

# Ramanujan Sums in the Context of Signal Processing

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# Ramanujan Sum

- ▶ The Ramanujan sum:

$$c_q(n) = \sum_{\substack{k=1 \\ (k,q)=1}}^q e^{j2\pi kn/q} \quad (1)$$

- ▶  $c_{10}(n) = e^{j2\pi n/10} + e^{j6\pi n/10} + e^{j14\pi n/10} + e^{j18\pi n/10}$ .
- ▶ An arithmetic function  $x(n)$ : infinite sequence defined for  $1 \leq n \leq \infty$ , and is usually (but not necessarily) integer valued.
- ▶ Examples: the Mobius function  $\mu(n)$ , Euler's totient function  $\Phi(n)$ .
- ▶  $x(n) = \sum_{q=1}^{\infty} \alpha_q c_q(n)$ ,  $n \geq 1$ .
- ▶ The Ramanujan Fourier transform expansion (i.e.,  $\alpha_q$  are the RFT coefficients)

## Continue ....

- ▶ The  $q^{\text{th}}$  Ramanujan sum ( $q \geq 1$ ) is a sequence in  $n$  defined as

$$c_q(n) = \sum_{\substack{k=1 \\ (k,q)=1}}^q e^{j2\pi kn/q} = \sum_{\substack{k=1 \\ (k,q)=1}}^q W_q^{-kn},$$

$W_q = e^{-j2\pi/q}$  is the  $q$ th root of unity

- ▶  $c_q(0) = \phi(q)$ ,  $c_q(n+q) = c_q(n)$
- ▶ the DFT of  $c_q(n)$ :

$$C_q[k] = \sum_{n=0}^{q-1} c_q(n) W^{nk} = \begin{cases} q & \text{if } (k, q) = 1 \\ 0 & \text{otherwise.} \end{cases}$$

## Continue ....

- ▶  $c_q(n) = \sum_{\substack{k=1 \\ (k,q)=1}}^q W_q^{kn} = \sum_{\substack{k=1 \\ (k,q)=1}}^q W_q^{-kn} = \sum_{\substack{k=1 \\ (k,q)=1}}^q \cos \frac{2\pi kn}{q}$
- ▶ The Ramanujan sum has period  $q$  in the argument  $n$ . Unlike sines and cosines, the quantity  $c_q(n)$  is always integer valued, which is often an attractive property.
- ▶ The first few Ramanujan sequences, shown for one period  $0 \leq n \leq q - 1$ .  
 $c_1(n) = 1, c_2(n) = 1, -1, c_3(n) = 2, -1, -1,$   
 $c_4(n) = 2, 0, -2, 0, c_5(n) = 4, -1, -1, -1, -1,$   
 $c_6(n) = 2, 1, -1, -2, -1, 1,$   
 $c_7(n) = 6, -1, -1, -1, -1, -1, -1,$   
 $c_8(n) = 4, 0, 0, 0, -4, 0, 0, 0, c_9(n) = 6, 0, 0, -3, 0, 0, -3, 0, 0,$   
 $c_{10}(n) = 4, 1, -1, 1, -1, -4, -1, 1, -1, 1.$

## Properties:

- ▶  $\alpha$  primitive  $q^{\text{th}}$  root of unity if  $\alpha^q = 1$ , but  $\alpha^n \neq 1$  for any positive integer  $n < q$ .  $W^{-kq}$  a primitive  $q^{\text{th}}$  root of unity iff  $(q, k) = 1$ . So the Ramanujan sum  $c_q(n)$  can be defined as the sum of  $n^{\text{th}}$  powers of all the  $q^{\text{th}}$  primitive roots of unity.

- ▶ 
$$\sum_{n=0}^{q-1} c_q(n) = 0, \quad \text{for } q > 1.$$

- ▶ 
$$\sum_{n=0}^{q-1} c_q^2(n) = q\phi(q)$$

- ▶ Orthogonality: Any two Ramanujan sums  $c_{q_1}(n)$  and  $c_{q_2}(n)$  are orthogonal in the sense that

$$\sum_{n=0}^{m-1} c_{q_1}(n)c_{q_2}(n) = 0, \quad q_1 \neq q_2,$$

where  $m = \text{lcm}(q_1, q_2)$ .

