAM)
 dimming control (e.g., PWM)
- Human eye won't perceive flicker frequency > 200 Hz
- No perceived flicker as long as the signaling rate is > 200 Hz (i.e., one signaling interval < 5 ms)
- Communication signaling rates are often much higher than 200 Hz
- So VLC using intensity modulation is not a major source of flicker

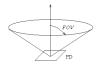
Photo diodes

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- Photo diode
 - Semiconductor (e.g., Si, Ge) device that converts light into current (may contain optical filters, built-in lenses)
- Key specifications
 - Responsivity: Amperes/Watt
 - ratio of the generated photo current to incident light power
 - Response/rise time (t_r):
 - determined by resistance and capacitance of the photo diode and external circuitry (typ. tens of nsec)
 - determines the bandwidth available for signal modulation (f_{bw}) and thus data transmission
 - Modulation signal bandwidth:
 - $f_{bw} = \frac{0.35}{t_r}$; e.g., $t_r = 50 \text{ ns} \Rightarrow f_{bw} = 7 \text{ MHz}$
 - Field of view (FOV): angle (e.g., 85°)
 - only the rays coming within FOV create response



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RFC vs VLC

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• RF communication

- Transmitter
 - Tx RF chain (up converter, power amplifier), Tx antenna
- Receiver
 - Rx antenna, Rx RF chain (low noise amplifier, down converter)
- VLC
 - Transmitter
 - LED
 - Tx data by intensity modulating (IM) the LED
 - Receiver
 - Photo detector
 - Rx data by direct detection (DD)
 - LEDs/PDs with fast switching times
 - rise and fall times typ. tens of nsec

IM/DD channel

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IM/DD channel

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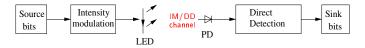
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• VLC Tx-Rx



• IM/DD channel

- Modeled using Poisson processes to account for the quantum nature of light
 - channel output (i.e., the detected number of photons) is a
 - r. v. which has a Poisson distribution with parameter λ
 - λ corresponds to the expected received intensity level
- Signal independent noise
 - originates from background radiation from other light sources (day/ambient light, fluorescent lamps, etc.) and
 - electronics in the receiver (thermal noise)
- Signal dependent noise
 - high-brightness LEDs where the randomness in the signal itself can not be neglected

IM/DD channel model

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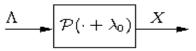
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- Poisson channel (memoryless, discrete-time)
 - Derived from photon-counting (hence the Poisson nature)
 - Input: r.v $\Lambda \geq 0$
 - Output: discrete r.v X drawn from Poisson distribution with parameter $\Lambda + \lambda_0$, i.e., $X \sim \mathcal{P}(\Lambda + \lambda_0)$



- Non-negative term λ₀:
 - a constant related to ambient light or thermal noise
- Conditional output probability of this channel is

$$p(x|\lambda) = e^{-(\lambda+\lambda_0)} rac{(\lambda+\lambda_0)^x}{x!}, \ x \in \mathbb{N}, \ \lambda \ge 0$$

Distribution of r.v. X ~ P(λ) for large λ approaches a Gaussian distribution N(λ, λ)

A. Tsiatmas, F. M. J. Willems, and C. P. M. J. Baggen, "Square root approximation to the Poisson channel," *IEEE ISIT 2013.*

VLC Tx-Rx

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• VLC Tx-Rx



- Baseband communication (no passband involved)
- Signaling: positive, real-valued tx. signals

D.C.O'Brien et al, "Visible light communications: challenges and possibilities", IEEE PIMRC'2008.

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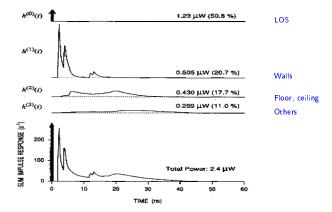
VLC with lighting constraints

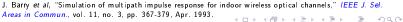
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Concluding remarks

• CIR between source S and receiver R at time t is given by $h(t;S,R) = \sum_{k=0}^{\infty} h^{(k)}(t;S,R)$

 $h^{(k)}(t)$ response of light undergoing exactly k reflections





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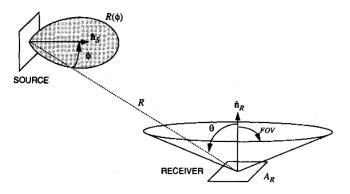
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Concluding remarks

• h_{ij}: LOS channel gain between *j*th LED and *i*th PD is

$$h_{ij} = rac{n+1}{2\pi} \cos^n \phi \, \cos heta rac{A}{R^2} \mathrm{rect} \Big(rac{ heta}{FOV} \Big)$$



Geometry of LED source and photo detector

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MIMO RFC and MIMO VLC

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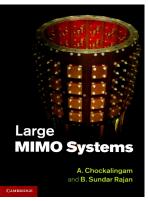
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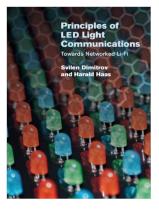
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MIMO in VLC

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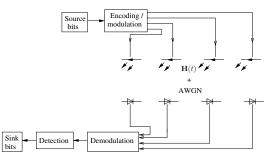
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- Multiple LEDs and PDs
- N_t : no. of LEDs at Tx; N_r : no. of PDs at Rx



 4×4 MIMO VLC

- Advantages
 - high data rates (*N_t* symbols per channel use)
 - gives MIMO gains even under LOS conditions
 - induced power imbalance at Tx LEDs helps

A typical indoor VLC configuration

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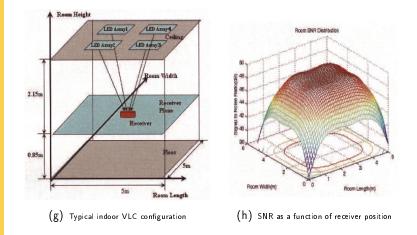
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D.C.O'Brien et al, "Visible light communications: challenges and possibilities", IEEE PIMRC'2008.

MIMO LED arrays

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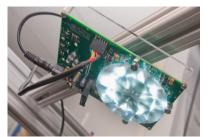
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• 8×8 MIMO VLC system









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Source: Internet (Boston Univ.)

