ENTANGLEMENT-ASSISTED CODING THEORY.

by

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Table of Contents

List of	Figures	iv
List of	Tables	v
Chapte	er 1: Overview	1
Chapte	er 2: Background knowledge	7
2.1	Single qubit Pauli group	7
2.2	Multi-qubit Pauli group	9
2.3	Properties of the symplectic form	12
2.4	Symplectic codes	18
2.5	Classical quaternary codes	19
2.6	Encoding classical information into quantum states	21
	2.6.1 Elementary coding	22
	2.6.1 Superdense coding	23
2.7	Useful lemmas	24
Chapte	er 3: Standard quantum error-correcting codes	26
3.1	Discretization of errors	26
3.2	Canonical codes	28
3.3	The general case	30
3.4	Relation to symplectic codes	32
	3.4.1 The CSS construction	33
3.5	Examples	34
	3.5.1 The [[9,1,3]] Shor code	34
	3.5.2 The $[[7, 1, 3]]$ Steane code	35
3.6	Discussion	35
Chapte	er 4: Entanglement-assisted quantum error-correcting codes	38
4.1	The channel model: discretization of errors	39
4.2	The entanglement-assisted canonical code	39
4.3	The general case	43
4.4	Generalized construction from quaternary codes	45
4.5	Bounds on performance	46

Chapte	er 5: Operator quantum error-correcting codes	52
5.1	The canonical code	52
5.2	The general case	55
5.3	Discussion	57
Chapte	er 6: Entanglement-assisted operator quantum error-correcting	
cod	es	59
6.1	The canonical code	59
6.2	The general case	63
6.3	Properties of EAOQECCs	64
6.4	Examples	66
	6.4.1 EAOQECC from EAQECC	66
	6.4.2 EAOQECCs from classical BCH codes	67
	6.4.3 EAOQECCs from classical quaternary codes	71
6.5	Discussion	73
Chapte	er 7: Quantum quasi-cyclic low-density parity-check codes	75
7.1	Classical low-density parity-check codes	75
	7.1.1 Properties of binary circulant matrices	76
	7.1.2 Classical quasi-cyclic LDPC codes	78
	7.1.3 Iterative decoding algorithm	82
7.2	Quantum low-density parity-check codes	86
	7.2.1 Quantum quasi-cyclic LDPC codes	86
7.3	Performance	89
7.4	Conclusions	92
Bibliog	graphy	94

List of Figures

1	A canonical quantum error-correcting code	29
2	A standard quantum error-correcting code.	31
3	A generic entanglement assisted quantum code	39
4	The entanglement-assisted canonical code	40
5	Generalizing the entanglement-assisted canonical code construction. $% {\displaystyle \sum} $	44
6	The operator canonical code.	53
7	The operator quantum error-correcting code.	56
8	The entanglement-assisted operator canonical code	60
9	The entanglement-assisted operator quantum error-correcting code	63
10	Performance of QLDPC with SPA decoding, and 100-iteration	91

List of Tables

1	The $[[9,1,3]]$ Shor code	34
2	The [[7,1,3]] Steane code	35
3	Highest achievable minimal distance d in any $\left[\left[n,k,d;c\right]\right]$ EAQECCs	48
4	Summary of error-correcting criteria.	66
5	The original $[[8,1,3;c = 1]]$ EAQECC encodes one qubit into eight physical qubits with the help of one ebit.	67
6	The resulting $[[8,1,3;c = 1,r = 2]]$ EAOQECC encodes one qubit into eight physical qubits with the help of one ebit, and create two gauge qubits for passive error correction.	68
7	Parameters of the EAOQECCs constructed from a classical $[63,39,9]$ BCH code, where r represents the amount of gauge qubits created and c represents the amount of ebits needed	71
8	Stabilizer generators of the $[[15, 9, 4; c = 4]]$ EAQECC derived from the classical code given by Eq. (60). The size of S_E is equal to 2^{2c}	72
9	Stabilizer generators of the [[15, 9, 3; $c = 3, r = 1$]] EAOQECC derived from the EAQECC given by Table 8. The size of S_E and S_G is equal to 2^{2c} and 2^{2r} , respectively	73

Chapter 1: Overview

The theory of quantum mechanics, founded in the early 1920s, ended the turmoil caused by the classical physics that predicted various absurd results such as electrons spiraling inexorably into the atom nucleus. Though the mathematical framework of quantum mechanics is simple, even geniuses like Albert Einstein found it counterintuitive. Generations of physicists since put a lot of effort to sharpen our intuition about quantum mechanics, and make it more transparent to normal human minds. Several fundamental results discovered later on, such as the famous *no-cloning theorem* [58] that denies the possibility of using quantum effects to signal faster than light, help us better understand quantum mechanics.

