

# Transmission Capacity of Wireless Ad Hoc Networks

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Workshop on Models and Protocols for Mobile Ad-Hoc Networks

Oct 16, 2015

# Motivation

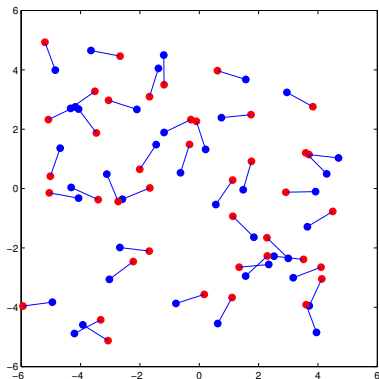
- ▶ A set of links (TX and RX) are randomly distributed in  $\mathbb{R}^2$ .
- ▶ A MAC protocol activates a subset of these links
  - ▶ ALOHA, CSMA, TDMA
- ▶ All nodes transmit at the same power
- ▶ A scheduled link succeeds if its SIR  $> \theta$

## Questions

1. How to quantify the efficiency (packing) of the MAC protocol?
2. Better signal processing or better MAC?

# Dipole model

- ▶ The links are distributed as a stationary point process  $\Phi \subset \mathbb{R}^2$  of unit
- ▶ Each transmitter has a receiver at a distance  $d$  in a random direction
- ▶ Path loss function is denoted by  $l(x)$ . Eg.  $l(x) = \|x\|^{-\alpha}$
- ▶ A subset of these nodes  $\Phi_t \subset \Phi$  are activated by a MAC protocol
  - ▶ Density:  $\lambda_t$

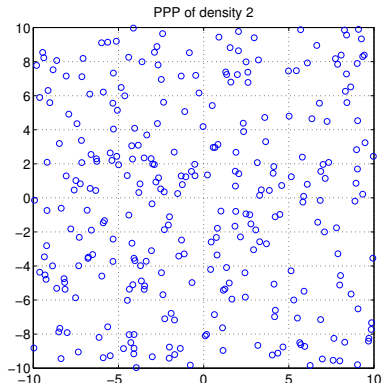


Quantify performance of MAC and its interaction with the signal processing

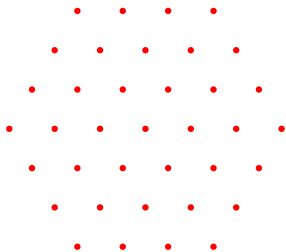
Some models for spatial locations of the links

# Spatial poisson point process

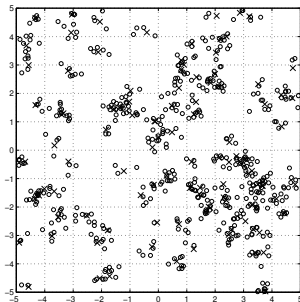
1. The most widely used model for spatial locations of nodes
  - ▶ Most amicable to analysis
  - ▶ "Gaussian of point processes"
2. No dependence between node locations
3. Random number of nodes
4. Can be defined on the entire plane



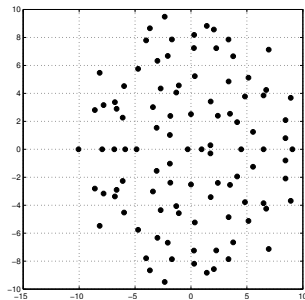
## Other interesting examples



Hexagonal lattice



Thomas cluster process



Determinantal point process (eigenvalues of a Gaussian matrix)

## Examples of MAC schemes

# ALOHA

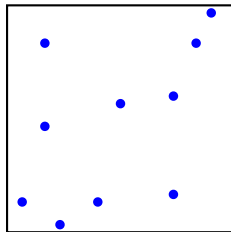
Let  $\Phi$  be a PP of density  $\lambda$

1. A node (link)  $x \in \Phi$  is scheduled with probability  $p$  independent of other nodes.
2. The transmitter process  $\Phi_t$  is stationary with density  $\lambda p$ .