MIMO LED arrays

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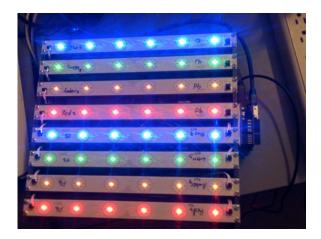
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• 48-LED array



Source: Internet

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VLC channel

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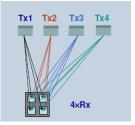
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- *N_t* LEDs (transmitter)
- N_r photo detectors (receiver)
- **H** denotes the $N_r imes N_t$ VLC MIMO channel matrix

$$\mathbf{H} = \begin{bmatrix} h_{11} & h_{12} & h_{13} & \cdots & h_{1N_t} \\ h_{21} & h_{22} & h_{23} & \cdots & h_{2N_t} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ h_{N_r1} & h_{N_r2} & h_{N_r3} & \cdots & h_{N_rN_t} \end{bmatrix}$$



MIMO channel between LEDs and PDs

Example VLC channel matrices

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Concluding remarks • Channel matrix for $d_{tx} = 1$ m

- Channel gain: High
- Channel correlation: High

 $\mathbf{H}_{d_{tx}=1m} = \begin{bmatrix} 0.5600 & 0.5393 & 0.5196 & 0.5393 \\ 0.5393 & 0.5600 & 0.5393 & 0.5196 \\ 0.5196 & 0.5393 & 0.5600 & 0.5393 \\ 0.5393 & 0.5196 & 0.5393 & 0.5600 \end{bmatrix} \times 10^{-5}$

- Channel matrix for $d_{tx} = 4m$
 - Channel gain: Low
 - Channel correlation: Low

$$\mathbf{H}_{d_{tx}=4m} = \begin{bmatrix} 0.9947 & 0.9337 & 0.8782 & 0.9337 \\ 0.9337 & 0.9947 & 0.9337 & 0.8782 \\ 0.8782 & 0.9337 & 0.9947 & 0.9337 \\ 0.9337 & 0.8782 & 0.9337 & 0.9947 \end{bmatrix} \times 10^{-6}$$

Modulation schemes for VLC

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Concluding remarks • Transmit signals in VLC must be

- positive real-valued for intensity modulation of LEDs
- Approaches
 - 00K
 - *M*-PAM with positive signal points
 - M-QAM/M-PSK with Hermitian symmetry
 - SSK and spatial modulation using multiple LEDs
 - QCM, DCM (Quad-/Dual-LED complex modulation)

T. Fath and H. Haas, "Performance comparison of MIMO techniques for optical wireless communications in indoor environments," *IEEE Trans. Commun.*, vol. 61, no. 2, pp. 733-742, Feb. 2013.

S. P. Alaka, T. Lakshmi Narasimhan, and A. Chockalingam, "Generalized spatial modulation in indoor wireless visible light communication," *IEEE GLOBECOM* 2015, San Diego, USA, Dec. 2015.

R. Tejaswi, T. Lakshmi Narasimhan, A. Chockalingam, "Quad-LED complex modulation (QCM) for visible light wireless communications" IEEE WCNC'16 Workshop on Opt. Wireless Commun., Apr. 2016.

MIMO VLC schemes

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• Spatial multiplexing (SMP)

- N_t LEDs and N_r PDs
- At any given time, all LEDs are ON
- $\eta_{smp} = N_t \log_2 M$ bpcu
- Spatial modulation (SM)
 - At any given time, any one LED is ON
 - Other $N_t 1$ LEDs are OFF
 - $\eta_{sm} = \lfloor \log_2 N_t \rfloor + \log_2 M$ bpcu
- Space shift keying (SSK)
 - Special case of SM
 - Only index of active LED conveys information
 - $\eta_{ssk} = \lfloor \log_2 N_t \rfloor$ bpcu

MIMO VLC schemes

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Concluding remarks • Generalized space shift keying (GSSK)

- Generalization of SSK
- $N_a \leq N_t$ active LEDs
- $\eta_{gssk} = \lfloor \log_2 {N_t \choose N_a} \rfloor$ bpcu
- Generalized spatial modulation (GSM)
 - Generalization of SM
 - $N_a \leq N_t$ active LEDs
 - $\eta_{gsm} = \lfloor \log_2 {N_t \choose N_a} \rfloor + N_a \lfloor \log_2 M \rfloor$ bpcu

T. Fath and H. Haas, "Performance comparison of MIMO techniques for optical wireless communications in indoor environments," *IEEE Trans. Commun.*, vol. 61, no. 2, pp. 733-742, Feb. 2013.

S. P. Alaka, T. Lakshmi Narasimhan, and A. Chockalingam, "Generalized spatial modulation in indoor wireless visible light communication," *IEEE GLOBECOM* 2015, San Diego, USA, Dec. 2015.

MIMO VLC system model

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Concluding remarks • Each active LED emits an *M*-ary intensity modulation symbol $I_m \in \mathbb{M}$

• \mathbb{M} : set of all possible intensity levels given by

$$I_m = \frac{2I_p m}{M+1}, \quad m = 1, 2, \cdots, M, \quad M = |\mathbb{M}|$$

- **x**: $N_t \times 1$ transmit signal vector; $x_i \in {\mathbb{M} \cup 0}$
- **n**: $N_r \times 1$ noise vector at the receiver; $n_i \sim \mathcal{N}(0, \sigma^2)$
- **n**: $N_r imes 1$ received signal vector at the receiver

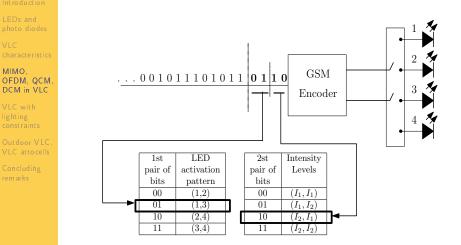
 $\mathbf{y} = a\mathbf{H}\mathbf{x} + \mathbf{n}$

- a: responsivity of the PD (amp/Watt)
- Average received SNR

$$\overline{\gamma} = \frac{a^2 P_r^2}{\sigma^2}, \quad P_r^2 = \frac{1}{N_r} \sum_{i=1}^{N_r} \mathbb{E}[|\mathbf{h}_i \mathbf{x}|^2]$$

h_{*i*}: *i*th row of **H**

GSM-MIMO in VLC



MIMO.

