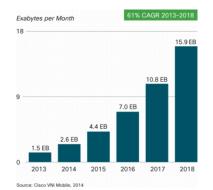
### Coded Caching: Dichotomy of the One and the Many

Nikhil Karamchandani

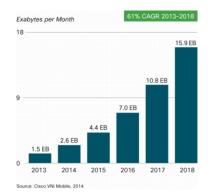
Indian Institute of Technology, Bombay

Joint work with Jad Hachem and Suhas Diggavi, UCLA

JTG Workshop 2015

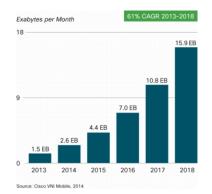


• Multimedia applications fueling increased data consumption

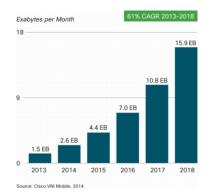


• Multimedia applications fueling increased data consumption

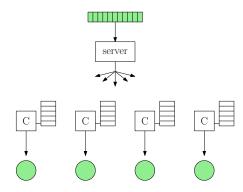
• In-network caching



- Multimedia applications fueling increased data consumption
- In-network caching
- Pre-fetch content during off-peak hours

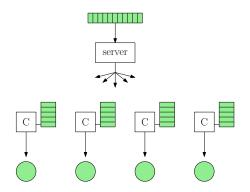


- Multimedia applications fueling increased data consumption
- In-network caching
- Pre-fetch content during off-peak hours
- Rate-benefits vs Memory



• N files, K caches, K users

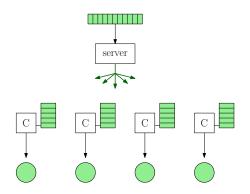
<sup>1</sup>M. A. Maddah-Ali and U. Niesen, "Fundamental limits of caching," ISIT 2013



- N files, K caches, K users
- Placement
  - Place content in caches
  - Done without prior knowledge of user requests

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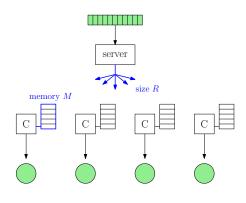


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### Delivery

- Each user requests a file
- Server assists in delivery

<sup>1</sup>M. A. Maddah-Ali and U. Niesen, "Fundamental limits of caching," ISIT 2013



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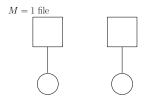
### Delivery

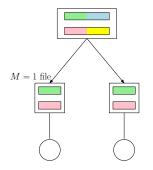
- Each user requests a file
- Server assists in delivery
- Given memory *M*, smallest rate *R*?

<sup>1</sup>M. A. Maddah-Ali and U. Niesen, "Fundamental limits of caching," ISIT 2013



#### • N = 2 files, K = 2 caches

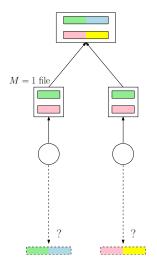




#### • N = 2 files, K = 2 caches

#### **Placement phase**

• Place first half of each file in each cache



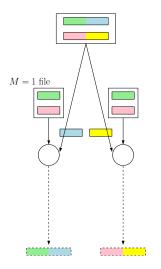
#### • N = 2 files, K = 2 caches

#### **Placement phase**

• Place first half of each file in each cache

### **Delivery phase**

• Each user makes their request



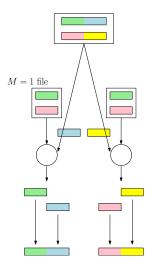
• N = 2 files, K = 2 caches

#### **Placement phase**

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### **Delivery phase**

- Each user makes their request
- Server unicasts missing piece for each user



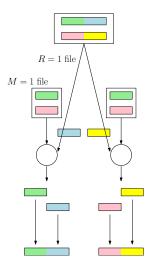
• N = 2 files, K = 2 caches

#### Placement phase

• Place first half of each file in each cache

### **Delivery phase**

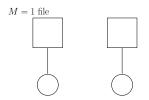
- Each user makes their request
- Server unicasts missing piece for each user
- Users recover requested files



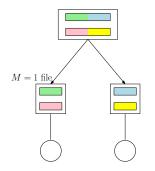
#### Total rate: R = 1 file



#### • N = 2 files, K = 2 caches



 $^2\mbox{M.}$  A. Maddah-Ali and U. Niesen, "Fundamental limits of caching," ISIT 2013