▶ For  $q$  prime:  $c_q(n) = \begin{cases} q - 1 & \text{if } n = \text{mul. of } q \\ -1 & \text{otherwise.} \end{cases}$

▶ For  $q = p^m$ :  $c_{p^m}(n) = \begin{cases} 0 & \text{if } p^{m-1} \nmid n \\ -p^{m-1} & \text{if } p^{m-1} | n \text{ but } p^m \nmid n \\ p^{m-1}(p - 1) & \text{if } p^m | n \end{cases}$

▶ Multiplicative property:  $c_{q_1 q_2}(n) = c_{q_1}(n) c_{q_2}(n)$ .

▶ the Mobius function:  $\mu(n) = \begin{cases} 1 & \text{if } n=1 \\ (-1)^K & \text{if } n=p_1 p_2 \dots p_K \\ 0 & \text{otherwise.} \end{cases}$

▶  $c_q(n) = \mu(q)$ , whenever  $(q, n) = 1$ .

## Ramanujan Subspace:

$$\blacktriangleright \mathbf{B}_q = \begin{bmatrix} c_q(0) & c_q(q-1) & c_q(q-2) & \dots & c_q(1) \\ c_q(1) & c_q(0) & c_q(q-1) & \dots & c_q(2) \\ c_q(2) & c_q(1) & c_q(0) & \dots & c_q(3) \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ c_q(q-2) & c_q(q-3) & c_q(q-4) & \dots & c_q(q-1) \\ c_q(q-1) & c_q(q-2) & c_q(q-3) & \dots & c_q(0) \end{bmatrix}$$

- ▶ Ramanujan Space: The column space of  $\mathbf{B}_q$  will be called the Ramanujan subspace  $\mathbf{S}_q$ .
- ▶  $c_q(n)$ , and all its circularly shifted versions, belong to this space.

- ▶ Rank and dimension: There are  $\phi(q)$  nonzero eigenvalues, and  $\mathbf{B}_q$  has rank  $\phi(q)$ . So,  $\mathbf{S}_q$  has dimension  $\phi(q)$ .
- ▶ Positive semidefiniteness:  $\mathbf{B}_q$  is Hermitian with nonnegative eigenvalues  $\in \{0, q\}$ , it is positive semidefinite.
- ▶ Factorization: The circulant  $\mathbf{B}_q$  can be factorized as

$$\mathbf{B}_q = \underbrace{\mathbf{V}}_{q \times \phi(q)} \underbrace{\mathbf{V}^\dagger}_{\phi(q) \times q},$$

where  $V$  a submatrix of the DFT matrix  $W$  obtained by retaining the “coprime columns”, i.e., columns numbered  $k_i$  such that  $(k_i, q) = 1$ .

- ▶ Any consecutive  $\phi(q)$  columns of  $\mathbf{B}_q$  are linearly independent.



## Finite duration (FIR) signals:

- ▶ Arithmetic function expansion:

$$x(n) = \sum_{q=1}^{\infty} \alpha_q c_q(n), \quad n \geq 1,$$

where

$$\alpha_q = \frac{1}{\phi(q)} \left( \lim_{M \rightarrow \infty} \frac{1}{M} \sum_{n=1}^M x(n) c_q(n) \right).$$

- ▶ Arithmetic functions (for which Ramanujan-sum expansions were originally used) are infinite duration sequences, and the coefficients have to be evaluated through the limiting process. But in the FIR case, with  $x(n)$  equal to zero for all  $n$  except possibly in  $1 \leq n \leq N$ .

## The First Ramanujan FIR Representation:



$$\lim_{M \rightarrow \infty} \sum_{n=1}^M x(n)c_q(n)/M = \lim_{M \rightarrow \infty} \sum_{n=1}^N x(n)c_q(n)/M \rightarrow 0,$$

which shows that  $\alpha_q \rightarrow 0$  for each  $q$ . Thus, the conventional approach does not lead to a correct expansion.