GSM-MIMO transmitter for VLC system with $N_t = 4, N_a = 2, M = 2$

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GSM for VLC system

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 $\mathbb{S}_{N_{t}}^{N_{a}}$

Concluding remarks • Intensity levels are $l_1 = \frac{2}{3}$ and $l_2 = \frac{4}{3}$

- We need only 4 activation patterns out of $\binom{N_t}{N_a} = \binom{4}{2} = 6$ possible activation patterns
- So the GSM signal set for this example can be chosen as follows:

$${}_{M} = \mathbb{S}^{2}_{4,2} = \left\{ \begin{bmatrix} \frac{2}{3} \\ \frac{2}{3} \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} \frac{2}{3} \\ \frac{4}{3} \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} \frac{4}{3} \\ \frac{2}{3} \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} \frac{4}{3} \\ \frac{2}{3} \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} \frac{4}{3} \\ \frac{4}{3} \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} \frac{2}{3} \\ \frac{4}{3} \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} \frac{4}{3} \\ 0 \\ \frac{2}{3} \\ 0 \end{bmatrix}, \begin{bmatrix} \frac{4}{3} \\ 0 \\ \frac{2}{3} \\ 0 \end{bmatrix}, \begin{bmatrix} \frac{4}{3} \\ 0 \\ \frac{2}{3} \\ 0 \end{bmatrix}, \begin{bmatrix} \frac{4}{3} \\ 0 \\ \frac{4}{3} \\ 0 \\ \frac{2}{3} \\ \frac{4}{3} \end{bmatrix}, \begin{bmatrix} \frac{4}{3} \\ \frac{4}{3} \\ 0 \\ \frac{2}{3} \\ \frac{4}{3} \\ \frac{4}{3} \end{bmatrix}, \begin{bmatrix} \frac{6}{3} \\ \frac{4}{3} \\ 0 \\ \frac{2}{3} \\ \frac{4}{3} \\ \frac{2}{3} \\ \frac{4}{3} \\ \frac{4}{3} \\ \frac{4}{3} \\ \frac{4}{3} \\ \frac{2}{3} \\ \frac{4}{3} \\ \frac{$$

Upper bound on BER

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Maximum likelihood (ML) detection rule is

$$\hat{\mathbf{x}} = \operatorname*{argmin}_{\mathbf{x} \in \mathbb{S}_{N_{t,M}}^{N_{a}}} \left(\frac{a}{\sigma} \| \mathbf{H} \mathbf{x} \|^{2} - 2 \mathbf{y}^{T} \mathbf{H} \mathbf{x} \right)$$

Pairwise error probability (PEP) is

$$PEP_{gsm} = Q\left(\frac{a}{2\sigma} \|\mathbf{H}(\mathbf{x}_2 - \mathbf{x}_1)\|\right)$$

Define $L \triangleq |\mathbb{S}_{N_t,M}^{N_a}|$. An upper bound on the BER for ML detection can be obtained using union bound as

$$BER_{gsm} \leq \frac{1}{L} \sum_{i=1}^{L} \sum_{j=1, i \neq j}^{L-1} PEP(\mathbf{x}_i \to \mathbf{x}_j | \mathbf{H}) \frac{d_H(\mathbf{x}_i, \mathbf{x}_j)}{\eta_{gsm}}$$
$$= \frac{1}{L} \sum_{i=1}^{L} \sum_{j=1, i \neq j}^{L-1} Q\left(\frac{r}{2\sigma} \| \mathbf{H}(\mathbf{x}_j - \mathbf{x}_i) \|\right) \frac{d_H(\mathbf{x}_i, \mathbf{x}_j)}{\eta_{gsm}}$$

where $d_H(\mathbf{x}_i, \mathbf{x}_j)$ is the Hamming distance between the bit mappings corresponding to the signal vectors \mathbf{x}_i and \mathbf{x}_j

Indoor VLC - A typical geometric set-up



LEDs and photo diode

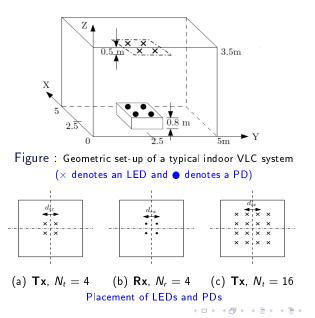
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System parameters

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	Length (X)	5m
Room	Width (Y)	5m
	Height (Z)	3.5m
	Height from the floor	3m
	Elevation	-90°
Transmitter	Azimuth	0°
	Φ _{1/2}	60°
	Mode number, <i>n</i>	1
	d _{tx}	0.6m
	Height from the floor	0.8m
	Elevation	90°
Receiver	Azimuth	0°
	Responsivity, <i>a</i>	0.75 Ampere/Watt
	FOV	85°
	d _{rx}	0.1m

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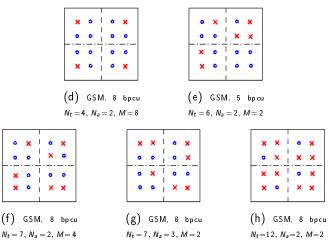
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- LED placements in a 4×4 square grid
- Different GSM configurations for $\eta = 8$ bpcu, 5 bpcu



× indicates the presence of an LED. \circ indicates the absence of LED.

DQC

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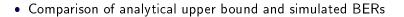
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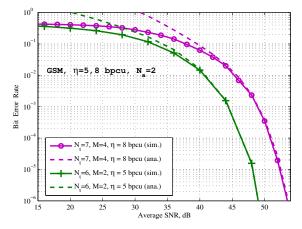


Figure : GSM with $N_t = 6, 7, N_a = 2, M = 2, 4, \eta_{gsm} = 5, 8$ bpcu.

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• Performance of different GSM configurations for fixed $\eta = 8 \text{ bpcu}$

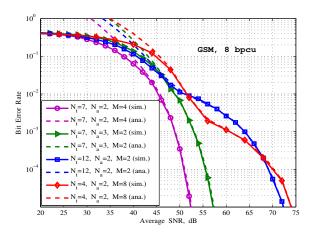


Figure : Comparison of the BER performance of different configurations of GSM with $\eta_{gsm} = 8$ bpcu, $N_r = 4$.