# CSMA model: Matern technique

1. Begin with a PP  $\Phi$  of density  $\lambda$ .
2. To each  $x \in \Phi$ , associate a mark  $m_x \sim U[0, 1]$  independent of every other point.
3. A node  $x \in \Phi$  selected if it has the lowest mark among all the points in the ball  $B(x, R)$ .



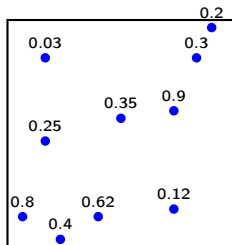
$$\Psi = \{y : y \in \Phi, m_y \leq m_x, \forall x \in B(y, R) \cap \Phi\}$$

A minimum distance process for modelling CSMA MAC.

## CSMA model: Matern technique

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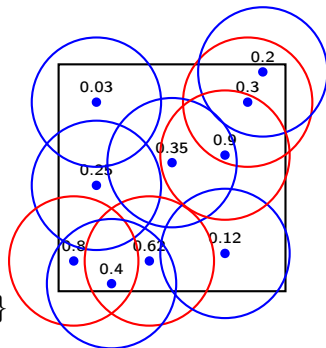


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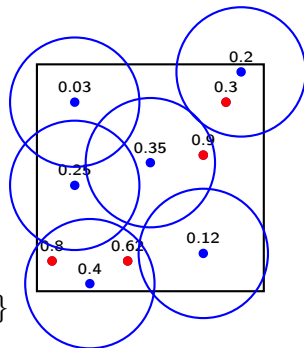


A minimum distance process for modelling CSMA MAC.

## CSMA model: Matern technique

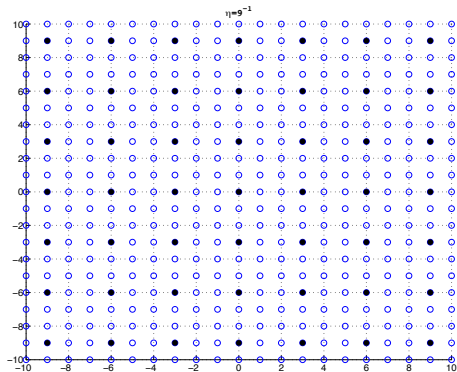
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A minimum distance process for modelling CSMA MAC.

# m-Phase TDMA



# Signal processing techniques

- ▶ TX has  $N_t$  transmit antenna
- ▶ RX has  $N_r$  receive antenna
- ▶ MRC, spatial multiplexing....

## Link formation probability

Signal-to-interference ratio

$$\text{SIR}(x) = \frac{h_{xr(x)}\ell(d)}{\sum_{y \in \Phi_t} h_{yr(x)}\ell(y - r(x))}$$

The success probability of a *typical link*

$$P_c(\theta, \lambda) = \mathbb{P}^{\circ}(\text{SIR}(o) > \theta)$$

Reduced Palm probability:

- ▶ picking a link at random
- ▶ average over all the links
- ▶ conditioning on a point at the origin (but not counting it)

## Metric: Transmission capacity(TC)

Let  $\epsilon \in (0, 1)$ . TC is defined as

$$TC(\epsilon) = (1 - \epsilon) \max\{\lambda : p_s(\theta, \lambda) > 1 - \epsilon\}$$

1. TC measures the maximum spatial intensity of successful transmissions per unit area for a given success probability.
2. Can be related to area spectral efficiency (ASE) by multiplying with  $\log_2(1 + \theta)$ .

We are interested in the behaviour (scaling) of  $TC(\epsilon)$  when  $\epsilon \rightarrow 0$



# ALOHA MAC

Node distribution:  $\Phi \sim \text{PP}(1)$

MAC: ALOHA with parameter  $p$ .

