# An Iterative Re-Weighted Minimization Framework for Joint Channel and Power Allocation in the OFDMA System

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### Overview

- 1 Abstract
- 2 System Model
- 3 Problem Formulation
- 4 Problem Re-Formulation
- **5** MA Problem Relaxation
- 6 Novel IRM Framework
- **7** Algorithm for solving MA problem
- 8 Experimental setup
- 9 Simulation Result
- 10 Summary

#### **Abstract**

- Joint channel and rate allocation with power minimization in OFDMA has attracted extensive attention.
- The problem is to find a joint channel and power allocation strategy to minimize the total transmission power subject to QoS and the OFDMA constraints.
- In this paper, authors propose a *novel iterative reweighted minimization* framework based on an effective relaxation which jointly allocates the channel and power resources to users.
- Outperforms state-of-the-art algorithms!

## System Model

• Consider a multi-user single cell OFDMA system with K users sharing N channels( $N \ge K$ ).

#### **Notations:**

- $\mathcal{K} = \{1,2....K\}$  denote the set of users.
- $\mathcal{N} = \{1,2,...,\mathbf{N}\}\$  denote the set of channels.
- $\mathfrak{p}_k^n$  denote the transmit power to user k through channel n.
- $\alpha_k^n$  denote the channel gain between the BS and user k of channel n.
- $SINR_{L}^{n}$  denote the SINR of user k on subcarrier n.
- $\mathcal{R}_k$  denote the achievable data rate of  $k^{th}$  user.

$$SINR_k^n = rac{lpha_k^n \mathfrak{p}_k^n}{\eta_k^n}; \quad \mathcal{R}_k = \sum_{n \in \mathcal{N}} \log_2(1 + SINR_k^n)$$

#### Problem Formulation

 Mathematically, joint subcarrier and power allocation problem for multi-user OFDMA system can be formulated as

$$\begin{aligned} & \underset{\{\mathfrak{p}_{k}^{n}\}}{\min} & & \sum_{k \in \mathcal{K}} \sum_{n \in \mathcal{N}} \mathfrak{p}_{k}^{n} \\ & \text{subject to} & & \sum_{n \in \mathcal{N}} \log_{2}(1 + \mathit{SINR}_{k}^{n}) \geq \gamma_{k} \quad , k \in \mathcal{K} \\ & & 0 \leq \mathfrak{p}_{k}^{n} \leq \mathcal{P}_{k}^{n} \quad , k \in \mathcal{K}, n \in \mathcal{N} \\ & & \mathfrak{p}_{k}^{n} \mathfrak{p}_{j}^{n} = 0 \quad \forall j \neq k; k, j \in \mathcal{K}, n \in \mathcal{N} \end{aligned} \tag{1}$$

### Problem Re-Formulation

• By introducing a group of binary variables  $\mathcal{Y}_k^n$  problem(1) can be reformulated as

$$\begin{aligned} & \underset{\{\mathfrak{p}_{k}^{n},\mathcal{Y}_{k}^{n}\}}{\min} & & \sum_{k\in\mathcal{K}}\sum_{n\in\mathcal{N}}\mathfrak{p}_{k}^{n} \\ & \text{subject to} & & \sum_{n\in\mathcal{N}}\log_{2}(1+SINR_{k}^{n})\geq\gamma_{k} \quad, k\in\mathcal{K} \\ & & 0\leq\mathfrak{p}_{k}^{n}\leq\mathcal{Y}_{k}^{n}\mathcal{P}_{k}^{n} \quad, k\in\mathcal{K}, n\in\mathcal{N} \\ & & \sum_{k\in\mathcal{K}}\mathcal{Y}_{k}^{n}\leq1 \quad, n\in\mathcal{N} \\ & & & \mathcal{Y}_{k}^{n}=\{0,1\} \quad, k\in\mathcal{K}, n\in\mathcal{N} \end{aligned} \tag{2}$$

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The above problem is strongly-NP hard!

#### MA Problem Relaxation

• Let  $\mathcal{X}_k^n = \frac{\mathfrak{p}_k^n}{\mathcal{P}^{Max}}$  and  $\beta_k^n = \frac{\alpha_k^n \mathfrak{p}_k^n}{\eta_k^n}$ , the MA problem(2) can be re-written as

$$\begin{aligned} & \underset{\{\mathcal{X}_{k}^{n},\mathcal{Y}_{k}^{n}\}}{\min} & & \sum_{k \in \mathcal{K}} \sum_{n \in \mathcal{N}} P^{\textit{Max}} \mathcal{X}_{k}^{n} \\ & \text{subject to} & & \sum_{n \in \mathcal{N}} \log_{2}(1+\beta_{k}^{n}\mathcal{X}_{k}^{n}) \geq \gamma_{k} \quad , k \in \mathcal{K} \\ & & 0 \leq \mathcal{X}_{k}^{n} \leq \mathcal{Y}_{k}^{n} \quad , k \in \mathcal{K}, n \in \mathcal{N} \\ & & \sum_{k \in \mathcal{K}} \mathcal{Y}_{k}^{n} = 1 \quad , n \in \mathcal{N} \\ & & \mathcal{Y}_{k}^{n} = \{0,1\} \quad , k \in \mathcal{K}, n \in \mathcal{N} \end{aligned} \tag{4}$$

