## Scheduling an Energy Harvesting Network

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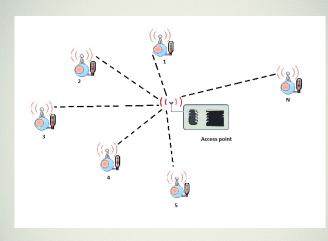
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- Motivation
- System Model
- Problem statement
- MDP Formulation
- Heuristic policy
- Simulation Results
- Multi-armed Bandit problem
- Future work

- Cyber-physical system typically employ wireless sensors for keeping track of physical processes such as temperature and pressure.
- These measurements transmitted back to the central node (access point).
- The time between successive deliveries of packets is an important metric.
- All wireless sensor nodes are energy harvesting.

# System Model



- All 'N' sensor nodes are energy harvesting sensors.
- Access point (AP) is powered by the mains.

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- Atmost L(< N) sensors can simultaneously transmit in a time slot.
- A Control message is sent at the beginning by the AP to select *L* sensors out of *N* sensors.

A scheduling policy for N wireless energy harvesting nodes is to be designed to the following constraints:

- Uniform sized packets are generated at each node when the node is scheduled by the AP.
- Each node has a given some threshold time before which it needs to be scheduled again.
- The QoS requirement of client *n* is specified through an integer, the packet inter-delivery time threshold  $\tau_n$ .

- Battery state of all the EH sensor nodes is known at the AP.
- Packet success probability of all the EH sensor nodes is known at the AP.
- The energy harvesting rate of all the nodes is known at the AP.
- The energy required to transmit a packet in a given time slot is 1 unit.
- One unit of energy is harvested at the beginning of a timeslot with harvesting rate ( $\rho$ ).

# MDP Formulation:

**Goal:** Scheduling the nodes based on the battery energy level, Success Probability and Time elapsed since the latest delivery of the client n's packet.

- a) State space:
  - $\mathcal{B} = \{0, 1, \dots, b_{max}\}$  is the set of battery states.
    - $\mathcal{B}(t) := (b_1(t), \dots, b_N(t))$ , where  $b_n(t)$  is the battery state of client in time slot t.
  - X(t) := (x<sub>1</sub>(t),...,x<sub>N</sub>(t)), where x<sub>n</sub>(t) is the time elapsed since the latest delivery of client n's packet.
- b) Action space:  $U(t) := (u_1(t), \ldots, u_N(t))$ , where  $u_n(t)$  is the action taken for client n, in time slot t.

$$\sum_{n=1}^{N} u_n(t) \leq L$$

(1)

# Contd..

 $u_n(t) = \begin{cases} 1 \text{ if client } n \text{ is selected to transmit in slot } t \\ 0 \text{ otherwise} \end{cases}$ 

c) State transition function: Let two arbitrary states in S be s = (B, X)and s' = (B', X'). The state transition function is the probability that the system starts in state s if it takes an action U and lands in state s'. The system state evolves as,

$$x_n(t+1) = \begin{cases} 0 \text{ if a packet of client } n \text{ is delivered in } t \\ x_n(t) + 1 \text{ otherwise} \end{cases}$$

$$b_n(t+1) = \begin{cases} \max(\min(b_n(t)+1, b_{n(max)}) - u_n(t), 0) \text{ with probab. } \rho_n \\ \max(b_n(t) - u_n(t), 0) \text{ with probability } 1 - \rho_n \end{cases}$$

- Consider a small network consisting of two EHS nodes and an Access point.
- Scheduling policy: The AP can schedule at most one sensor Node.  $N=2, L=\{0,1\}$

$$B = (b_1, b_2), X = (x_1, x_2), U = (u_1, u_2)$$
  
(b\_1, b\_2, x\_1, x\_2)  $\xrightarrow{(u_1, u_2)} (b'_1, b'_2, x'_1, x'_2)$   
possible action set:  $(u_1, u_2) = \{(0, 0), (1, 0), (0, 1)\}$ 