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Optimum placement of LEDs

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• The minimum Euclidean distance between any two GSM signal vectors x₁ and x₂ transmitted through **H** is given by

$$d_{\mathbf{H},min} \triangleq \min_{\mathbf{x}_1,\mathbf{x}_2 \in \mathbb{S}^{N_s}_{N_t,M}} \|\mathbf{H}(\mathbf{x}_2 - \mathbf{x}_1)\|^2$$

• Similarly, the average Euclidean distance between any two GSM signal vectors **x**₁ and **x**₂ transmitted through **H** is

$$d_{\mathsf{H}, a \mathsf{v} g} = rac{1}{\binom{|\mathbb{S}_{N_t, M}^{N_a}|}{2}} \sum_{\mathsf{x}_1, \mathsf{x}_2 \in \mathbb{S}_{N_t, M}^{N_a}} \left\|\mathsf{H}(\mathsf{x}_2 - \mathsf{x}_1)
ight\|^2$$

 Choose the placement of the LEDs at the transmitter such that d_{H,min} and d_{H,avg} are maximized over all possible placements

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System	GSM configuration	d _{H,min}	$d_{H,avg}$
1	$N_t = 4, N_a = 2, M = 8$	4.623×10^{-17}	4.520×10^{-11}
2	$N_t=7, N_a=2, M=4$	$1.977 imes 10^{-14}$	$\textbf{6.601} \times \textbf{10}^{-\textbf{11}}$
3	$N_t = 7, N_a = 3, M = 2$	1.541×10^{-14}	6.003×10^{-11}
4	$N_t = 12, N_a = 2, M = 2$	1.346×10^{-16}	4.842×10^{-11}

Table : Values of $d_{H,min}$ and $d_{H,avg}$ for different GSM configurations with $\eta_{gsm} = 8$ bpcu.

 Configuration 2 has the largest d_{H,min}, d_{H,avg} and hence the best BER performance

GSM performance for varying d_{tx}

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• GSM performance as a function of d_{tx} for different SNRs

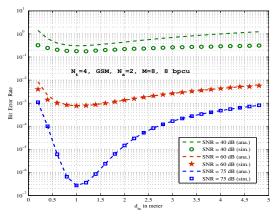


Figure : GSM with $N_t = 4$, $N_a = 2$, M = 8, $\eta_{gsm} = 8$ bpcu.

• Opposing effects of channel correlation and channel chains for increasing d_{tx} results in optimum d_{tx}

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GSM vs other MIMO techniques

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- SMP, GSSK, SM, and GSM with $\eta=8~bpcu$
- SMP:

•
$$N_t = 4$$
, $N_a = 4$, $M = 4$

GSSK:
•
$$N_t = 13, N_a = 3, M = 1$$

• $N_t = 16, N_a = 1, M = 16$

• GSM:

•
$$N_t = 7, N_a = 2, M = 4$$

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GSM vs other MIMO techniques

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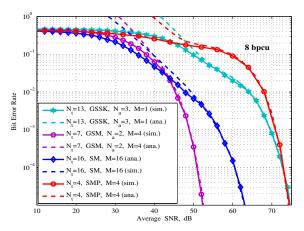
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Concluding remarks • Comparison of the BER performance of SMP, GSSK, SM, and GSM for the same $\eta = 8 \ bpcu$, $N_r = 4$



• For the same $\eta = 8$ bpcu, GSM performs better (by about 9 dB at 10^{-5} BER) compared to SMP, SSK, GSSK, SM

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OFDM in VLC

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OFDM in VLC

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- OFDM
 - Popular in wired and wireless RF communications
 - Attractive in VLC as well
- OFDM in RF communications
 - OFDM signals are in the complex domain
 - Signals can be bipolar
- OFDM in VLC
 - VLC transmit signal must be real and positive
 - Use Hermitian symmetry on information symbols before IFFT to obtain real signals
 - Perform bipolar or unipolar conversion
 - Achieves good performance (3 Gbps single-LED OFDM link has been reported)

J. Armstrong, "OFDM for optical communications," *J. Lightwave Tech.*, vol. 27, no. 3, pp. 89-204, Feb. 2009.

H. Elgala, R. Mesleh, H. Haas, and B. Pricope, "OFDM visible light wireless communication based on white LEDs," *Proc. IEEE VTC 2007-Spring*, pp. 2185-2189, Apr. 2007.

D. Tsonev et al, "A 3-Gb/s single-LED OFDM-based wireless VLC link using a gallium nitride μ LED," *IEEE Photonics Tech. Lett.*, vol. 26, no. 7, pp. 637-640, Jan. 2014₂₀ $\rightarrow \langle \overline{c} \rangle$ $\rightarrow \langle \overline{c} \rangle$ $\rightarrow \langle \overline{c} \rangle$ $\rightarrow \langle \overline{c} \rangle$

OFDM in VLC

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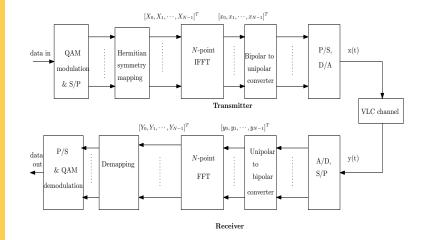


Figure : A general single-LED OFDM system model in VLC.

OFDM in VLC

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- Techniques to generate VLC compatible OFDM signals in the positive real domain:
 - DCO OFDM (DC-biased optical OFDM)
 - ACO OFDM (Asymmetrically clipped optical OFDM)
 - Flip OFDM
 - NDC OFDM (Non-DC-biased OFDM)
 - CI-NDC OFDM (Coded Index NDC OFDM)

O. Gonzlez et al, "OFDM over indoor wireless optical channel," *Proc. IEE Optoelectronics*, vol. 152, no. 4, pp. 199-204, Aug. 2005.

J. Armstrong and B. J. Schmidt, "Comparison of asymmetrically clipped optical OFDM and DC-biased optical OFDM in AWGN," *IEEE Commun. Letters*, vol. 12, no. 5, pp. 343-345, May 2008.

N. Fernando, Y. Hong, and E. Viterbo, "Flip-OFDM for unipolar communication systems," *IEEE Trans. Commun.*, vol. 60, no. 12, pp. 3726-3733, Aug. 2012.

Y. Li, D. Tsonev, and H. Haas, "Non-DC-biased OFDM with optical spatial modulation," IEEE PIMRC 2013, pp. 486-490, Sep. 2013.

DCO OFDM

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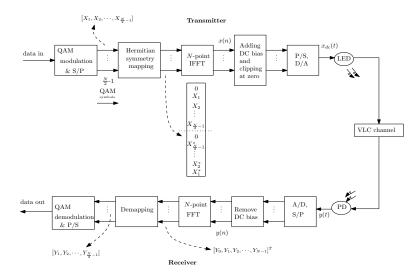
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O. Gonzlez et al, "OFDM over indoor wireless optical channel," *Proc. IEE Optoelectronics*, vol. 152, no. 4, pp. 199-204, Aug. 2005.

