

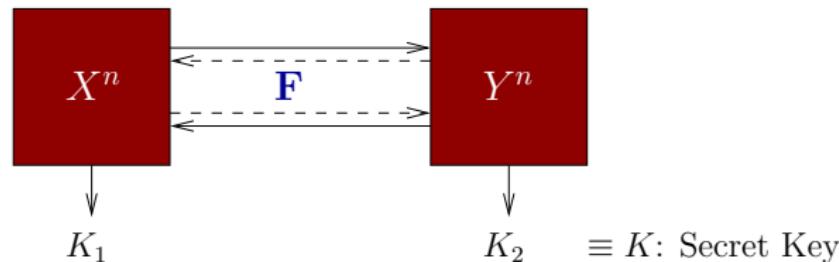


# Minimal Public Communication for Maximum Rate Secret Key Generation

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# Secret Key Generation



Secret key (SK)  $K$  with interactive communication  $\mathbf{F}$  satisfies:

$$\Pr(K_1 = K_2 = K) \approx 1 : \text{ Recoverability}$$

$$\frac{1}{n} I(K \wedge \mathbf{F}) \approx 0 : \text{ Secrecy}$$

Rate of the secret key =  $\frac{1}{n} H(K)$ .

Secret key capacity  $C$  = maximum achievable rate of a secret key.

[Maurer '93, Ahlswede-Csiszár '93]

$$C = I(X \wedge Y).$$



# Communication for SK Capacity

What is the min. rate of  $\mathbf{F}$  required for achieving SK capacity?

► Maurer-Ahlswede-Csiszár

- Common randomness (CR) generated:  $X^n$  or  $Y^n$ .
- Rate of communication required =  $\min\{H(X|Y); H(Y|X)\}$ .
- Decomposition:  
$$H(X) = H(X | Y) + I(X \wedge Y),$$
  
$$H(Y) = H(Y | X) + I(X \wedge Y).$$

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Q1: Forms of CR for agreeing on optimum rate SK ?

Q2: Does minimum communication rate correspond to “minimum” CR?



# Interactive Form of Wyner's Common Information

## ► Wyner's Common Infomation

$CI(X \wedge Y) \equiv$  min. rate of a function  $L = L(X^n, Y^n)$  such that

$$\frac{1}{n} I(X^n \wedge Y^n \mid L) \approx 0.$$

Defined in the context of source generation and source coding.

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## ► Interactive Common Information

Terminals agree on CR  $J$  using  $r$ -rounds  $\mathbf{F}$ .

$CI_i^r(X \wedge Y) \equiv$  min. rate of  $(J, \mathbf{F})$  such that

$$\frac{1}{n} I(X^n \wedge Y^n \mid J, \mathbf{F}) \approx 0.$$

$$CI_i(X \wedge Y) = \lim_{r \rightarrow \infty} CI_i^r(X \wedge Y).$$

Note:  $CI(X \wedge Y) \leq CI_i(X \wedge Y) \leq \min\{H(X); H(Y)\}$ .



# Minimum Communication for Optimum SK

For minimum rate of communication  $R_{SK}$  for optimum rate SK:

We characterize the CR associated with optimum rate SK.

- ▶ A CR  $J$  recoverable from communication  $\mathbf{F} \Rightarrow$  SK of rate  $\frac{1}{n}H(J|\mathbf{F})$ .
- ▶ An optimum rate SK corresponds to a CR  $J$  recoverable from  $\mathbf{F}$  s.t.

$$\frac{1}{n}I(X^n \wedge Y^n \mid J, \mathbf{F}) \approx 0.$$

- For instance:  $J = X^n$ ,  $Y^n$  or  $(X^n, Y^n)$ .
  - $R_{CI}$  be the min. rate of communication for such CR.
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- ▶ Shall show: CR of the above form always yields optimum rate SK.

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## Theorem

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# Minimum Communication for Optimum SK

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$$R_{SK} = R_{CI} = CI_i(X \wedge Y) - I(X \wedge Y).$$

- ▶ **Lemma:** For each  $r \geq 1$ :
  - $R_{SK}^r \geq R_{CI}^r \geq R_{SK}^{r+1}$ .
  - Decomposition:  
 $R_{CI}^r \geq CI_i^r(X \wedge Y) - I(X \wedge Y) \geq R_{CI}^{r+1}$ .
- ▶ Theorem follows by taking the limit  $r \rightarrow \infty$  in the Lemma.