- Energy harvesting rate of  $client_1 = \rho_1$
- Energy harvesting rate of  $client_2 = \rho_2$
- Success Probability of  $client_1 = p_1$
- Success Probability of  $client2 = p_2$

The State Transition Probability is given by,

 $\psi((B, X), U, (B', X')) = P((B', X')|(B, X), U)$ 

## cntd..

P((B', X')|(B, X)) =Case-1: $U = (u_1 = 0, u_2 = 0)$  None of the Nodes are Scheduled.

$$\begin{cases} \rho_1 \rho_2, \ j_1 = \min(i_1 + 1, b_{1max}), j_2 = \min(i_2 + 1, b_{2max}), y_1 = x_1 + 1, \\ y_2 = x_2 + 1 \\ (1 - \rho_1)\rho_2, \ j_1 = i_1, j_2 = \min(i_2 + 1, b_{2max}), y_1 = x_1 + 1, y_2 = x_2 + 1 \\ \rho_1(1 - \rho_2), \ j_1 = \min(i_1 + 1, b_{1max}), j_2 = i_2, y_1 = x_1 + 1, y_2 = x_2 + 1 \\ (1 - \rho_1)(1 - \rho_2), \ j_1 = i_1, j_2 = i_2, y_1 = x_1 + 1, y_2 = x_2 + 1 \end{cases}$$

#### cntd..

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#### **Case-2:** $U = (u_1 = 1, u_2 = 0)$ Node1 is scheduled.

$$\begin{aligned} (1-p_1)\rho_1\rho_2, \, j_1 &= \min(i_1+1-1, \, b_{1max}), j_2 &= \min(i_2+1, \, b_{2max}), \\ y_1 &= x_1+1, \, y_2 &= x_2+1 \end{aligned}$$

$$(1-p_1)(1-\rho_1)\rho_2, \, j_1 &= \max(i_1-1, 0), j_2 &= \min(i_2+1, \, b_{2max}), \\ y_1 &= x_1+1, \, y_2 &= x_2+1 \end{aligned}$$

$$(1-p_1)\rho_1(1-\rho_2), \, (j_1 &= \min(i_1+1-1, \, b_{1max}), j_2 &= i_2, \, y_1 &= x_1+1, y_2 &= x_2+1 \end{aligned}$$

$$(p_1 - p_1)\rho_1(1 - \rho_2), \ (j_1 = min(i_1 + 1 - 1, b_{1max}), j_2 = i_2, y_1 = x_1 + 1, y_2 = x_2 + 1$$

$$(1 - p_1)(1 - \rho_1)(1 - \rho_2), \ j_1 = max(i_1 - 1, 0), j_2 = i_2, y_1 = x_1 + 1, y_2 = x_2 + 1$$

# cntd...

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$$\begin{cases} p_1\rho_1\rho_2, j_1 = \min(i_1 + 1 - 1, b_{1max}), j_2 = \min(i_2 + 1, b_{2max}), \\ y_1 = 0, y_2 = x_2 + 1 \end{cases}$$

$$p_1(1 - \rho_1)\rho_2, j_1 = \max(i_1 - 1, 0), j_2 = \min(i_2 + 1, b_{2max}), \\ y_1 = 0, y_2 = x_2 + 1 \end{cases}$$

$$p_1\rho_1(1 - \rho_2), (j_1 = \min(i_1 + 1 - 1, b_{1max}), j_2 = i_2, y_1 = 0, \\ y_2 = x_2 + 1 \end{cases}$$

$$p_1(1 - \rho_1)(1 - \rho_2), j_1 = \max(i_1 - 1, 0), j_2 = i_2, y_1 = 0, y_2 = x_2 + 1 \end{cases}$$

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#### **Case-3:** $U = (u_1 = 0, u_2 = 1)$ Node2 is scheduled.

$$(1 - p_2)\rho_1\rho_2, j_1 = min(i_1 + 1, b_{1max}), j_2 = min(i_2 + 1 - 1, b_{2max}),$$
  