$\Phi_t$  is a stationary PP with density  $p$ .

$$\begin{aligned} p_s(\theta, \lambda) &= \mathbb{P}^{!o}(\text{SIR}(o, r(o)) > \theta) \\ &= \mathbb{P}^{!o} \left( \frac{S\ell(d)}{I_t} > \theta \right) = \mathbb{E}^{!o} \left[ F_s \left( \theta \frac{I_t}{\ell(d)} \right) \right] \end{aligned}$$

Here  $I_t = \sum_{x \in \Phi} \mathbf{1}(x \text{ is selected}) h_x \ell(x - r(o))$

## Basic idea: 2 node network

Let  $Y_i = \frac{\theta h_{x_i}}{\ell(d)} l(x_i - r(o)), i = 1, 2$ . Then

$$\hat{l}_t = Y_1 \mathbf{1}(1 \text{ is on}) + Y_2 \mathbf{1}(2 \text{ is on}).$$

Hence averaging over the ALOHA selection

$$\begin{aligned} \mathbb{E}_p[F_s(\hat{l}_t)] &= (1-p)^2 F_s(0) + p(1-p)(F_s(Y_1) + F_s(Y_2)) + p^2 F_s(Y_1 + Y_2) \\ &\sim 1 - p \sum_{i=1}^2 (1 - F_s(Y_i)) + o(p) \end{aligned}$$

## ALOHA: Asymptotic success probability

### Theorem (RK-MH-JA)

When ALOHA is used as the MAC protocol, the density of  $\Phi_t$  is  $\lambda_t = p$  and

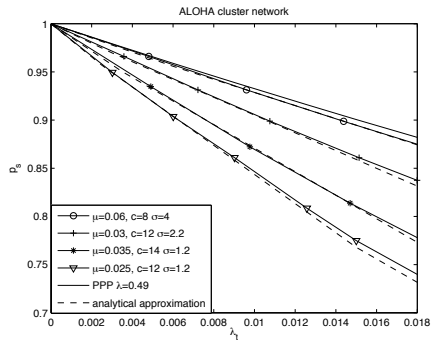
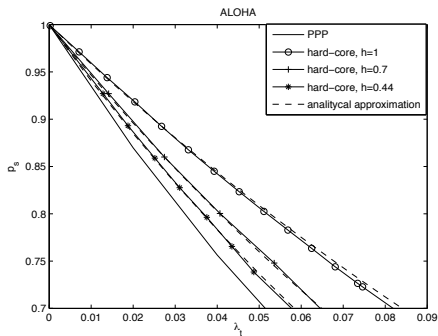
$$P_s(\theta, \lambda_t) \sim 1 - \lambda_t \gamma_{\text{ALOHA}}, \quad \lambda_t \rightarrow 0,$$

where

$$\gamma_{\text{ALOHA}} = \int_{\mathbb{R}^2} \left[ 1 - E_h F_s \left( h \frac{\theta \ell(x - r(o))}{\ell(d)} \right) \right] \rho^{(2)}(x) dx$$

$$\begin{aligned} p_s(\theta, \lambda) &= \mathbb{E}^{!o} \left[ F_s \left( \frac{\theta}{\ell(d)} I_t \right) \right] \\ &\sim 1 - p \mathbb{E}^{!o} \left[ \sum_{x \in \Phi} 1 - F_s \left( \frac{\theta}{\ell(d)} h_x \ell(x - r(o)) \right) \right] + o(p) \end{aligned}$$

# How good is the approximation



ALOHA on a hard-core network; Right: ALOHA on a clustered network

## ALOHA: Transmission capacity

$$TC_{\text{ALOHA}}(\epsilon) \sim \frac{\epsilon}{\gamma_{\text{ALOHA}}}, \quad \epsilon \rightarrow 0$$

- ▶ Scales linearly with  $\epsilon$
- ▶ Only  $\gamma_{\text{ALOHA}}$  depends on  $F_s(\cdot)$ ,  $h$  and  $\Phi$

$\gamma_{\text{ALOHA}}$  for a PPP with  $\ell(x) = \|x\|^{-\alpha}$ ,  $\delta \triangleq 2/\alpha$