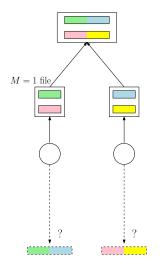


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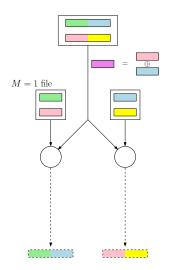
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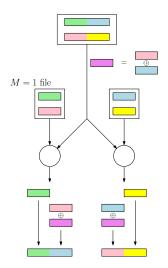
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- Server broadcasts common coded message

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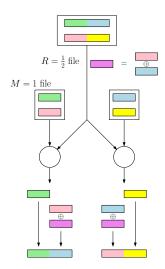
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- Each user makes their request
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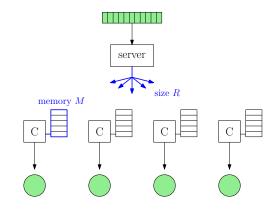
<sup>2</sup>M. A. Maddah-Ali and U. Niesen, "Fundamental limits of caching," ISIT 2013



Total rate:  $R = \frac{1}{2}$  file

<sup>2</sup>M. A. Maddah-Ali and U. Niesen, "Fundamental limits of caching," ISIT 2013





- N files
- K caches
- K users

<sup>3</sup>M. A. Maddah-Ali and U. Niesen, "Fundamental limits of caching," ISIT 2013



• Store content to create coded multicasting opportunities

<sup>3</sup>M. A. Maddah-Ali and U. Niesen, "Fundamental limits of caching," ISIT 2013



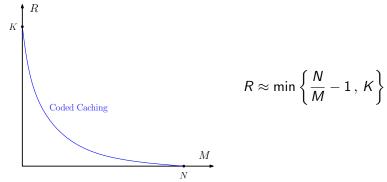
- Store content to create coded multicasting opportunities
- Use coding in the broadcast

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- Store content to create coded multicasting opportunities
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- Achievable rate:

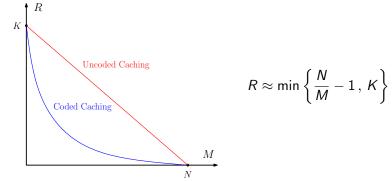
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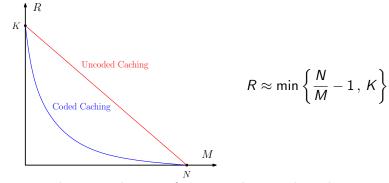
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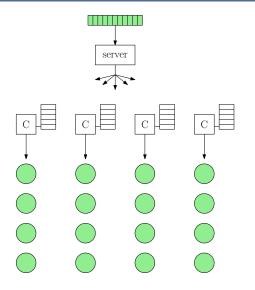
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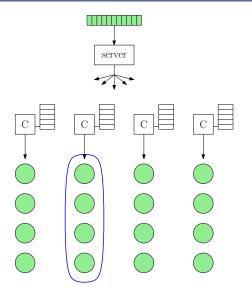


• Scheme is order-optimal w.r.t information-theoretic bounds.

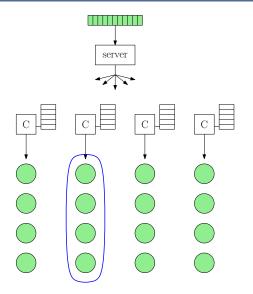
<sup>3</sup>M. A. Maddah-Ali and U. Niesen, "Fundamental limits of caching," ISIT 2013 Jachem, Karamchandani, Diggavi Coded Caching



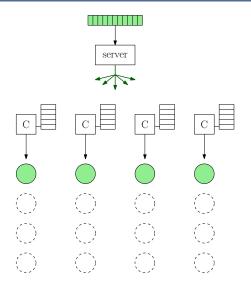
 Simple extension: multiple users per cache
 [H., K., D., 2014]



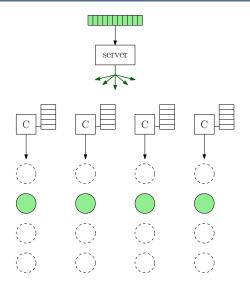
- Simple extension: multiple users per cache [H., K., D., 2014]
- Users with identical side information