 $y_1 = x_1 + 1, y_2 = x_2 + 1$ 

$$(1 - p_2)(1 - \rho_1)\rho_2, \ j_1 = i_1, j_2 = min(i_2 + 1 - 1, b_{2max}),$$
  
 $y_1 = x_1 + 1, y_2 = x_2 + 1$ 

$$(1 - p_2)\rho_1(1 - \rho_2), \ j_1 = min(i_1 + 1, b_{1max}), j_2 = max(i_2 - 1, 0), \ y_1 = x_1 + 1, y_2 = x_2 + 1$$

$$(1 - p_2)(1 - \rho_1)(1 - \rho_2), \ j_1 = i_1, j_2 = max(i_2 - 1, 0), y_1 = x_1 + 1, y_2 = x_2 + 1$$

# cntd...

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$$\begin{cases} p_2\rho_1\rho_2, \ j_1 = \min(i_1 + 1, b_{1max}), j_2 = \min(i_2 + 1 - 1, b_{2max}), \\ y_1 = x_1 + 1, y_2 = 0 \end{cases}$$

$$p_2(1 - \rho_1)\rho_2, \ j_1 = \max(i_1 - 1, 0), j_2 = \min(i_2 + 1, b_{2max}), \\ y_1 = x_1 + 1, y_2 = 0 \end{cases}$$

$$p_2\rho_1(1 - \rho_2), \ (j_1 = \min(i_1 + 1 - 1, b_{1max}), j_2 = i_2, y_1 = x_1 + 1, \\ y_2 = 0 \end{cases}$$

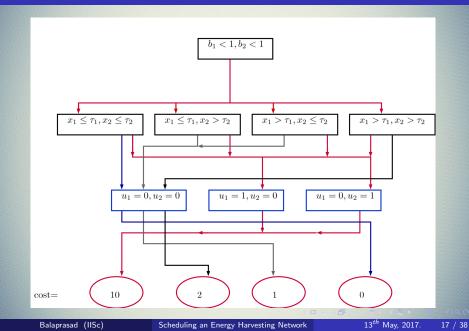
$$p_2(1 - \rho_1)(1 - \rho_2), \ j_1 = \max(i_1 - 1, 0), j_2 = i_2, y_1 = x_1 + 1, y_2 = 0$$

## Immediate cost:

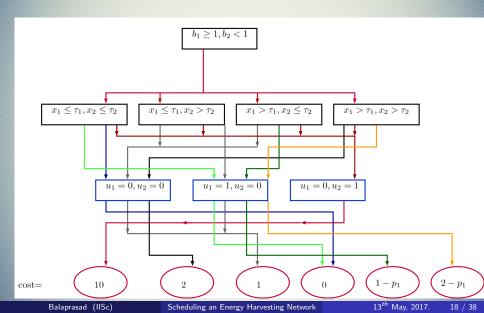
NOTE: E = 1 unit in all the below mentioned cases. *Cost:* Let s = (B, X) be the state of the system. The expected immediate cost is defined as, c(S,U)

 $= \begin{cases} 10 & \text{if } b_1 < E, b_2 < E, u_1 \neq 0, u_2 \neq 0, x_1 \le \tau_1 \text{ and } x_2 \le \tau_2 \\ 10 & \text{if } b_1 < E, b_2 < E, u_1 \neq 0, u_2 \neq 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \end{cases}$  $\begin{pmatrix} 0 & \text{if } b_1 < E, b_2 < E, u_1 = 0, u_2 = 0, x_1 \le \tau_1 \text{ and } x_2 \le \tau_2 \\ 1 & \text{if } b_1 < E, b_2 < E, u_1 = 0, u_2 = 0, x_1 \le \tau_1 \text{ and } x_2 > \tau_2 \\ 1 & \text{if } b_1 < E, b_2 < E, u_1 = 0, u_2 = 0, x_1 \le \tau_1 \text{ and } x_2 > \tau_2 \\ 1 & \text{if } b_1 < E, b_2 < E, u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 \le \tau_2 \\ 2 & \text{if } b_1 < E, b_2 < E, u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \end{cases}$ 

when  $b_1 < 1, b_2 < 1$ :