1. Nakagami- $m$  fading with  $N_t = N_r = 1$

$$\gamma_{\text{ALOHA}} = d^2 \frac{\pi \theta^\delta \Gamma(m - \delta) \Gamma(m + \delta)}{\Gamma(m)^2} \sim \Theta(1)$$

2. Receiver beamforming:  $N_t = 1$ ,  $N_r = m$

$$\gamma_{\text{ALOHA}} = d^2 \frac{\pi \theta^\delta \Gamma(m - \delta) \Gamma(1 + \delta)}{\Gamma(m)} \sim \Theta(m^{-\delta})$$

## Sphere packing interpretation of TC

$$TC(\epsilon) \sim \frac{\epsilon}{d^2 C(\delta)} = \frac{1}{\pi \left( d \sqrt{\frac{C(\delta)}{\pi \epsilon}} \right)^2}.$$

### Interpretation (heuristic)

Hence each transmission approximately requires an interference free disc of radius

$$R = d \sqrt{\frac{C(\delta)}{\pi \epsilon}}$$

- ▶ The disc radius increases as  $\frac{1}{\sqrt{\epsilon}}$ .
- ▶ The disc radius decreases with increasing  $\alpha$ 
  - ▶ Higher path loss exponent  $\rightarrow$  better packing.

# CSMA MAC

Node distribution:  $\Phi \sim \text{PPP}(1)$

MAC: CSMA with radius  $R$ .

$\Phi_t$  is a stationary PP with density  $\lambda_t = \frac{1 - \exp(-\pi R^2)}{\pi R^2}$ .

$$\begin{aligned} p_s(\theta, \lambda) &= \mathbb{P}^{\circ}(\text{SIR}(o, r(o)) > \theta) \\ &= \mathbb{P}^{\circ} \left( \frac{S\ell(d)}{I_t} > \theta \right) = \mathbb{E}^{\circ} \left[ F_s \left( \theta \frac{I_t}{\ell(d)} \right) \right] \end{aligned}$$

Here  $I_t = \sum_{x \in \Phi} \mathbf{1}(x \text{ is selected}) h_x \ell(x - r(o))$



## Basic idea

- ▶ Minimum distance between nodes  $\mathbb{E}[I] < \infty$ . **Not true for ALOHA**
- ▶ Taylor series of CCDF of the desired signal

$$F_s(x) = 1 - c_0 x^\nu + o(x^\nu), x \rightarrow 0.$$

- ▶ Average nearest interferer distance  $\approx 1/\sqrt{\lambda_t}$ .
- ▶

$$F_s(I) \approx 1 - c_0 \lambda^{\alpha\nu/2}.$$

# CSMA: Asymptotic success probability and TC

## Theorem

For a Matern CSMA process,

$$P_s(\theta, \lambda_t) \sim 1 - c_0 \pi \left( \frac{\theta}{\ell(d)} \right)^\nu \lambda_t^{\nu/\delta} A_I, \lambda_t \rightarrow 0.$$

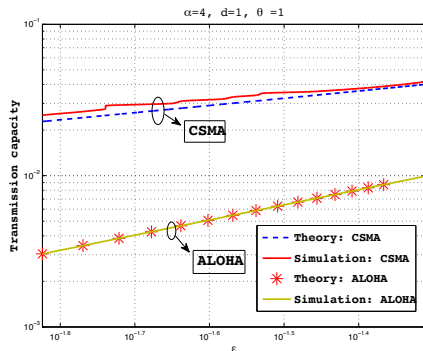
- ▶  $A_I$  is known and depends on the higher order moment densities ( $\nu + 1$  of them) of the Matern process
- ▶ Difficult to evaluate except for  $\nu = 1$