- ▶ Consider the expansion:

$$x(n) = \sum_{q=1}^N a_q c_q(n), \quad 0 \leq n \leq N - 1,$$

where the first  $N$  sequences  $c_q(n)$  are all used.

## Continue ....



$$\underbrace{\begin{bmatrix} x(0) \\ x(1) \\ \vdots \\ x(N-1) \end{bmatrix}}_{\mathbf{x}} = \mathbf{A}_N \underbrace{\begin{bmatrix} a_1 \\ a_2 \\ \vdots \\ a_N \end{bmatrix}}_{\mathbf{a}},$$

where  $\mathbf{A}_N = [\mathbf{c}_1 \quad \mathbf{c}_2 \quad \mathbf{c}_3 \quad \dots \quad \mathbf{c}_N]$  and  
 $\mathbf{c}_m = [c_m(0) \quad c_m(1) \quad c_m(2) \quad \dots \quad c_m(N-1)]^T$

- ▶ Theorem: The matrix  $\mathbf{A}_N$  has full rank  $N$  and  $\det(\mathbf{A}_N) = (-1)^{N-1} N!$
- ▶  $\mathbf{A}_N$  is not an orthogonal matrix.

# A Second Ramanujan Representation for FIR Signals

## Replacing $c_q(n)$ With the Subspace $S_q(n)$

- ▶ Equivalent representation of  $x(n)$ :

$$\mathbf{x} = [\mathbf{c}_{q_1} \quad \mathbf{c}_{q_2} \quad \dots \quad \mathbf{c}_{q_K}] \mathbf{d},$$

where  $q_i$  are the  $K$  divisors of  $N$ .

- ▶ An arbitrary FIR sequence of length  $N$  cannot be represented as in conventional way if  $\alpha_q$  is in conventional form. Only those FIR sequences which are in this column space can be represented.
- ▶ Each  $c_{q_i}$  represents just one vector in the Ramanujan space  $S_{q_i}$ , which has dimension  $\phi(q_i)$ . Replace  $c_{q_i}$  with the matrix

$$\mathbf{G}_{q_i} = \left[ \mathbf{c}_{q_i} \quad \mathbf{c}_{q_i}^{(1)} \quad \dots \quad \mathbf{c}_{q_i}^{(\phi(q_i)-1)} \right]_{N \times \phi(q_i)},$$

where  $\mathbf{c}_{q_i}^{(k)}$  represents circular downshifting by  $k$ .

## Properties of $\mathbf{F}_N$

- ▶  $\mathbf{F}_N = [\mathbf{G}_{q_1} \quad \mathbf{G}_{q_2} \quad \cdots \quad \mathbf{G}_{q_K}]_{N \times N}$ , ( $\sum_{q_i|N} \phi(q_i) = N$ .)
- ▶ Theorem (Orthogonality): For  $i \neq k$ , the columns of the submatrices  $\mathbf{G}_{q_i}$  and  $\mathbf{G}_{q_k}$  in the matrix  $\mathbf{F}_N$  span orthogonal subspaces of  $\mathbb{C}^N$ .
- ▶ Theorem: Any length  $N$  sequence can be represented as a linear combination of the form

$$x(n) = \sum_{q_i|N} \underbrace{\sum_{l=0}^{\phi(q_i)-1} \beta_{il} c_{q_i}(n-l)}_{x_{q_i}(n)},$$

where  $q_i$  are divisors of  $N$  and  $c_{q_i}(n)$  is the  $q_i^{\text{th}}$  Ramanujan sum.

- ▶ Even though the columns of  $\mathbf{G}_{q_i}$  are orthogonal to those of  $\mathbf{G}_{q_k}$  for  $i \neq k$ , the  $\phi(q_i)$  columns of each  $\mathbf{G}_{q_i}$  are in general not orthogonal, so  $\mathbf{F}_N$  itself is in general not an orthogonal matrix.
- ▶ Theorem:  $\mathbf{F}_N$  is an orthogonal matrix iff  $N = 2^m$ .