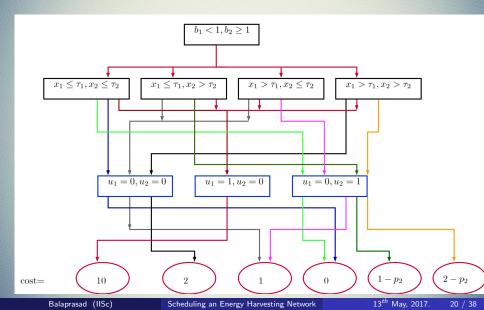
# when $b_1 \ge 1, b_2 < 1$ :



#### when $b_1 \ge 1, b_2 < 1$ :

if  $b_1 \ge E$ ,  $b_2 < E$ ,  $u_1 = 0$ ,  $u_2 = 0$ ,  $x_1 \le \tau_1$  and  $x_2 \le \tau_2$  $\begin{array}{lll} 0 & \text{if } b_1 \geq E, b_2 < E, \, u_1 = 0, \, u_2 = 0, \, x_1 \leq \tau_1 \, \text{and} \, x_2 \leq \tau_2 \\ 1 & \text{if } b_1 \geq E, \, b_2 < E, \, u_1 = 0, \, u_2 = 0, \, x_1 \leq \tau_1 \, \text{and} \, x_2 > \tau_2 \\ 1 & \text{if } b_1 \geq E, \, b_2 < E, \, u_1 = 0, \, u_2 = 0, \, x_1 > \tau_1 \, \text{and} \, x_2 \leq \tau_2 \\ 2 & \text{if } b_1 \geq E, \, b_2 < E, \, u_1 = 0, \, u_2 = 0, \, x_1 > \tau_1 \, \text{and} \, x_2 > \tau_2 \\ \end{array} \\ 0 & \text{if } b_1 \geq E, \, b_2 < E, \, u_1 = 1, \, u_2 = 0, \, x_1 \leq \tau_1 \, \text{and} \, x_2 \leq \tau_2 \\ 1 & \text{if } b_1 \geq E, \, b_2 < E, \, u_1 = 1, \, u_2 = 0, \, x_1 \leq \tau_1 \, \text{and} \, x_2 > \tau_2 \\ 1 & \text{if } b_1 \geq E, \, b_2 < E, \, u_1 = 1, \, u_2 = 0, \, x_1 \leq \tau_1 \, \text{and} \, x_2 > \tau_2 \\ 1 & -p_1 & \text{if } b_1 \geq E, \, b_2 < E, \, u_1 = 1, \, u_2 = 0, \, x_1 > \tau_1 \, \text{and} \, x_2 \leq \tau_2 \\ 2 & -p_1 & \text{if } b_1 \geq E, \, b_2 < E, \, u_1 = 1, \, u_2 = 0, \, x_1 > \tau_1 \, \text{and} \, x_2 > \tau_2 \\ 10 & \text{if } b_1 \geq E, \, b_2 < E, \, u_1 = 0, \, u_2 = 1, \, \forall \, x_1 \, \text{and} \, x_2 \end{array}$ 

# when $b_1 < 1, b_2 \ge 1$ :



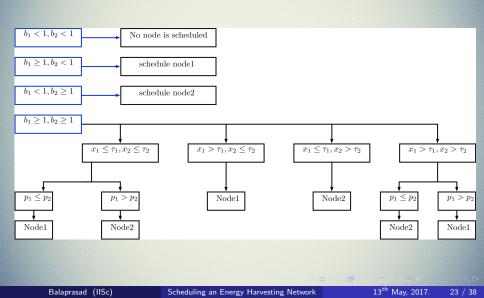
### when $b_1 < 1, b_2 \ge 1$ :