Research on quantum mechanics evolved into a interdisciplinary science due to several successful applications of quantum effects on classical computation and communication problems in 1990s. Among them, Shor proposed a quantum algorithm for the enormously important problem [53] — the problem of finding the prime factors of an integer — showing exponential speed-up over the best known classical algorithm. This result not only attracted broad interest because this problem is believed to have no efficient solution on classical computers, but also provided strong evidence that quantum computers are more powerful than classical computers.

However, the power of quantum computation and communication over classical computation and communication comes from implementing *entangled* quantum states that are easily spoilt by their vulnerability to errors. Namely, the destructive interference of the omnipresent environment leads to an exponential loss of the probability that the computation runs in the desired way. Up to that point, there was a widespread belief that decoherence — environmental noise — would doom any chance of building large scale quantum computers or quantum communication protocols. The equally widespread belief that any analogue of classical error correction was impossible in quantum mechanics due to the famous no cloning theorem produced an even stronger pessimistic atmosphere in developing quantum computers.

Luckily, the pessimistic atmosphere did not last long. One of the most important discoveries in quantum information science, the existence of quantum error-correcting codes (QECCs), defied those expectations. The first quantum error-correcting code, considered as a quantum analogue of the classical repetition code, was proposed by Shor in 1995 [52]. The theory of quantum error correction quickly became a popular research topic. The quantum error-correcting conditions were proved independently by Bennett, DiVincenzo, Smolin and Wootters [5], and by Knill and Laflamme [34]. The best quantum code that encodes one-qubit information, the five-qubit code, was discovered by Laflamme, Miquel, Paz, and Zurek [39], and independently by [5].

The development of quantum error-correcting theory then became systematic. A construction of Calderbank, Shor, and Steane [16, 55] showed that it was possible to construct quantum codes from classical linear codes — the CSS codes — thereby drawing on the well-studied theory of classical error correction. Furthermore, Gottesman invented the stabilizer formalism [28], and used it to define stabilizer codes. In this view, quantum error-correcting codes are simultaneous eigenspaces of a group of commuting operators, the stabilizer. Independently, Calderbank, Rain, Shor, and Sloane [14] proposed a similar idea to define quantum codes based on orthogonal geometry in classical coding theory. This result connected quantum codes to classical quaternary

codes [15]. The theory of quantum error correction developed so far is called *standard* quantum error correction.

Important as these results were, they fell short of doing everything that one might wish. The connection between classical codes and quantum codes was not universal. Rather, only classical codes which satisfied a *self-orthogonality constraint* could be used to construct quantum codes. While this constraint was not too difficult to satisfy for relatively small codes, it is a substantial barrier to the use of highly efficient modern codes, such as Turbo and Low-Density Parity Check (LDPC) codes, in quantum information theory. These codes are capable of achieving the classical capacity; but the difficulty of constructing self-orthogonal versions of them has made progress toward finding quantum versions very slow.

These problems can be overcome with pre-existing entanglement. Entanglement plays a central role in almost every quantum computation and communication task. It enables the teleportation of quantum states without physically sending quantum systems[4]; it doubles the capacity of quantum channels for sending classical information[6]; it is known to be necessary for the power of quantum computation[8, 31]. Furthermore, descriptions in quantum information theory are often simplified by the assumption that pre-existing entanglement is available.

In the thesis, we show how shared entanglement provides a simpler and more fundamental theory of quantum error correction, and at the same time greatly generalize the existing theory of quantum error correction. If the CSS construction for quantum codes is applied to a classical code which is not self-orthogonal, the resulting "stabilizer" group is not commuting, and thus has no code space. We are able to avoid this problem by making use of pre-existing entanglement. This noncommuting stabilizer group can be embedded in a larger space, which makes the group commute, and allows a code space to be defined. Moreover, this construction can be applied to *any* classical quaternary code, not just self-orthogonal ones. The existing theory of quantum errorcorrecting codes thus becomes a special case of our theory: self-orthogonal classical codes give rise to standard quantum codes, while non-self-orthogonal classical codes give rise to entanglement-assisted codes.