## Transmission capacity

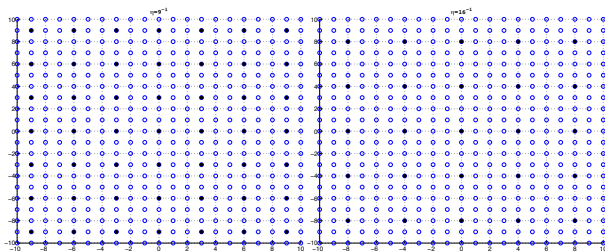
$$TC_{\text{CSMA}}(\epsilon) \sim \frac{\epsilon^{\delta/\nu}}{(c_0 \pi A_I)^{\delta/\nu} \theta^\delta \ell(d)^{-\delta}}, \quad \epsilon \rightarrow 0$$

# Observations

- ▶ Better TC  $\Theta(\epsilon^{\delta/\nu})$
- ▶ Interacts well with signal processing techniques
  - ▶ Nakagami- $m$ :  $\nu = m$
  - ▶ MRC:  $\nu = N_r$



# m-phase TDMA with $F_s(x) = \exp(-x)$



Left:  $\lambda_t = 1/9$ ; Right:  $\lambda_t = 1/16$ .

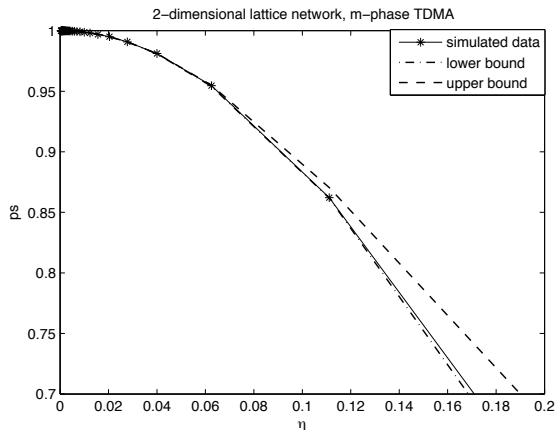
$$p_c(\theta, \lambda_t) \sim 1 - \gamma_{\text{TDMA}} \lambda_t^{1/\delta},$$

where

$$\gamma_{\text{TDMA}} = \frac{\theta \xi(\delta^{-1}, 0) [\xi(\delta^{-1}, 1/4) - \xi(\delta^{-1}, 3/4)]}{\ell(d) 2^{2(\delta^{-1}-1)}},$$

and  $\xi(s, b)$  is the generalized Riemann zeta function.

# m-phase TDMA



## Reasonable MAC

- A.1  $\lim_{\lambda \rightarrow 0} \mathbb{E}^{!o}(\text{Points of } \Phi \text{ in } B(o, \lambda^{-a})) = 0$  for all  $a < 1/2$ .
- A.2 There exists some constant  $R$  such that  
 $\lim_{\lambda \rightarrow 0} \mathbb{E}^{!o}(\text{Points of } \Phi \text{ in } B(o, R\lambda^{-1/2})) > 0$ .

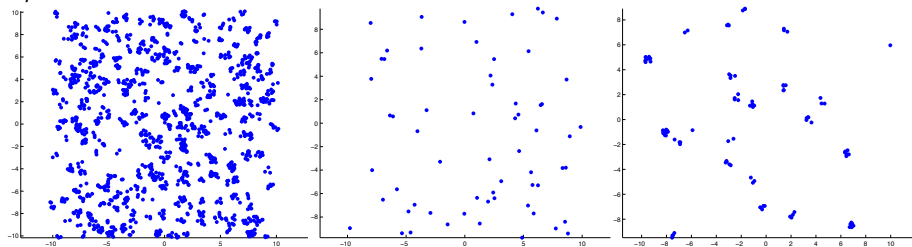
### Definition

Any MAC scheme which satisfies A.1 and A.2 is a reasonable MAC scheme

Example: PPP with ALOHA is a reasonable MAC scheme.