if  $b_1 < E, b_2 > E, u_1 = 0, u_2 = 0, x_1 \le \tau_1$  and  $x_2 \le \tau_2$  $\begin{array}{|c|c|c|c|c|c|} \hline & \text{if } b_1 < E, b_2 \ge L, \ u_1 = 0, u_2 = 0, x_1 \le \tau_1 \text{ and } x_2 \le \tau_2 \\ \hline & \text{if } b_1 < E, b_2 \ge E, \ u_1 = 0, u_2 = 0, x_1 \le \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 \ge E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 \le \tau_2 \\ \hline & \text{if } b_1 < E, b_2 \ge E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 \ge E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 \ge E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 \ge E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 \ge E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 \ge E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 \ge E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 \ge E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 \ge E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 \ge E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 \ge E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 \ge E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 \ge E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 \ge E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 \ge E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 \ge E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 > E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 > E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 > E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 > E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 < E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 < E, \ u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ \hline & \text{if } b_1 < E, b_2 <$ if  $b_1 < E, b_2 \ge E, u_1 = 0, u_2 = 0, x_1 > \tau_1 \text{ and } x_2 > \tau_2$  $\begin{cases} 2 & \text{if } b_1 < E, z \ge E, u_1 = 0, u_2 = 1, x_1 \le \tau_1 \text{ and } x_2 \le \tau_2 \\ 1 - p_2 & \text{if } b_1 < E, b_2 \ge E, u_1 = 0, u_2 = 1, x_1 \le \tau_1 \text{ and } x_2 > \tau_2 \\ 1 & \text{if } b_1 < E, b_2 \ge E, u_1 = 0, u_2 = 1, x_1 > \tau_1 \text{ and } x_2 \le \tau_2 \\ 2 - p_2 & \text{if } b_1 < E, b_2 \ge E, u_1 = 0, u_2 = 1, x_1 > \tau_1 \text{ and } x_2 > \tau_2 \\ 10 & \text{if } b_1 \ge E, b_2 < E, u_1 = 1, u_2 = 0, \forall x_1 \text{ and } x_2 \end{cases}$  when  $b_1 \ge 1, b_2 \ge 1$ :