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DCO OFDM

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- $\frac{N}{2} 1$ QAM symbols are modulated per OFDM symbol
- The unipolar OFDM signal $x_{dc}(t)$ is given by

 $x_{dc}(t) = x(t) + B_{dc}$

where x(t) is the bipolar OFDM signal

- B_{dc} = k√E{x²(t)}; define this as a bias of 10 log₁₀(k² + 1) dB
- The achieved rate in DCO OFDM is given by

$$\eta_{dco} = \frac{\frac{N}{2} - 1}{N} \log_2 M$$
$$\approx \frac{1}{2} \log_2 M \text{ bpcu, for large} N$$

ACO OFDM

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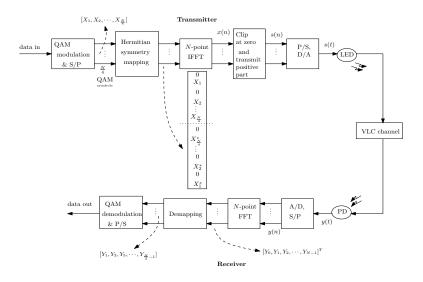
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J. Armstrong and B. J. Schmidt, "Comparison of asymmetrically clipped optical OFDM and DC-biased optical OFDM in AWGN," *IEEE Commun. Letters*, vol. 12, no. 5, pp. 343-345, May 2008.

ACO OFDM

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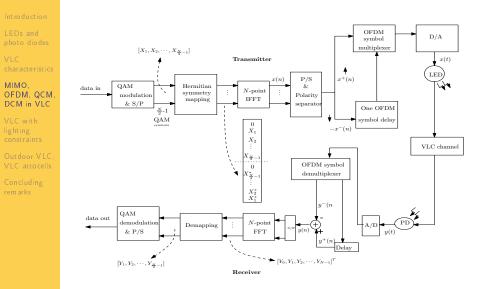
- $\frac{N}{4}$ QAM symbols are modulated per OFDM symbol
- Only odd subcarriers are used to send information
- All even subcarriers are set to zero
- The unipolar OFDM signal is obtained by clipping the negative signals at zero
- The achieved data rate in ACO OFDM is given by

$$\eta_{aco}=rac{1}{4}\log_2 M$$
 bpcu

Flip OFDM

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N. Fernando, Y. Hong, and E. Viterbo, "Flip-OFDM for unipolar communication systems," IEEE Trans. Commun., vol. 60, no. 12, pp. 3726-3733, Aug. 2012. Int roduction

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- $\frac{N}{2} 1$ QAM symbols are modulated per OFDM symbol
- The unipolar OFDM signal is obtained by flipping the negative signals
- Two OFDM time slots are used to send one OFDM symbol
- Positive parts are sent on the first slot
- Flipped negative parts are sent on the second slot
- The achieved data rate in flip OFDM is given by

$$\eta_{flip} = rac{N}{2} - rac{1}{2N} \log_2 M$$
 $pprox rac{1}{4} \log_2 M$ bpcu, for large N

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DCO, ACO, flip OFDM performance

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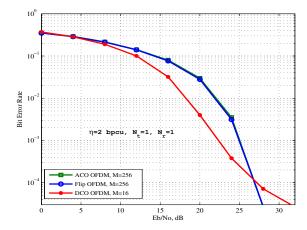


Figure : Comparison of the BER performance of ACO OFDM, flip OFDM, and DCO OFDM with 7dB bias for $\eta = 2$ bpcu, $N_t = N_r = 1$.

DCO OFDM performance for varying DC bias

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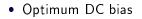
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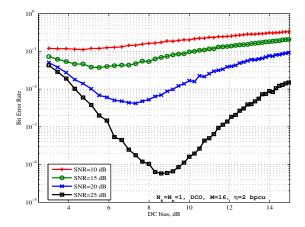


Figure : BER performance of DCO OFDM as a function of DC bias with $\eta = 2$ bpcu, M = 16, and $N_t = N_r = 1$, for SNR = 10, 15, 20, 25 dB.

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NDC OFDM

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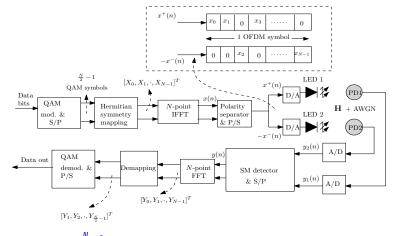
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• $\eta_{\text{ndc}} = \frac{\frac{N}{2} - 1}{N} \log_2 M \approx \frac{1}{2} \log_2 M$ bpcu, for large N

Y. Li, D. Tsonev, and H. Haas, "Non-DC-biased OFDM with optical spatial modulation," IEEE PIMRC 2013, pp. 486-490, Sep. 2013.

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NDC detector

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Concluding remarks • The detector output $y(n), n = 0, 1, 2, \cdots, N-1$, is

$$\begin{split} |y(n)| &= \max_{i=1,2} |z_i(n)| \\ \text{sign}\{y(n)\} &= \begin{cases} +\text{ve, if } \arg\max_{i=1,2} |z_i(n)| = 1 \\ -\text{ve, if } \arg\max_{i=1,2} |z_i(n)| = 2, \end{cases} \end{split}$$

where

$$\begin{bmatrix} z_1(n) \\ z_2(n) \end{bmatrix} = \begin{bmatrix} \left(\mathbf{h}_1^T \mathbf{h}_1\right)^{-1} \mathbf{h}_1^T \mathbf{y} \\ \left(\mathbf{h}_2^T \mathbf{h}_2\right)^{-1} \mathbf{h}_2^T \mathbf{y} \end{bmatrix},$$

and \mathbf{h}_i is the *i*th column of channel matrix \mathbf{H} , i = 1, 2.

Indexed-NDC OFDM



LEDs and photo diodes

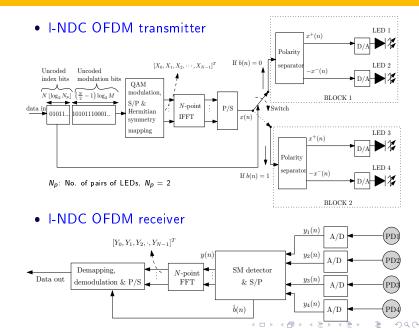
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INDC detector