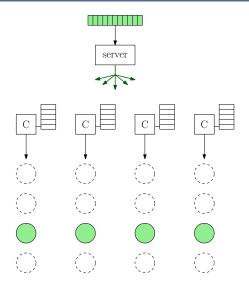
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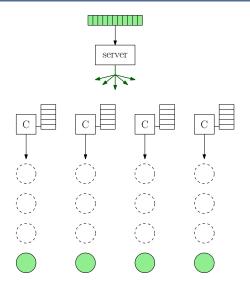
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#### File popularity:

Likelihood of being requested by a user

File popularity:

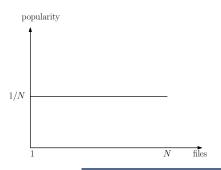
Likelihood of being requested by a user

#### File popularity:

Likelihood of being requested by a user

## Different models in the literature:

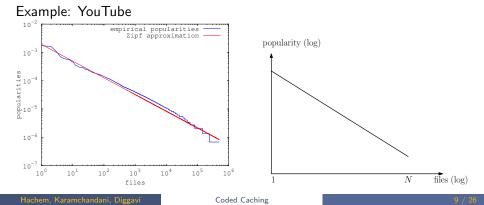
Uniform



#### File popularity:

Likelihood of being requested by a user

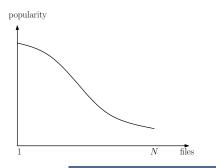
- Uniform
- Zipf (power law)



#### File popularity:

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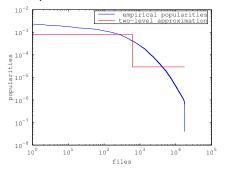
- Uniform
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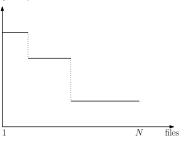
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Example: Netflix



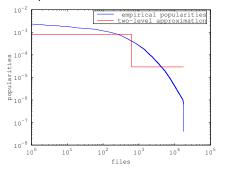
- Uniform
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- Multi-level [H., K., D., 2014] popularity



#### File popularity:

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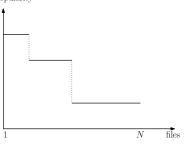
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# Different models in the literature:

- Uniform
- Zipf (power law)
- Arbitrary
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popularity



General

Example



#### 

users

Hachem, Karamchandani, Diggavi

General

Example

• Files divided into popularity levels (classes)

2 levels



users

Hachem, Karamchandani, Diggavi

General

Example

- Files divided into popularity levels (classes)
  - Uniform popularity within each level





users

Hachem, Karamchandani, Diggavi

General

- Files divided into popularity levels (classes)
  - Uniform popularity within each level
- Fixed fraction of users per level

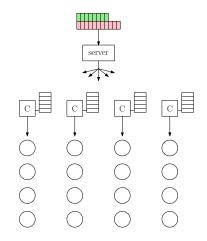
Example

2 levels



users

Example: 2 levels of files, dividing users into 75%-25%

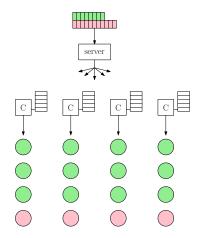


#### "Multi-user setup"

[H., K., D., 2014]

• 4 users per cache

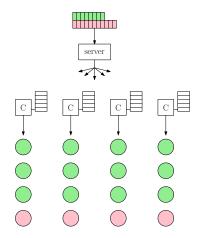
**Example:** 2 levels of files, dividing users into 75%–25%



#### "Multi-user setup"

- [H., K., D., 2014]
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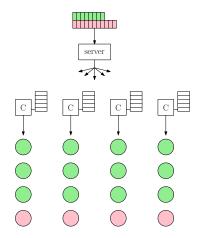
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- [H., K., D., 2014]
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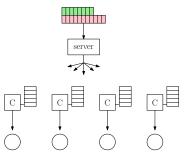
#### "Multi-user setup"

- [H., K., D., 2014]
  - 4 users per cache
  - Level 1: 3 users per cache; Level 2: 1 user per cache
  - Proportion maintained at each cache
  - Expected when number of users per cache is large

Example: 2 levels of files, dividing users into 75%-25%

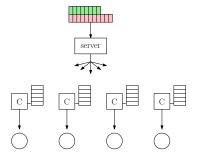
"Single-user setup"