	0	if $b_1$	2	Ε,	b <sub>2</sub>	≥ <i>E</i>	, <i>u</i> 1	= 0,	<i>u</i> <sub>2</sub> =	= 0,	<i>x</i> <sub>1</sub> :	$\leq \tau_1$	and	$ x_2  \leq$	$\leq \tau_2$
	1	if $b_1$	2	Ε,	b <sub>2</sub> ]	≥ <i>E</i>	, <i>u</i> 1	= 0,	<i>u</i> <sub>2</sub> =	= 0,	<i>x</i> <sub>1</sub> :	$\leq \tau_1$	and	$ x_{2}>$	> T <sub>2</sub>
SVOVOVOVO	1	if $b_1$	2	Ε,	b <sub>2</sub> ]	≥ <i>E</i>	, <i>u</i> <sub>1</sub>	= 0,	<i>u</i> <sub>2</sub> =	= 0,	<i>x</i> <sub>1</sub> :	$> \tau_1$	and	$ x_2  \leq$	$\leq \tau_2$
	2	if $b_1$	$\geq$	Ε,	b <sub>2</sub> ]	≥ <i>E</i>	, <i>u</i> 1	= 0,	<i>u</i> <sub>2</sub> =	= 0,	<i>x</i> <sub>1</sub> 2	$> \tau_1$	and	$ x_{2}>$	> τ <sub>2</sub>
100000	0	if $b_1$	$\geq$	Ε,	b <sub>2</sub>	≥ <i>E</i>	, <i>u</i> <sub>1</sub>	= 1,	<i>u</i> <sub>2</sub> =	= 0,	<i>x</i> <sub>1</sub> :	$\leq \tau_1$	and	$ x_2  \leq$	$\leq \tau_2$
1 100 - 0 10	1	if $b_1$	$\geq$	Ε,	b <sub>2</sub>	≥ <i>E</i>	, <i>u</i> 1	= 1,	<i>u</i> <sub>2</sub> =	= 0,	<i>x</i> <sub>1</sub>	$\leq \tau_1$	and	$ x_{2}>$	> τ <sub>2</sub>
<	1 -	<i>p</i> <sub>1</sub>	if	<i>b</i> <sub>1</sub>	$\geq E$	E, b <sub>2</sub>	$\geq E$	Ξ, <i>u</i> <sub>1</sub>	= 1	L, <i>u</i> <sub>2</sub>	= (	0, <i>x</i> <sub>1</sub>	$> \tau$	-1 and	$x_2 \leq \tau_2$
0001000	2 –	<i>p</i> 1	if	<i>b</i> <sub>1</sub>	$\geq E$	E, b <sub>2</sub>	$\geq E$	Ē, u <sub>1</sub>	= 1	l, <i>u</i> <sub>2</sub>	= (	0, <i>x</i> 1	$> \tau$	-1 and	$x_2 > \tau_2$
a lo lo la la															
0100000	0	if $b_1$	2	Ε,	$b_2$	≥ <i>E</i>	, <i>u</i> <sub>1</sub>	= 0,	u <sub>2</sub> =	= 1,	$x_1$	$\leq \tau_1$	and	$ x_2  \leq$	$\leq \tau_2$
A STORES	1 -	<i>p</i> <sub>2</sub>	if	<i>b</i> <sub>1</sub>	$\geq E$	E, b <sub>2</sub>	$\geq E$	Ē, u <sub>1</sub>	= 0	), u <sub>2</sub>	=	$1, x_1$	$\leq \tau$	-1 and	$x_2 > \tau_2$
Constant of the second	1	if $b_1$	2	Ε,	b <sub>2</sub>	≥ <i>E</i>	, <i>u</i> 1	= 0,	<i>u</i> <sub>2</sub> =	= 1,	<i>x</i> <sub>1</sub> :	$> \tau_1$	and	$ x_2  \leq$	$\leq \tau_2$
	2 -	<i>p</i> <sub>2</sub>	if	<i>b</i> <sub>1</sub>	$\geq E$	E, b <sub>2</sub>	$\geq E$	Ē, u <sub>1</sub>	= 0	), <i>u</i> <sub>2</sub>	=	$1, x_1$	> 7	ana	$1 x_2 > \tau_2$
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# Heuristic policy



#### **Cost Function:**

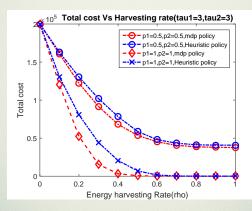
The T-horizon optimal cost-to-go from initial state x,  $V_T(x)$  is given by,

$$:= \min_{\pi: \Sigma_n U_n(t) \le L} \mathbb{E} \{ \sum_{t=0}^{T-1} \sum_{n=1}^N ((X_n(t) + 1 - \tau_n)^+ 1\{X_n(t+1) = 0\}) | X(0) = x \}$$

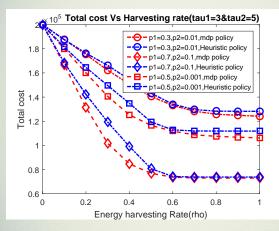
## Simulation Results

#### Effect of Success Probability:

- For all the simulations  $\rho_1 = \rho_2 = \rho$
- Total cost is decreasing as the harvesting rate increases.
- Total cost is decreasing as the success probability increases.

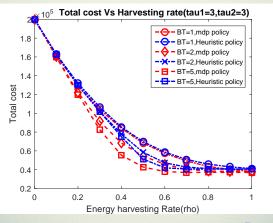


# Effect of Success Probability:



# Effect of Effect of Battery Capacity:

- Total cost is independent of the battery capacity at low and high harvesting rates.
- Higher battery capacity gives good performance in the range from 0.3 to 0.8.

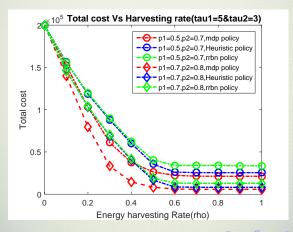


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### Comparison with Round-robin policy

MDP policy performs better than the Round-robin policy.