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• The detector output $y(n), n = 0, 1, 2, \cdots, N-1$, is

$$|y(n)| = \max_{i=1,2,3,4} |z_i(n)|$$

sign{y(n)} =
$$\begin{cases} +ve, \text{ if } \arg\max_{i=1,2,3,4} |z_i(n)| = 1\\ -ve, \text{ if } \arg\max_{i=1,2,3,4} |z_i(n)| = 2\\ +ve, \text{ if } \arg\max_{i=1,2,3,4} |z_i(n)| = 3\\ -ve, \text{ if } \arg\max_{i=1,2,3,4} |z_i(n)| = 4, \end{cases}$$

where

$$\begin{bmatrix} z_1(n) \\ z_2(n) \\ z_3(n) \\ z_4(n) \end{bmatrix} = \begin{bmatrix} \left(\mathbf{h}_1^T \mathbf{h}_1 \right)^{-1} \mathbf{h}_1^T \mathbf{y} \\ \left(\mathbf{h}_2^T \mathbf{h}_2 \right)^{-1} \mathbf{h}_2^T \mathbf{y} \\ \left(\mathbf{h}_3^T \mathbf{h}_3 \right)^{-1} \mathbf{h}_3^T \mathbf{y} \\ \left(\mathbf{h}_4^T \mathbf{h}_4 \right)^{-1} \mathbf{h}_4^T \mathbf{y} \end{bmatrix},$$

and \mathbf{h}_i is the *i*th column of channel matrix \mathbf{H} , i = 1, 2, 3, 4.

Performance of NDC OFDM, I-NDC OFDM

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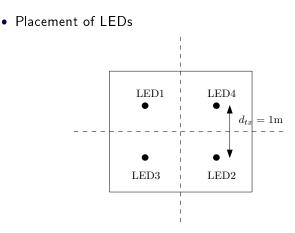
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- BLOCK 1: (LED1, LED2)
- BLOCK 2: (LED3, LED4)

NDC OFDM and I-NDC OFDM performance

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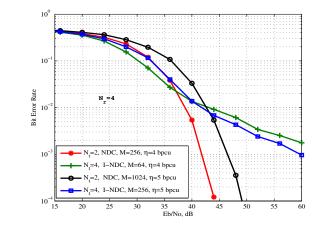


Figure : BER performance of I-NDC OFDM and NDC OFDM for $\eta = 4$, 5 bpcu, $N_r = 4$

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NDC OFDM and I-NDC OFDM performance

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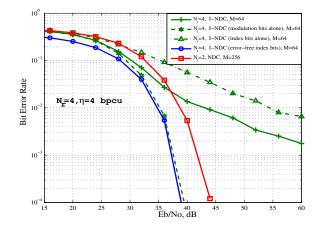


Figure : Reliability of modulation bits and index bits in I-NDC OFDM for $\eta = 4$ bpcu, $N_r = 4$

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- Reliability of index bits is poor!
- Use coding for index bits

Coded I-NDC OFDM



LEDs and photo diodes

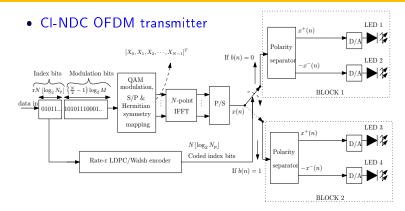
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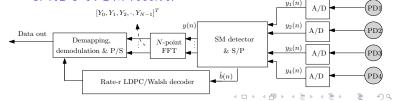
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• CI-NDC OFDM receiver



CI-NDC OFDM performance

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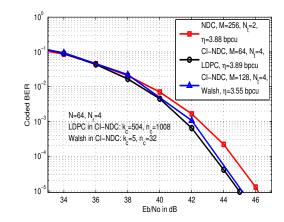


Figure : BER performance of CI-NDC OFDM and NDC OFDM at $\eta=3.8$ bpcu, $N_r=4$

• CI-NDC OFDM performs better than NDC OFDM

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Quad-LED & dual-LED complex modulation

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Quad-LED complex modulation (QCM)

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Concluding remarks - A complex modulation scheme for VLC

• Uses 4 LEDs (hence the name 'quad')

- Does not need Hermitian symmetry
- QCM signaling
 - LEDs are simultaneously intensity modulated by the magnitudes of the real and imaginary parts of a complex symbol
 - Sign information is conveyed through spatial indexing of additional LEDs
- QCM module can serve as a basic building block to bring in the benefits of complex modulation to VLC

R. Tejaswi, T. Lakshmi Narasimhan, A. Chockalingam, "Quad-LED complex modulation (QCM) for visible light wireless communications" IEEE WCNC'16 Workshop on Optical Wireless Commun., Apr. 2016.

QCM for VLC

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Concluding remarks • Mapping of complex symbol $s = s_l + js_Q$ to LEDs activity in QCM

Real part	Status of LEDs	Imag. part	Status of LEDs
s _l		s _Q	
≥ 0	LED1 emits s1	≥ 0	LED3 emits s _Q
	LED2 is OFF		LED4 is OFF
< 0	LED1 is OFF	< 0	LED3 is OFF
	LED2 emits s ₁		LED4 emits s _Q

• Example:

- If s = -3 + j1, then LED1: OFF; LED2: emits 3; LED3: emits 1; LED4: OFF Corresponding QCM tx. vector is x = [0 3 1 0]^T
- Note:
 - Two LEDs (one among LED1 and LED2, and another one among LED3 and LED4) will be ON simultaneously. Other two LEDs will be OFF

QCM for VLC

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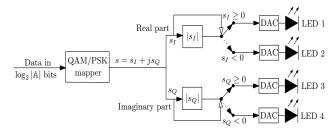
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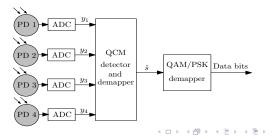
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• QCM transmitter



• QCM receiver



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QCM performance

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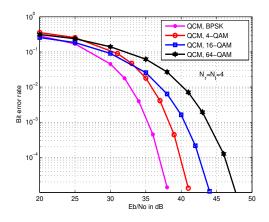
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- Crossover between performance of 4-QAM and 16-QAM
 - due to multiuser detection effect strong interferer helps

QCM performance

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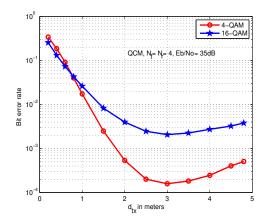
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- optimum LED spacing
 - due to opposing effects of weak channel gain and weak channel correlation for increasing d_{tx}

QCM with phase rotation

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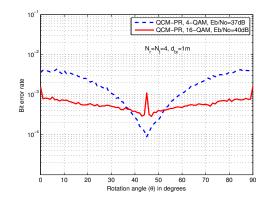
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Concluding remarks

- Rotation of complex modulation symbols
 - known to improve performance in RF wireless
- Effect of phase rotation in QCM (QCM-PR) in VLC?



• Phase rotation helps. There is optimum rotation.