# Idea of the Proof

- ▶ Proof is based on the observation:

$$I(X \wedge Y) \approx \frac{1}{n} [I(X^n \wedge Y^n | J, \mathbf{F}) + H(J, \mathbf{F}) - H(\mathbf{F} | X^n) - H(\mathbf{F} | Y^n)].$$

Characterization of the form of CR in optimum SK generation:

$$\frac{1}{n} I(X^n \wedge Y^n | J, \mathbf{F}) \approx 0$$

if and only if

$$I(X \wedge Y) \approx \frac{1}{n} \left[ H(J, \mathbf{F}) - [H(\mathbf{F} | X^n) + H(\mathbf{F} | Y^n)] \right].$$



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SK rate associated with CR  $J$  recoverable from  $\mathbf{F}$



# Minimum Communication for Optimum SK

## Theorem

$R_{SK} = \text{min. rate of } \mathbf{F} \text{ required for optimal rate SK generation.}$

$R_{CI} = \text{min. rate } \mathbf{F} \text{ required for generating CR } J \text{ s.t. } X^n \perp\!\!\!\perp Y^n | (J, \mathbf{F}).$

*Then,*

$$R_{SK} = R_{CI} = CI_i(X \wedge Y) - I(X \wedge Y).$$

$$CI_i(X \wedge Y) = \lim_{r \rightarrow \infty} CI_i^r(X \wedge Y).$$



# Characterization of $CI_i$

- Given rvs  $X, Y$  and  $r \geq 1$ , we have

$$CI_i^r(X \wedge Y) = \min_{U_1, V_1, \dots, U_r, V_r} I(X, Y \wedge U_1, V_1, \dots, U_r, V_r),$$

$$U_1 \perp\!\!\!\perp X \perp\!\!\!\perp Y,$$

$$U_2 \perp\!\!\!\perp X, V_1 \perp\!\!\!\perp Y,$$

 $\vdots$ 

$$U_r \perp\!\!\!\perp X, U^{r-1}, V^{r-1} \perp\!\!\!\perp Y,$$

$$V_1 \perp\!\!\!\perp Y, U_1 \perp\!\!\!\perp X,$$

$$V_2 \perp\!\!\!\perp Y, U_1, V_1 \perp\!\!\!\perp X$$

 $\vdots$ 

$$V_r \perp\!\!\!\perp Y, U^r, V^{r-1} \perp\!\!\!\perp X.$$

$$X \perp\!\!\!\perp U^r, V^r \perp\!\!\!\perp Y$$

- Single-letter expression for  $CI_i$  is not (yet) available.



# Noninteractive Communication for SK Capacity

Communication from  $X^n$  to  $Y^n$ :

$$R_{SK}^{one} = \min_{\substack{U \rightarrow X \rightarrow Y \\ X \rightarrow U \rightarrow Y}} I(X, Y \wedge U) - I(X \wedge Y)$$

$U$  satisfies:  $U \rightarrow X \rightarrow Y, \quad X \rightarrow U \rightarrow Y$ .

- ▶ [Problem 16.26, Csiszár-Körner]

$$\min_{\substack{U \rightarrow X \rightarrow Y \\ X \rightarrow U \rightarrow Y}} I(X, Y \wedge U) = \min_{\substack{g(X) \text{ s.t.} \\ X \rightarrow g(X) \rightarrow Y}} H(g(X))$$



# Common Information Quantities

For a pair of rvs  $X, Y$

$$CI_{GC} \leq I(X \wedge Y) \leq CI \leq CI_i \leq CI_i^r \leq CI_i^{r-1} \leq \min\{H(X); H(Y)\}$$

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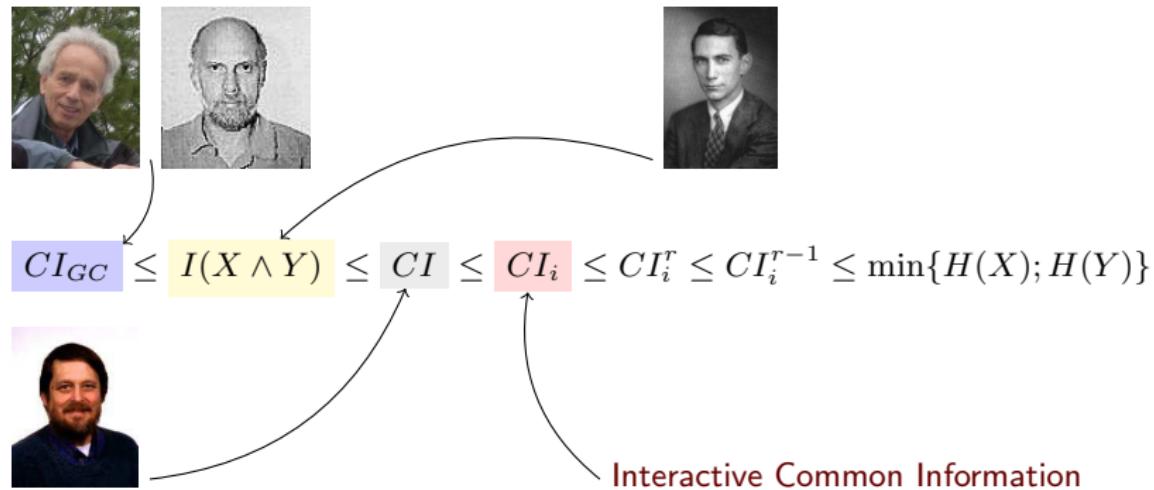
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Interactive Common Information

# Common Information Quantities

For a pair of rvs  $X, Y$



- $CI_i$  is indeed a new quantity

For binary rvs  $X$  and  $Y$ :  $CI_i(X \wedge Y) = \min\{H(X); H(Y)\}$ .

For binary symmetric  $X$  and  $Y$ :  $CI(X \wedge Y) < \min\{H(X); H(Y)\}$ .