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- 'L out of N' type sequential decision problems
- Simple Multi-armed bandits: only active projects/arms incur the cost and evolve
- Restless multi-armed bandits: projects/arms which are not scheduled also evolve and incur the cost, e.g., N queues served by L servers

#### Whittle Index based policy for RMABs

- Compute the Whittle index for each arm
- Choose arms with top L whittle index
- Such policies are near-optimal, and can be shown to be asymptotically optimal as  $N \to \infty$  with  $\frac{L}{N}$  fixed

# $\omega$ -subsidy problem

For nth node:

The state space contains the battery and time elapsed  $S_n = (B_n(t), d_n(t))$   $B_n(t) \in \{0, 1, ..., b_n^{max}\}$   $d_n(t) \in \{0, 1, ..., \tau_n\}$ Total no.of states  $= (b_n^{max} + 1)(\tau_n + 1)$ . The transition probabilities are given by,

$$\begin{cases} \rho & \text{if } B_n(t)' = \min(B_n(t) + 1, b_n^{max}), d_n(t)' = d_n(t) + 1, u = 0\\ (1 - \rho) & \text{if } B_n(t)' = B_n(t), d_n(t)' = d_n(t) + 1, u = 0\\ \rho \rho & \text{if } B_n(t)' = \min(B_n(t) + 1 - 1, b_n^{max}), d_n(t)' = 0, u = 1\\ \rho(1 - \rho) & \text{if } B_n(t)' = \min(B_n(t) + 1 - 1, b_n^{max}), d_n(t)' = d_n(t) + 1, \\ u = 1\\ (1 - \rho)\rho & \text{if } B_n(t)' = \max(B_n(t) - 1, 0), d_n(t)' = 0, u = 1\\ (1 - \rho)(1 - \rho) & \text{if } B_n(t)' = \max(B_n(t) - 1, 0), d_n(t)' = d_n(t) + 1, \\ u = 1 \end{cases}$$

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B<sub>n</sub>(t) = 0: Only passive action is possible and subsidy ω = 0
B<sub>n</sub>(t) = 1:(considering unit battery only, β = B<sub>n</sub><sup>max</sup> = 1)

$$\phi(eta, heta, ext{q}) = egin{cases} ext{Passive} & ext{if } d_n(t) < heta \ ext{Passive with prob. } ' ext{q}' & ext{if } d_n(t) = heta \ ext{Active} & ext{if } d_n(t) > heta \end{cases}$$

The optimal average reward R is the same for all initial states and together with some vector  $f = \{f(1), ..., f(n)\}$  satisfies Bellman's equation:

$$R + f(i) = \min_{u \in \{0,1\}} \left[ c(i,u) + \sum_{j=1}^{n} p_{ij}(u) f(j) \right]$$
(2)

The Bellman's equation can be written as,

$$\begin{split} & R + f(B_n(t), d_n(t)) = \max_{u \in \{0,1\}} \{-1\{i = \tau_n\} + \omega(1-u)\mathbb{1}\{B_n(t) \ge 1\} \\ & + \rho(1-u)f(((B_n(t)+1) \land B_n^{max}), ((d_n(t)+1) \land \tau_n)) \\ & + (1-\rho)(1-u)f(B_n(t), ((d_n(t)+1) \land \tau_n)) \\ & + \rho p u \mathbb{1}\{B_n(t) \ge 1\}f(((B_n(t)+1-1) \land B_n^{max}), 0)) \\ & + (1-\rho)p u \mathbb{1}\{B_n(t) \ge 1\}f(((B_n(t)-1), 0) \\ & + \rho(1-p)u \mathbb{1}\{B_n(t) \ge 1\}f(((B_n(t)+1-1) \land B_n^{max}), ((d_n(t)+1) \land \tau_n)) \\ & + (1-\rho)(1-p)u \mathbb{1}\{B_n(t) \ge 1\}f(((B_n(t)-1) \land B_n^{max}), ((d_n(t)+1) \land \tau_n)) \\ \end{split}$$