QCM vs QCM-PR

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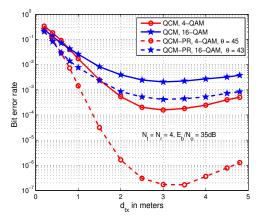
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Concluding remarks • Performance of QCM and QCM-PR (with optimum rotation) as a function of d_{tx}



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QCM-OFDM

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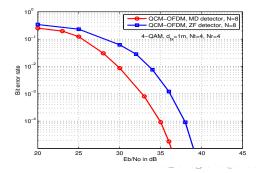
MIMO, OFDM, QCM, DCM in VLC

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Concluding remarks

- OFDM signaling along with QCM (QCM-OFDM)
 - N complex symbols drive N-point IFFT
 - IFFT output vector (OFDM symbol) drives QCM transmitter block in *N* channel uses
 - QCM-OFDM signal detection
 - Zero-forcing (ZF), minimum distance (MD) detectors
 - Performance of QCM-OFDM



QCM, QCM-PR, QCM-OFDM

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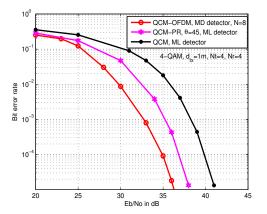
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Concluding remarks • Performance comparison between QCM, QCM-PR, QCM-OFDM



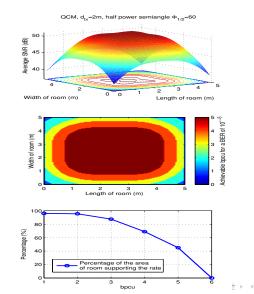
Achievable rate contours in QCM

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Spatial distribution of received SNR

• Achievable rate (in bpcu) for a given target BER (e.g., 10⁻⁵ BER)

• Percentage area of the room covered vs achieved rate



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Dual-LED complex modulation (DCM)

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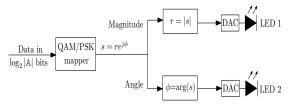
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- Exploit representation of complex symbols in polar coordinates
- Adequate to convey only the magnitude and phase of a complex symbol s = re^{jφ}, r ∈ ℝ⁺, φ ∈ [0, 2π)
 - only two LEDs suffice
 - no sign information to convey
- The 2 × 1 DCM tx. vector is $\mathbf{x} = [r \ \phi]^T$
- DCM transmitter:



T. Lakshmi Narasimhan, R. Tejaswi, and A. Chockalingam, "Quad-LED and Dual-LED complex modulation for visible light communications" arXiv:1510.08805v2 [cs.IT] 2 May 2016.

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DCM

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• DCM signal detection

• The $N_r \times 1$ received signal vector is

 $\mathbf{y} = r\mathbf{H}\mathbf{x} + \mathbf{n}$

• ML estimate of the transmit vector ${\boldsymbol x}$ is

$$\hat{\mathbf{x}}_{ML} = \underset{\mathbf{x} \in \mathbb{S}_{D}}{\operatorname{argmin}} \|\mathbf{y} - r\mathbf{H}\mathbf{x}\|^{2}$$

- \mathbb{S}_D : DCM signal set (all possible tx. vectors x)
- $\hat{\mathbf{x}}_{ML}$ is demapped to corresponding complex symbol \hat{s}_{ML}
- \hat{s}_{ML} is demapped to get corresponding information bits
- Remark on DCM with *M*-PSK:
 - Only phase carries information in *M*-PSK (constant *r*)
 - 'magnitude-LED' becomes redundant
 - Can be viewed a single-LED scheme with *M*-PAM
 - Both LEDs matter when *M*-symbols undergo some pre-processing (e.g., IFFT in DCM-OFDM)

Performance of QCM and DCM

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LEDs and photo diodes

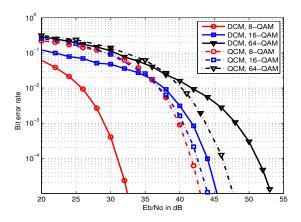
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- For small sized QAM (8-QAM), DCM performs better than QCM
- For larger sized QAM (16-QAM, 64-QAM), QCM performs better

Performance of QCM and DCM

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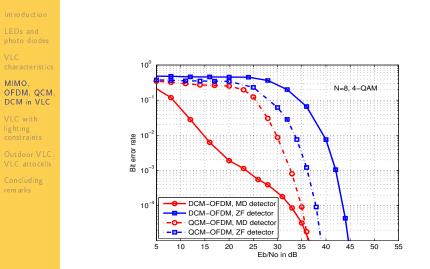
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Modulation alphabet	DCM	QCM	QCM-PR
8-QAM	29.2 dB	39.8 dB	39.2 dB
16-QAM	41.8 dB	40.6 dB	38.6 dB
32-QAM	45.5 dB	41.8 dB	40 dB
64-QAM	48.2 dB	43.7 dB	40.2 dB

Table : Comparison of E_b/N_0 required by DCM, QCM, and QCM-PR to achieve a BER of 10^{-3} for different *M*-QAM alphabets.

Performance of QCM-OFDM and DCM-OFDM



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Achievable rate contours in DCM

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DCM, d_{tv}=2m, half power semiangle $\Phi_{1/2}$ =60 50 Average SNR (dB) 45 40 35 0 3 2 0 0 Width of room (m) Length of room (m) 4 Achievable bpou for a BER of Width of room (m) з 2 0 2 з 4 5 í٥ 1 Length of room (m) 100 80 Percentage (%) 60 40 20 Percentage of the area of room supporting the rate 2 з 4 bpcu

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- Human eye perceives the average intensity (when intensity changes faster than 200 Hz)
- Need dimming support in lighting applications
 - dimming target (e.g., 75%, 50%, 25%)
- Two approaches
 - time-domain (TD) approach
 - adds compensation symbols of two levels (ON/OFF) within a max. flickering time period (MFTP) to match dimming target
 - Adv: easy to implement; Disadv: rate loss
 - intensity-domain (ID) approach
 - changes the intensity levels; also includes bias scaling (alters DC bias level), intensity distribution adaptation
 - Adv: high rate; suited for multi-level modulation like PAM
 - an optimization problem formulation
 - maximize rate w.r.t intensity level distribution

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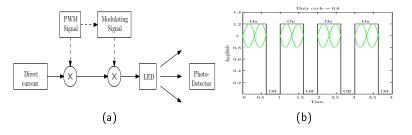
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• Data modulation (e.g., using OFDM) with dimming control (e.g., using PWM)



Z. Wang, W-D. Zhong, C. Yu, J. Chen, C. P. S. Francois, and W. Chen, Performance of dimming control scheme in visible light communication system, Optics Express, vol. 20, no. 17, pp. 18861-18868 (2012).