• One user per cache



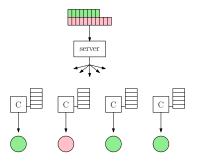
Example: 2 levels of files, dividing users into 75%-25%

- One user per cache
- 4 users total



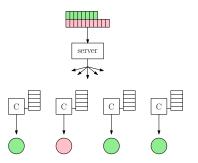
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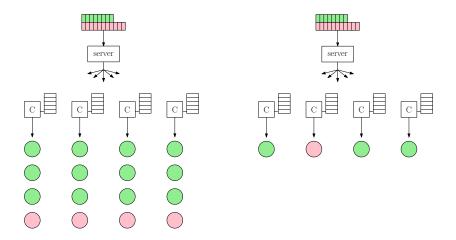
- One user per cache
- 4 users total
- Level 1: 3 users; Level 2: 1 user



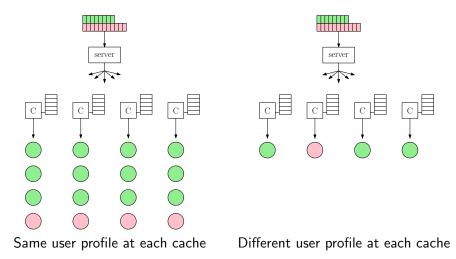
Example: 2 levels of files, dividing users into 75%-25%

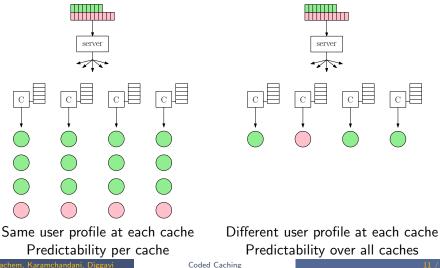
- One user per cache
- 4 users total
- Level 1: 3 users; Level 2: 1 user
- Proportion maintained across all caches

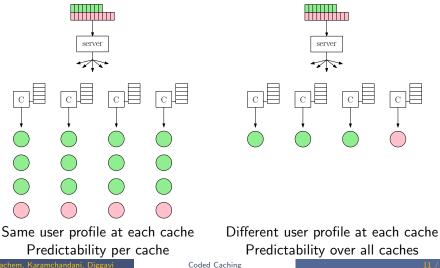


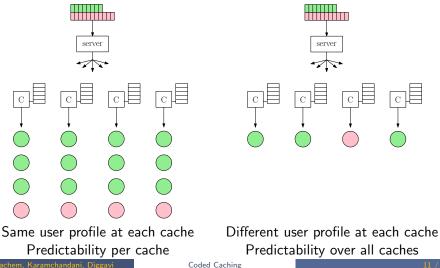


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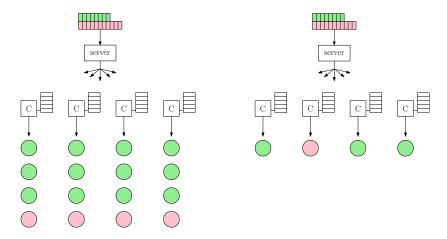




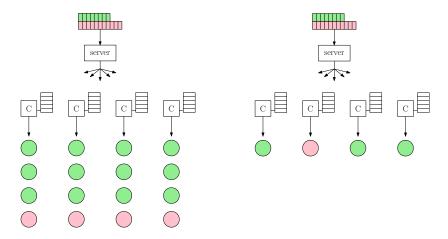




Main Question: Are these two setups fundamentally different?



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#### Yes! They require different strategies.

Multi-user setup

#### Multi-user setup

- Memory-sharing strategy
  - Separation of levels

#### Main results

#### Multi-user setup

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  - Separation of levels

- Threshold-and-cluster strategy
  - Merging of levels

#### Main results

#### Multi-user setup

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  - Separation of levels
- Order-optimal

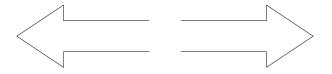
- Threshold-and-cluster strategy
  - Merging of levels
- Order-optimal

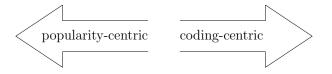
#### Main results

#### Multi-user setup

- Memory-sharing strategy
  - Separation of levels
- Order-optimal
- Threshold-and-cluster is inefficient

- Threshold-and-cluster strategy
  - Merging of levels
- Order-optimal
- Memory-sharing is inefficient







 More popular files get more memory



 Coding opportunities maximized when files get same memory



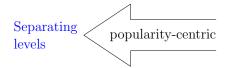
- More popular files get more memory
- No coding across popularity levels



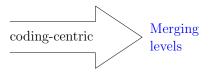
- Coding opportunities maximized when files get same memory
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# Why different strategies?