Besides the entanglement-assisted formalism [13, 12] we proposed in this thesis, there has been one other major breakthrough in quantum error correction theory: the discovery of operator quantum error-correcting codes (OQECCs) [1, 2, 3, 33, 37, 38, 48, 50], or subsystem codes. Instead of encoding quantum information into a subspace, OQECCs encode quantum information into a subsystem of the subspace. These provide a general theory which combines passive error-avoiding schemes, such as decoherence-free subspaces [61, 40] and noiseless subsystems [35, 32, 59, 60], with conventional (active) quantum error correction. OQECCs do not lead to new codes, but instead provide a new kind of decoding procedure: it is not necessary to actively correct all errors, but rather only to perform correction modulo the subsystem structure. One potential benefit of the new decoding procedure is to improve the threshold of faulttolerant quantum computation [2].

The other major contribution of this thesis is the development of the unifying formalism that unifies these two extensions of standard QECCs: the operator quantum error-correcting codes (OQECCs), and the entanglement-assisted quantum errorcorrecting codes (EAQECCs). Furthermore, our formalism retains the advantages of both entanglement-assisted and operator quantum error correction. On one hand, OQECCs provide a general theory which combines passive error-avoiding schemes with standard quantum error correction. On the other hand, EAQECCs provide a general theory which links any classical quaternary code, not just self-orthogonal ones, to a quantum code. In addition to presenting our formal theory, we have given several examples of code construction. These examples demonstrate that our formalism can be used to construct quantum codes tailored to the needs of particular applications. Because classical LDPC codes have such high performance — approaching the channel capacity in the limit of large block size — there has been considerable interest in finding quantum versions of these codes. However, quantum low-density parity-check codes [30, 44, 17, 20] are far less studied than their classical counterparts. The main obstacle comes from the *dual-containing* constraint of the classical codes that are used to construct the corresponding quantum codes. The second obstacle comes from the bad performance of the iterative decoding algorithm such as the famous sumproduct algorithm (SPA). Though the SPA decoding can be directly used to decode the quantum errors, its performance is severely limited by the many 4-cycles, which are usually the by-product of the dual-containing property, in the standard quantum LDPC codes [44].

In the last part of the thesis, we will show that, with the entanglement-assisted formalism [13, 12], these two obstacles of standard quantum LDPC codes can be overcome. By allowing the use of pre-shared entanglement between senders and receivers, the dual-containing constraint can be removed. Constructing quantum LDPC codes from classical LDPC codes becomes transparent. That is, arbitrary classical quaternary codes can be used to construct quantum codes via the *generalized CSS construction* [13]. Furthermore, we can easily construct quantum LDPC codes from classical LDPC codes with girth at least 6. We make use of classical quasi-cyclic LDPC codes in our construction, and show that given similar *net yield* these quantum LDPC codes perform better than the standard quantum LDPC codes by numerical simulation.

This thesis is organized as follows. We give various background materials in chapter 2. In chapter 3, we introduce standard QECCs using the canonical code method and stabilizer formalism. In chapter 4, we present our first result: the entanglement-assisted formalism. In chapter 5, we introduce operator quantum error-correcting codes. In chapter 6, we present our second result: the entanglement-assisted operator formalism. Finally, we show how to use the entanglement-assisted formalism to construct quantum LDPC codes with better performance in chapter 7. Notice that we explicitly assume a communication scenario throughout the thesis. That is, noise is modeled as a quantum channel, and it only happens in the channel. Two parties involved in the information processing are called sender and receiver, respectively, and their operations on the quantum states are assumed to be noise-free.