T. D. C. Little and H. Elgala, Adaptation of OFDM under visible light communications and illumination constraints, Asilomar Conf. Signals, Systems, and Computers, pp. 1739-1744, 2014.

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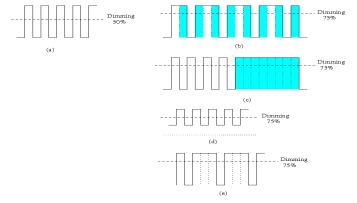
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Concluding remarks

- Examples of dimming support
 - TD approach: (b) intra-pulse insertion; (c) inter-pulse padding (IEEE 802.15.7 OOK mode uses this)
 - ID approach: (d) bias-scaling; (e) distribution adaptation



S. H. Lee, S-Y. Jung, and J. K. Kwon, Modulation and coding for dimmable visible light communication, IEEE Commun. Mag., pp. 136-142, Feb. 2015.

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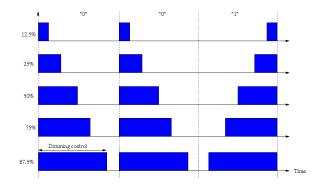
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Concluding remarks

• PPM to support dimming control



• other PPM variants (MPPM, OPPM, VPPM)

S. Arnon, Ed., Visible light communication, Cambridge Univ. Press, 2015.

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Outdoor VLC

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Concluding remarks

- Vehicular communication (intelligent transportation systems)
 - $\bullet\,$ a challenging and challenging outdoor VLC application
 - vehicle-to-vehicle (V2V), infrastructure-to-vehicle (I2V), vehicle-to-infrastructure (V2I)
 - Outdoor VLC elements: traffic lights, street lights, head/tail lights, etc.
- Motivation: road-safety; reduce road accidents
- Typical requirements
 - Indoor applications:
 - High data rates (Mbps-Gbps)
 - Short range (1-2 m)
 - Vehicle (outdoor) applications:
 - Relatively low data rates (Kbps)
 - Longer range (80-100 m)
 - Robustness to numerous sources of parasitic light (vehicular VLC channel is extremely noisy)

A-M. Cailean, B. Cagneau, L. Chassagne, V. Popa, and M. Dimian, "A survey on the usage of DSRC and VLC in communication-based vehicle safety applications," Proc. IEEE Symp. on Commun. and Veh. Tech. (SCVT), pp. 69-74, Nov. 2014.

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- IEEE 802.11p (DSRC: Dedicated Short Range Communication)
 - standard for RF wireless access in vehicular environments
 - based on IEEE 802.11a
 - 75 MHz allotted in 5.9 GHz
 - rates: 3-27 Mbps; MAC: CSMA/CA; range: up to 1 Km
- Issues in DSRC
 - high traffic densities (numerous packet collisions, delay)
- Vehicular VLC can play a complementary role to DSRC
- IEEE 802.15.7 VLC standard PHY I
 - intended for outdoor, long-range, low data rate applications such as I2V and V2V communication
- $\bullet\,$ VLC is still an early stage technology for usage in ITS

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- Spatial reuse
 - an efficient approach to improve spectral efficiency
- Multiple light fixtures (luminaires) installed in large indoor environments (e.g., offices, airports, hospitals)
 - provide an opportunity to set up VLC systems with dense spatial reuse
- Optical attocell network
 - use each luminaire as a small base station (BS) or access point (AP)
 - smaller cell sizes compared to RF femtocells
 - uplink connection to achieve full-duplexing
 - handovers to allow users to roam within the room or an entire building
 - co-channel interference (CCI) is a key issue

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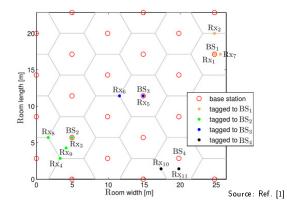
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Concluding remarks

• An example optical attocell network

- Room size: $24m \times 23m \times 3m$
- No. of cells: 27; Cell radius: 3.3 m



 C. Chen, S. Videv, D. Tsonev, and H. Hass, Fractional frequency reuse in DCO-OFDM-based optical attocell networks, Jl. of Lightwave Tech., vol. 33, no. 19, pp. 3989-4000, Oct. 2015.

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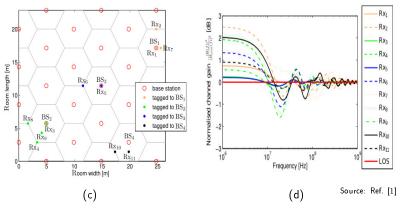
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• Channel response at different receiver locations



- Receivers near walls have more variation (3 dB) than receivers far off from walls (1.5 dB)
- This is because of the strong 1st order reflections by walls
- Adaptive bit loading in OFDM can compensate for this variation

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• CCI mitigation in optical attocell networks

- resource partitioning
- use of different wavelengths in adjacent cells
- interference coordination based on busy-burst signaling
- fractional frequency reuse
 - offers good balance between average spectral efficiency, cell edge performance, system complexity
- Fractional frequency reuse (FFR)
 - strict FFR
 - one common sub-band (for cell center users)
 - multiple protected sub-bands (for cell edge users)
 - soft frequency reuse (SFR)
 - different sub-band for cell edge users in each adjacent cell
 - allows center users to take edge users' sub-bands in adjacent cells

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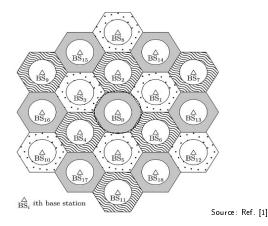
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- FR pattern in two-layer optical attocell network model
 - pattern in edge regions: reuse factor 3



• Shown to be a good model to use to estimate interference statistics and user performance in attocells

Concluding remarks

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Outdoor VLC, VLC attocells

- Visible light wireless communication
 - an emerging and promising complementary technology to RF communication technology
- Several hard-to-resist advantages
 - with matching challenges
- A fast growing area with great potential
- MIMO and OFDM techniques for VLC are promising
- QCM and DCM: simple and novel signaling for VLC
- Open areas for research and innovation
 - New VLC signaling schemes
 - Outdoor VLC issues (robustness, range, rate)
 - VLC networking issues (MAC, coverage, mobility, handovers in attocells)
- Bright future for VLC!

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Thank you

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