Two main forces drive any strategy:

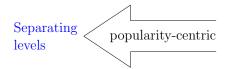


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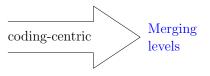


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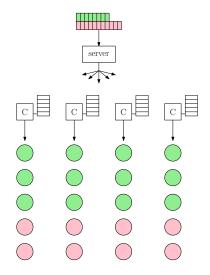
Two main forces drive any strategy:

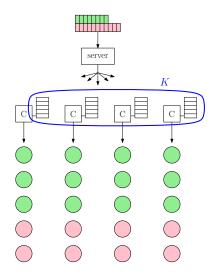


- More popular files get more memory
- No coding across popularity levels
- Favored by Multi-user setup

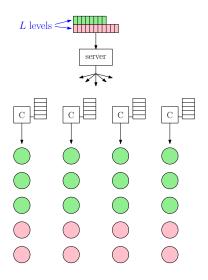


- Coding opportunities maximized when files get same memory
- Code across popularity levels
- Favored by **Single-user** setup

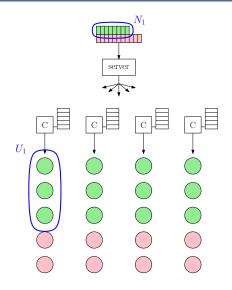




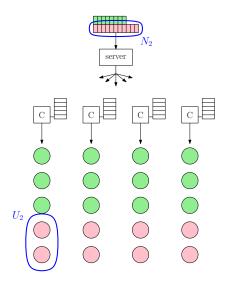
• # of caches



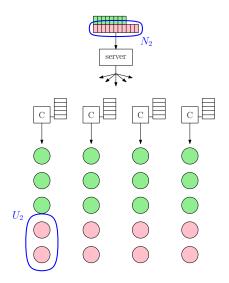
- # of caches
- # of levels



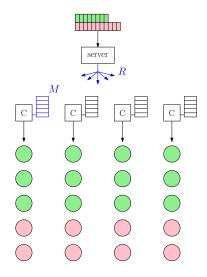
- $\bullet~\#$  of caches
- $\bullet~\#$  of levels
- For each level:
  - $\bullet \ \# \ of \ files$
  - # of users per cache



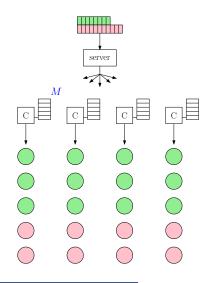
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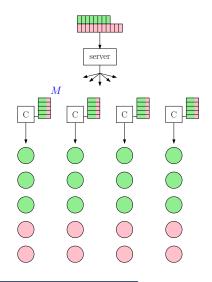
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  - # of users per cache
  - $\, \bullet \,$  popularity  $\propto$  users per file



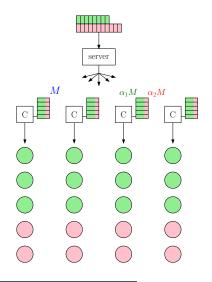
- # of caches
- # of levels
- For each level:
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- Resources:
  - Cache memory M
  - Broadcast rate R



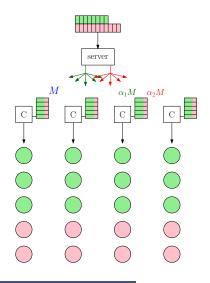
• Separate the popularity levels



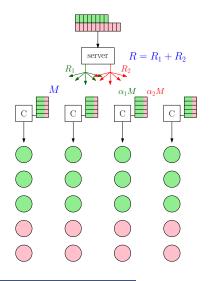
- Separate the popularity levels
- Share memory *M* between the levels