## MA Problem Relaxation(Contd..)

 In particular {\mathcal{Y}\_k^n} satisfies the last 2 constraints of problem(3) if it solves the following optimization problem

$$\begin{aligned} & \min_{\{\mathcal{Y}_k^n\}} & & \sum_{k \in \mathcal{K}} \sum_{n \in \mathcal{N}} (\mathcal{Y}_k^n + \epsilon)^q & q \in (0, 1) \\ & \text{subject to} & & \sum_{k \in \mathcal{K}} \mathcal{Y}_k^n = 1 &, n \in \mathcal{N} \\ & & & \mathcal{Y}_k^n \geq 0 &, k \in \mathcal{K}, n \in \mathcal{N} \end{aligned} \tag{5}$$

## MA Problem Relaxation(Contd..)

Based on the previous reformulation ,we can relax problem(3) to

$$\min_{\{\mathcal{X}_{k}^{n}, \mathcal{Y}_{k}^{n}\}} \quad \sum_{k \in \mathcal{K}} \sum_{n \in \mathcal{N}} P^{\text{Max}} \mathcal{X}_{k}^{n} + \lambda \sum_{k \in \mathcal{K}} \sum_{n \in \mathcal{N}} (\mathcal{Y}_{k}^{n} + \epsilon)^{q}$$
subject to 
$$\sum_{n \in \mathcal{N}} \log_{2}(1 + \beta_{k}^{n} \mathcal{X}_{k}^{n}) \geq \gamma_{k} \quad , k \in \mathcal{K}$$

$$0 \leq \mathcal{X}_{k}^{n} \leq \mathcal{Y}_{k}^{n} \quad , k \in \mathcal{K}, n \in \mathcal{N}$$

$$\sum_{k \in \mathcal{K}} \mathcal{Y}_{k}^{n} = 1 \quad , n \in \mathcal{N}$$

$$(6)$$

### Iterative Re-Weighted Framework

 Problem(6) is solved using iterative re-weighted minimization framework.

### Relaxed MA problem using IRM framework

• Iterate : Until Convergance

### Algorithm for solving MA problem

- Input : Desired rate target  $\gamma_k$  and perfect CSI (Unrealistic!).
- Initialization : $\lambda=$ N $\mathcal{P}^{Max}$  ;  $\mathcal{W}_k^n(0)=$ 1  $\forall k\in\mathcal{K}, n\in\mathcal{N}$  and  $\epsilon(0)=$ 1.
- Iterate : Until Convergance

$$\begin{aligned} & \min_{\{\mathcal{X}_k^n, \mathcal{Y}_k^n\}} & & \sum_{k \in \mathcal{K}} \sum_{n \in \mathcal{N}} P^{\textit{Max}} \mathcal{X}_k^n + \lambda q \sum_{k \in \mathcal{K}} \sum_{n \in \mathcal{N}} \mathcal{W}_k^n(t) \mathcal{Y}_k^n \\ & \text{subject to} & & 6(a), 6(b) \textit{and} 6(c) \\ & \textit{Update}: & & \mathcal{W}_k^n(t+1) = [\mathcal{X}_k^n(t+1) + \epsilon(t+1)]^{q-1} \\ & & & \epsilon(t+1) = 1e^{-4} & \textit{or} & \min\{\epsilon(t), \gamma. \max(\mathcal{X}_k^n)_2\} \end{aligned} \end{aligned} \tag{8}$$

• Output : Channel allocation matrix  $\mathcal{Y}_k^n$  and Power allocation matrix  $\mathcal{X}_k^n$ 



### Experimental setup

- Cell radius is 20 m.
- $L_k^n = 38.48 + 20 \log(dk), \alpha_k^n = 10^{L_k^n/10} \zeta_k^n.$
- Each subcarrier can have atmost power of 5mW ,  $\mathcal{P}_k^n = 5$ mW.
- Desired data rate for each user is 100bps,  $\gamma_k = 100bps$ .
- Number of subcarriers is 3 times of number of users, N = 3\*K.
- Updates:

#### Method 1:

$$w(t+1) = (\mathcal{X}_k^n(t) + \epsilon(t+1))^{q-1}$$
  

$$\epsilon(t+1) = \min\{\epsilon(t), \gamma. \max(\mathcal{X}_k^n)_2\}$$

#### Method 2:

$$w(t+1) = 1/(\mathcal{X}_k^n(t) + \epsilon(t+1))$$
  

$$\epsilon(t+1) = \min\{\epsilon(t), \gamma.\max(\mathcal{X}_k^n)_2\}$$

### Total transmission power $P_t$ vs. Number of users K

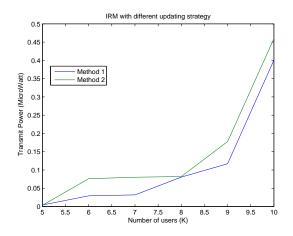


Figure: Total transmit power as a function of Number of users. The following result is obtained after averaging 25 channel realizations.

## Summary

- The proposed algorithm uses *novel iterative reweighted* framework based on an effective relaxation of MA problem.
- The proposed algorithm simultaneously allocates the channel and power resources. Hence, different from state-of-the-art algorithms.
- In fact, it outperforms state-of-the-art algorithms.