Case1: $\phi(\beta, \theta, q) = \phi(1, 0, 0)$ ,  $B_n^{max} = 1$  and  $\tau_n = 1$ 

•  $B_n = 0$ : Always passive

$$R + f(0,0) = \rho f(1,1) + (1-\rho)f(0,1) \tag{3}$$

$$R + f(0,1) = -1 + \rho f(1,1) + (1-\rho)f(0,1) \tag{4}$$

• 
$$B_n = 1$$
:  
 $d_n = \theta \rightarrow \text{Passive with prob. 'q=0'(Active)}$   
 $R + f(1,0) = \rho p f(1,0) + (1-\rho) p f(0,0) + \rho (1-\rho) f(1,1) + (1-\rho) (1-\rho) f(0,1)$ 

$$d_n > \theta \rightarrow \text{Active}$$
  
 $R + f(1, 1) = -1 + \rho p f(1, 0) + (1 - \rho) p f(0, 0) + \rho (1 - \rho) f(1, 1) + (1 - \rho) (1 - \rho) f(0, 1)$ 

Solution: 
$$R = \rho p - 1, f(0, 1) = -1, f(1, 0) = p, f(1, 1) = p - 1$$

Case2: $\phi(\beta, \theta, q) = \phi(1, 1, 1), B_n^{max} = 1$ an $d\tau_n = 1$ 

•  $B_n = 0$ : Always passive

$$R + f(0,0) = \rho f(1,1) + (1-\rho)f(0,1)$$
(5)  

$$R + f(0,1) = -1 + \rho f(1,1) + (1-\rho)f(0,1)$$
(6)  
•  $B_n = 1$ :  
 $d_n < \theta \rightarrow \text{Passive}$   

$$R + f(1,0) = \omega + \rho f(1,1) + (1-\rho)f(1,1)$$
(7)  
 $d_n = \theta \rightarrow \text{Passive with prob. 'q=1'}$   

$$R + f(1,0) = -1 + \omega + \rho f(1,1) + (1-\rho)f(1,1)$$
(8)  
Hution:  $R = \omega - 1, f(0,1) = -1, f(1,0) = \frac{\omega}{\rho}, f(1,1) = \frac{\omega-\rho}{\rho}$ 

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Case3: $\phi(\beta, \theta, q) = \phi(1, 1, 0)$ ,  $B_n^{max} = 1$  and  $\tau_n = 1$ 

•  $B_n = 0$ : Always passive

$$R + f(0,0) = \rho f(1,1) + (1-\rho)f(0,1)$$
(9)

$$R + f(0,1) = -1 + \rho f(1,1) + (1-\rho)f(0,1)$$
(10)

• 
$$B_n = 1$$
:  
 $d_n < \theta \rightarrow Passive$ 

$$R + f(1,0) = \omega + \rho f(1,1) + (1-\rho)f(1,1)$$
(11)

 $d_n = \theta \rightarrow \text{Passive with prob. 'q=0'}$ 

$$R + f(1,1) = -1 + 
ho pf(1,0) + (1-
ho)pf(0,0) + 
ho(1-p)f(1,1) + (1-
ho)(1-p)f(0,1)$$

Solution: 
$$R = \frac{p\rho + p\rho^{2}\omega - p\rho^{2} - 1}{p\rho^{2} + 1}, f(0, 1) = -1, f(1, 0) =$$

$$\frac{p + \omega - p\rho + p\rho\omega}{p\rho^{2} + 1}, f(1, 1) = \frac{-p\rho^{2} + p\omega\rho + p - 1}{p\rho^{2} + 1}$$
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- Average reward and  $\omega\text{-subsidy}$  expressions are need to be computed for general  $\theta$
- Whittle index for unit battery case
- Comparing whittle index policy optimality against MDP policy
- Extending whittle index for general battery case

# Bibliography

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