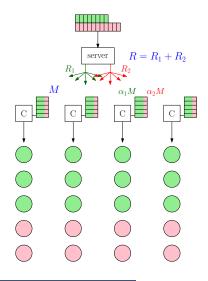
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- Total rate = sum of individual rates
- Optimize over  $\alpha_i$ 's

#### 3 sets of levels

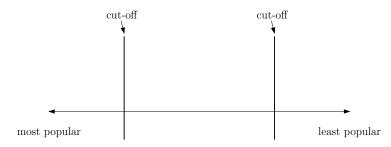
most popular

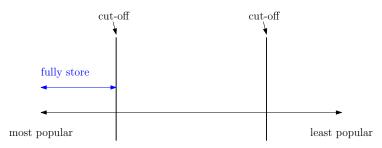
least popular

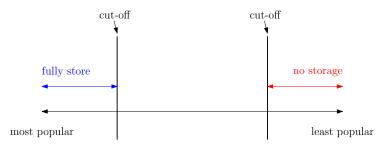
Hachem, Karamchandani, Diggavi

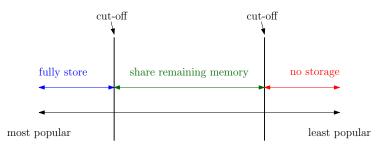
Coded Caching



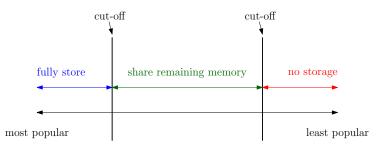








#### 3 sets of levels

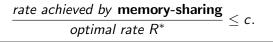


Achieved rate:

$$R \approx \sum_{h} K U_{h} + \frac{\left(\sum_{i} \sqrt{N_{i} U_{i}}\right)^{2}}{M - \sum_{j} N_{j}}$$

Coded Caching

# Theorem (Order-optimality of memory-sharing for the multi-user setup) *In the* **multi-user** *setup:*



Theorem (Order-optimality of memory-sharing for the multi-user setup) *In the* **multi-user** *setup:* 

 $\frac{\textit{rate achieved by memory-sharing}}{\textit{optimal rate } R^*} \leq c.$ 

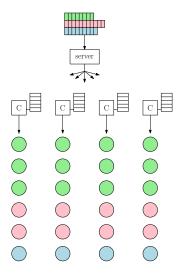
• c is independent of problem parameters

Theorem (Order-optimality of memory-sharing for the multi-user setup) *In the* **multi-user** *setup:* 

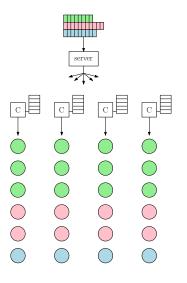
 $\frac{\textit{rate achieved by memory-sharing}}{\textit{optimal rate } R^*} \leq c.$ 

- c is independent of problem parameters
- Proof: requires non-cut-set lower bounds on  $R^*$

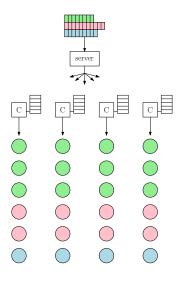
- Capture:
  - Necessity of level separation
  - All levels present at each cache



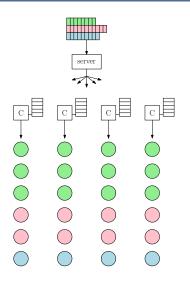
- Capture:
  - Necessity of level separation
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- Achieve:  $R = R_1 + R_2 + R_3$



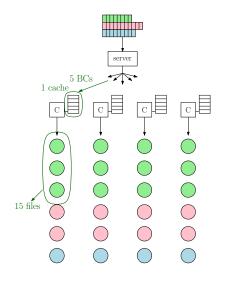
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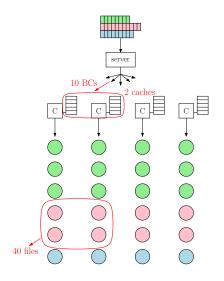
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- Individual terms can be derived using cut-set bounds



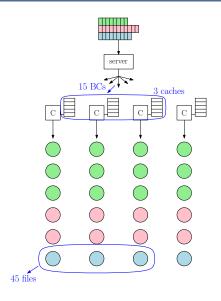
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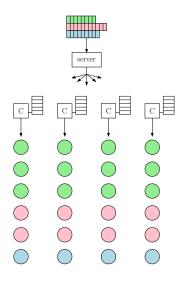
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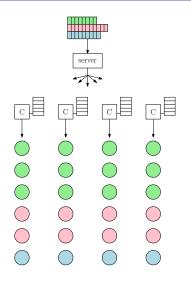
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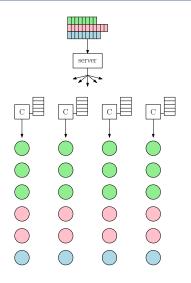
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- Combine?