## An unreasonable MAC scheme

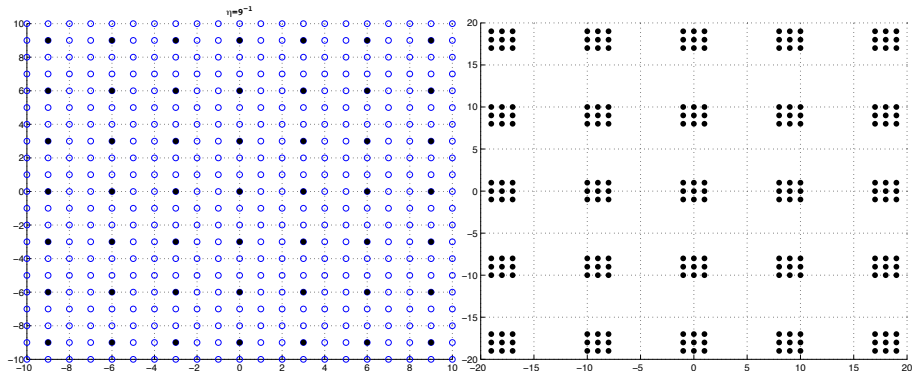
Consider a cluster process with average number of clusters per unit area as  $\lambda_p$  and nodes per cluster as  $\bar{c}$ .



$$\lambda_p = 1, \bar{c} = 15, n = 25$$

- ▶ Using ALOHA select a node with probability  $n^{-1}$ .  
Nodes per cluster:  $\bar{c}n^{-1}$ , Density:  $\bar{c}\lambda_p n^{-1}$ .
- ▶ Allow a cluster to transmit with probability  $n^{-1}$ .  
Cluster density:  $\lambda_p n^{-1}$ , Density:  $\bar{c}\lambda_p n^{-1}$ .

# m-Phase TDMA





## Bounds on scaling exponent

For a reasonable MAC

$$p_s(\Theta, \lambda_t) \sim 1 - \gamma \lambda_t^\kappa, \quad \lambda_t \rightarrow 0$$

$\kappa$ : Interference scaling exponent.  $\gamma$ : Spatial contention parameter

### Theorem

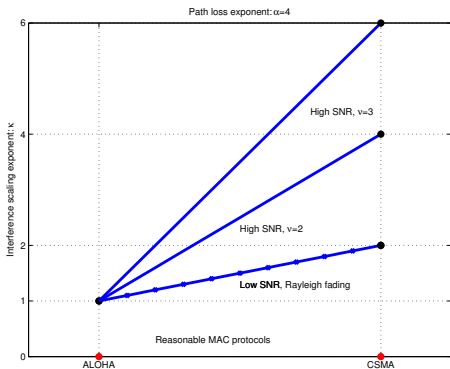
*For any reasonable MAC protocol,*

$$1 \leq \kappa \leq \frac{\nu}{\delta},$$

- ▶  $\kappa = 1$ : ALOHA
- ▶  $\kappa = \frac{\nu}{\delta}$ : CSMA

Interference scaling exponent  $\kappa$ 

$$F_S(\sigma^2 + x) = F_S(\sigma^2) + C_0 x^\nu + o(x^\nu), x \rightarrow 0$$



Low SNR,  $\sigma^2 \neq 0$

$$\nu = 1: 1 \leq \kappa \leq \alpha/2$$

High SNR,  $\sigma^2 \approx 0$

- SISO, Rayleigh fading,  $\nu = 1$ ,  
 $1 \leq \kappa \leq \alpha/2$
- High SNR: Nakagami- $m$ , receiver beamforming ( $m$  antenna),  
 $\nu = m$ ,  $1 \leq \kappa \leq m\alpha/2$

$1 \leq \kappa \leq \frac{\nu\alpha}{2}$ , exponent  $\kappa$  depends on the diversity order of the receiver

## Key results

1. For any reasonable MAC scheme, the interference scaling exponent  $1 \leq \kappa \leq \nu/\delta$
2. ALOHA always leads to  $\kappa = 1$
3. CSMA leads to  $\kappa = \nu/\delta$
4. Interference cancellation (CSMA) is important to take advantage of advanced signal processing techniques
5. Transmission capacity provides a clean framework for the analysis of networks