Chapter 2: Background knowledge

2.1 Single qubit Pauli group

The set of *Pauli matrices* over a two-dimensional Hilbert space \mathcal{H}_2 is defined as

$$I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \quad X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix},$$
$$Y = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}, \quad Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}.$$

The Pauli matrices are Hermitian unitary matrices with eigenvalues belonging to the set $\{1, -1\}$. The multiplication table of these matrices is given by:

×	Ι	Х	Y	Z
Ι	Ι	X	Y	Z
X	X	Ι	iZ	-iY
Y	Y	-iZ	Ι	iX
Z	Z	iY	-iX	Ι

Observe that the Pauli matrices either commute or anticommute. Let $[S] = \{\beta S \mid \beta \in \mathbb{C}, |\beta| = 1\}$ be the equivalence class of matrices equal to S up to a phase factor.^{*} Let \mathcal{G} be the group generated by the set of Pauli matrices $\{I, X, Y, Z\}$ with all possible

^{*}It makes good physical sense to neglect this overall phase, which has no observable consequence.

phases, then the set $[\mathcal{G}] = \{[I], [X], [Y], [Z]\}$ is readily seen to form a commutative group under the multiplication operation defined by [S][T] = [ST]. We called $[\mathcal{G}]$ the Pauli group.

We are interested in relating the Pauli group to the additive group $(\mathbb{Z}_2)^2 = \{00, 01, 10, 11\}$ of binary words of length 2 described by the table:

+	00	01	11	10
00	00	01	11	10
01	01	00	10	11
11	11	10	00	01
10	10	11	01	00

This group is also a two-dimensional vector space over the field \mathbb{Z}_2 . A bilinear form can be defined over this vector space, called the *symplectic form* or *symplectic product*[†] $\odot : (\mathbb{Z}_2)^2 \times (\mathbb{Z}_2)^2 \to \mathbb{Z}_2$, given by the table

\odot	00	01	11	10
00	0	0	0	0
01	0	0	1	1
11	0	1	0	1
10	0	1	1	0

In what follows we will often write elements of $(\mathbb{Z}_2)^2$ as u = (z|x), with $z, x \in \mathbb{Z}_2$. For instance, 01 becomes (0|1). For $u = (z|x), v = (z'|x') \in (\mathbb{Z}_2)^2$ the symplectic product is equivalently defined by

$$u \odot v = zx' + z'x.$$

[†]Strictly speaking it is not an inner product.

Define the map $N: (\mathbb{Z}_2)^2 \to \mathcal{G}$ by the following table:

$(\mathbb{Z}_2)^2$	${\mathcal G}$
00	Ι
01	X
11	Y
10	Z

This map is defined in such a way that $N_{(z|x)}$ and $Z^z X^x$ are equal up to a phase factor, i.e.

$$[N_{(z|x)}] = [Z^z X^x].$$

We make two key observations

(1). The map $[N] : (\mathbb{Z}_2)^2 \to [\mathcal{G}]$ induced by N is an isomorphism:

$$[N_u][N_v] = [N_{u+v}].$$

(2). The commutation relations of the Pauli matrices are captured by the symplectic product

$$N_u N_v = (-1)^{u \odot v} N_v N_u.$$

Both properties are readily verified from the tables.

2.2 Multi-qubit Pauli group

Consider an *n*-qubit system corresponding to the tensor product Hilbert space $\mathcal{H}_2^{\otimes n}$. Define an *n*-qubit Pauli matrix **S** to be of the form $\mathbf{S} = S_1 \otimes S_2 \otimes \cdots \otimes S_n$, where $S_j \in \mathcal{G}$. Let \mathcal{G}^n be the group of all 4^n *n*-qubit Pauli matrices with all possible phases. Define as before the equivalence class $[\mathbf{S}] = \{\beta \mathbf{S} \mid \beta \in \mathbb{C}, |\beta| = 1\}$. Then

$$[\mathbf{S}][\mathbf{T}] = [S_1T_1] \otimes [S_2T_2] \otimes \cdots \otimes [S_nT_n] = [\mathbf{ST}].$$

Thus the set $[\mathcal{G}^n] = \{ [\mathbf{S}] : \mathbf{S} \in \mathcal{G}^n \}$ is a commutative multiplicative group, and is called the *n*-fold Pauli Group.