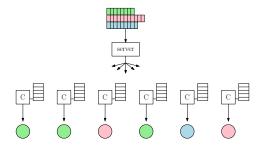
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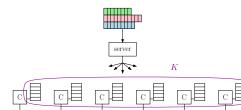
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- Combine?
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  - — "non-cut-set" lower
     bounds that account for each
     level's allocated memory,
     without any restriction on the
     scheme



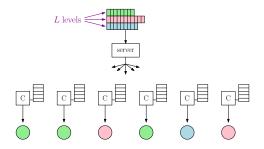
# Single-user setup (formal)



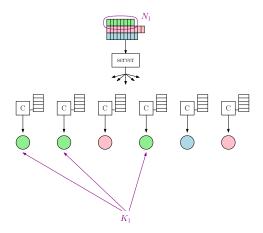




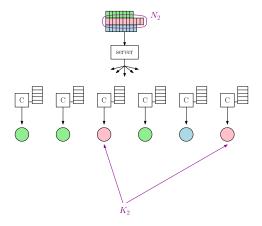
- # of caches
- one user per cache



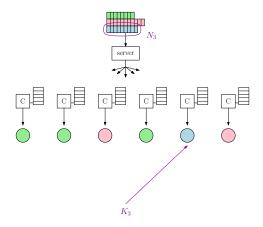
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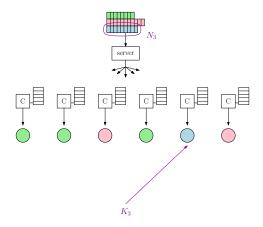
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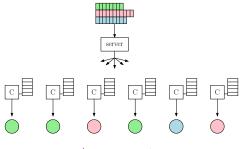
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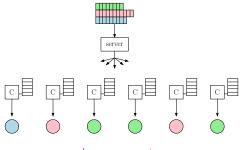


- # of caches
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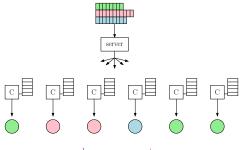
unknown arrangement

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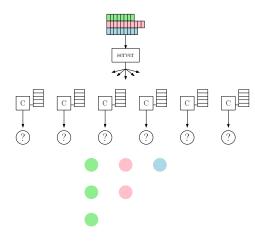
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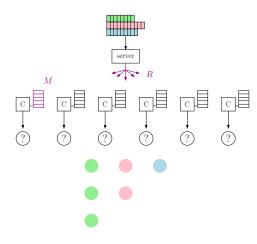


unknown arrangement

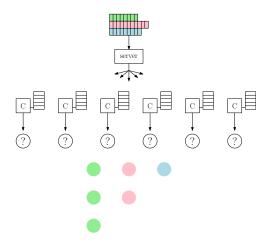
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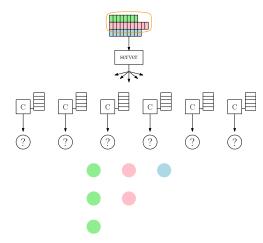
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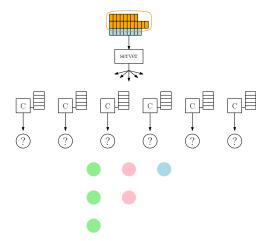
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- Different possible arrangements
- Resources:
  - Cache memory M
  - Broadcast rate R



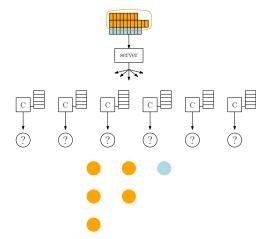
• Idea: merge some levels; ignore the rest



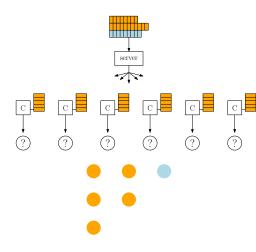
- Idea: merge some levels; ignore the rest
- Choose levels to merge



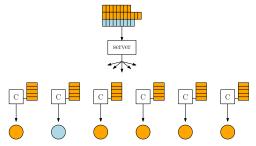
- Idea: merge some levels; ignore the rest
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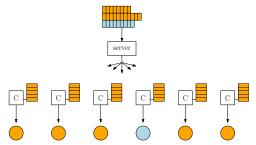
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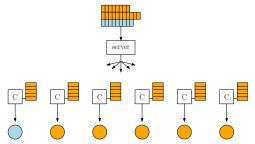
- Idea: merge some levels; ignore the rest
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- Give all memory to merged levels
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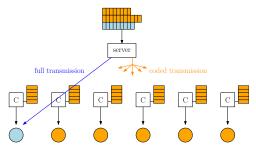
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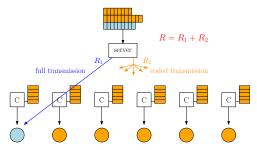
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  - Send BC transmissions
  - Total rate = sum of rates

Which levels to merge?

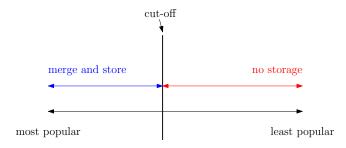


Which levels to merge?

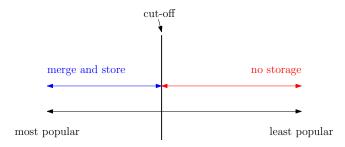
most popular

least popular

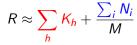
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#### Which levels to merge?



Achieved rate:



Coded Caching



Theorem (Order-optimality of threshold-and-cluster for the single-user setup)

In the single-user setup:

 $\frac{\text{rate achieved by threshold-and-cluster}}{\text{optimal rate } R^*} \leq c.$ 

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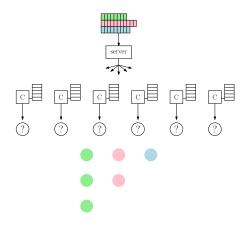
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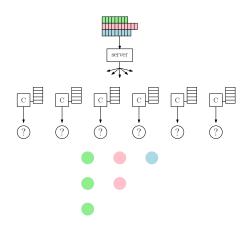
 $\frac{\text{rate achieved by threshold-and-cluster}}{\text{optimal rate } R^*} \le c.$ 

- c is independent of problem parameters
- Proof: cut-set lower bounds on R\* are sufficient

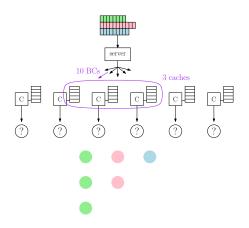
- Capture:
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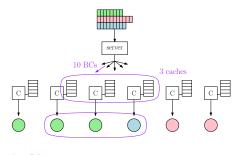
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  - Different BC messages assume different request profiles



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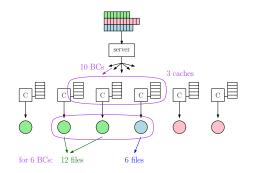


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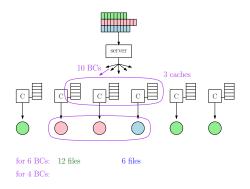


for 6 BCs:

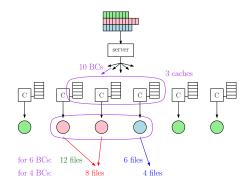
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Question: Could one (or both) strategy be order-optimal in both cases?

No!

No!

• Memory-sharing in single-user setup?

No!

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  - Wastes coding opportunities

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- Threshold-and-cluster in multi-user setup?
- $\implies$  not order-optimal!

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- Threshold-and-cluster in multi-user setup?
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  - No coding opportunities gained
  - Arbitrarily large increase in R

 $\implies$  not order-optimal!



• Coded caching



- Coded caching
- Multi-level popularities

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- Large vs small number of users per cache  $\implies$  Determinism vs uncertainty in per-cache profiles

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- Coded caching
- Multi-level popularities
- Large vs small number of users per cache  $\implies$  Determinism vs uncertainty in per-cache profiles

	Multi-user	Single-user
Memory-sharing		X
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# Thank you!

Coded Caching