Now consider the group/vector space $(\mathbb{Z}_2)^{2n}$ of binary vectors of length 2n. Its elements may be written as $\mathbf{u} = (\mathbf{z}|\mathbf{x}), \ \mathbf{z} = z_1 \dots z_n \in (\mathbb{Z}_2)^n, \ \mathbf{x} = x_1 \dots x_n \in (\mathbb{Z}_2)^n$. We shall think of \mathbf{u}, \mathbf{z} and \mathbf{x} as row vectors. The symplectic product of $\mathbf{u} = (\mathbf{z}|\mathbf{x})$ and $\mathbf{v} = (\mathbf{z}'|\mathbf{x}')$ is given by

$$\mathbf{u} \odot \mathbf{v}^T = \mathbf{z} \, \mathbf{x'}^T + \mathbf{z'} \, \mathbf{x}^T.$$

The right hand side are binary inner products and the superscript T denotes the transpose. This should be thought of as a kind of matrix multiplication of a row vector and a column vector. We use $\mathbf{u} \odot \mathbf{v}^T$ rather than the more standard $\mathbf{u} \mathbf{v}^T$ to emphasize that the symplectic form is used rather than the binary inner product. Equivalently,

$$\mathbf{u} \odot \mathbf{v}^T = \sum_i u_i \odot v_i$$

where $u_i = (z_i | x_i), v_i = (z'_i | x'_i)$ and this sum represents Boolean addition. Observe that if $\mathbf{u} \odot \mathbf{v}^T = 0$, these two vectors are "orthogonal" to each other with respect to the symplectic inner product.

The map $N: (\mathbb{Z}_2)^{2n} \to \mathcal{G}^n$ is now defined as

$$N_{\mathbf{u}} = N_{u_1} \otimes \cdots \otimes N_{u_n}.$$

Writing

$$X^{\mathbf{x}} = X^{x_1} \otimes \cdots \otimes X^{x_n}$$

10

$$Z^{\mathbf{z}} = Z^{z_1} \otimes \cdots \otimes Z^{z_n},$$

as in the single qubit case, we have

$$[N_{(\mathbf{z}|\mathbf{x})}] = [Z^{\mathbf{z}}X^{\mathbf{x}}].$$

The two observations made for the single qubit case also hold:

(1). The map $[N] : (\mathbb{Z}_2)^{2n} \to [\mathcal{G}^n]$ induced by N is an isomorphism:

$$[N_{\mathbf{u}}][N_{\mathbf{v}}] = [N_{\mathbf{u}+\mathbf{v}}]. \tag{1}$$

Consequently, if $\{\mathbf{u}_1, \ldots, \mathbf{u}_m\}$ is a linearly independent set then the elements of the Pauli group subset $\{[N_{\mathbf{u}_1}], \ldots, [N_{\mathbf{u}_m}]\}$ are independent in the sense that no element can be written as a product of others.

(2). The commutation relations of the n-qubit Pauli matrices are captured by the symplectic product

$$N_{\mathbf{u}}N_{\mathbf{v}} = (-1)^{\mathbf{u} \odot \mathbf{v}^T} N_{\mathbf{v}} N_{\mathbf{u}}.$$
(2)

We define the *weight* of a Pauli operator $N_{\mathbf{u}}$, $\operatorname{wt}(N_{\mathbf{u}})$, to be the number of singlequbit Pauli matrices in $N_{\mathbf{u}}$ not equal to the identity I. Define the *weight* of a vector $\mathbf{u} = (\mathbf{z}|\mathbf{x}) \in (\mathbb{Z}_2)^{2n}$ by $\operatorname{wt}_{\operatorname{sp}}(\mathbf{u}) = \operatorname{wt}_2(\mathbf{z} \vee \mathbf{x})$. Here \vee denotes the bitwise logical "or", and $\operatorname{wt}_2(\mathbf{y})$ is the number of non-zero bits in $\mathbf{y} \in (\mathbb{Z}_2)^n$. It is easy to verify that

$$\operatorname{wt}(N_{\mathbf{u}}) = \operatorname{wt}_{\operatorname{sp}}(\mathbf{u}).$$

2.3 Properties of the symplectic form

A subspace V of $(\mathbb{Z}_2)^{2n}$ is called *symplectic* [18] if there is no $\mathbf{v} \in V$ such that

$$\mathbf{v} \odot \mathbf{u}^T = 0, \ \forall \mathbf{u} \in V.$$
(3)

 $(\mathbb{Z}_2)^{2n}$ is itself a symplectic subspace. Consider the standard basis for $(\mathbb{Z}_2)^{2n}$, consisting of $\mathbf{g}_i = (\mathbf{e}_i | \mathbf{0})$ and $\mathbf{h}_i = (\mathbf{0} | \mathbf{e}_i)$ for i = 1, ..., n, where $\mathbf{e}_i = (0, ..., 0, 1, 0, ..., 0)$ [1 in the *i*th position] are the standard basis vectors of $(\mathbb{Z}_2)^n$. Observe that

$$\mathbf{g}_i \odot \mathbf{g}_j^T = 0, \quad \text{for all } i, j$$

$$\tag{4}$$

$$\mathbf{h}_i \odot \mathbf{h}_j^T = 0, \quad \text{for all } i, j \tag{5}$$

$$\mathbf{g}_i \odot \mathbf{h}_j^T = 0, \text{ for all } i \neq j$$
 (6)

$$\mathbf{g}_i \odot \mathbf{h}_i^T = 1, \quad \text{for all } i.$$
 (7)

Thus, the basis vectors come in *n* hyperbolic pairs $(\mathbf{g}_i, \mathbf{h}_i)$ such that only the symplectic product between hyperbolic partners is nonzero. The matrix $J = [\mathbf{g}_i \odot \mathbf{h}_j^T]$ defining the symplectic product with respect to this basis is given by

$$J = \begin{pmatrix} 0_{n \times n} & I_{n \times n} \\ I_{n \times n} & 0_{n \times n} \end{pmatrix},$$
(8)

where $I_{n \times n}$ and $0_{n \times n}$ are the $n \times n$ identity and zero matrices, respectively. A basis for $(\mathbb{Z}_2)^{2n}$ whose symplectic product matrix J is given by (8) is called a *symplectic basis*. In the Pauli picture, the hyperbolic pairs $(\mathbf{g}_i, \mathbf{h}_i)$ correspond to $(Z^{\mathbf{e}_i}, X^{\mathbf{e}_i})$, and are sometimes expressed as (Z_i, X_i) , – the anticommuting Z and X Pauli matrices acting on the *i*th qubit. In contrast, a subspace V of $(\mathbb{Z}_2)^{2n}$ is called *isotropic* if (3) holds for all $\mathbf{v} \in V$. The largest isotropic subspace of $(\mathbb{Z}_2)^{2n}$ is *n*-dimensional. The span of the \mathbf{g}_i , $i = 1, \ldots, n$, is an example of a subspace saturating this bound.

A general subspace of $(\mathbb{Z}_2)^{2n}$ is neither symplectic nor isotropic. The following theorem, stated in [18] and rediscovered in Pauli language in [24], says that an arbitrary subspace V can be decomposed as a direct sum of a symplectic part and an isotropic part. Here, we prove this theorem constructively, using a version of the Gram-Schmidt procedure.

Theorem 1. Let V be an m-dimensional subspace of $(\mathbb{Z}_2)^{2n}$. Then there exists a symplectic basis of $(\mathbb{Z}_2)^{2n}$ consisting of hyperbolic pairs $(\mathbf{u}_i, \mathbf{v}_i)$, i = 1, ..., n, such that $\{\mathbf{u}_1, ..., \mathbf{u}_{c+\ell}, \mathbf{v}_1, ..., \mathbf{v}_c\}$ is a basis for V, for some $c, \ell \geq 0$ with $2c + \ell = m$.

Equivalently,

$$V = \operatorname{symp}(V) \oplus \operatorname{iso}(V)$$

where $\operatorname{symp}(V) = \operatorname{span}\{\mathbf{u}_1, \dots, \mathbf{u}_c, \mathbf{v}_1, \dots, \mathbf{v}_c\}$ is symplectic and $\operatorname{iso}(V) = \operatorname{span}\{\mathbf{u}_{c+1}, \dots, \mathbf{u}_{c+\ell}\}$ is isotropic.

Proof. Pick an arbitrary basis $\{\mathbf{w}_1, \ldots, \mathbf{w}_m\}$ for V and extend it to a basis $\{\mathbf{w}_1, \ldots, \mathbf{w}_{2n}\}$ for $(\mathbb{Z}_2)^{2n}$. The procedure consists of n rounds. In each round a new hyperbolic pair $(\mathbf{u}_i, \mathbf{v}_i)$ is generated; the index i is added to the set \mathcal{U} (respectively, \mathcal{V}) if $\mathbf{u}_i \in V$ $(\mathbf{v}_i \in V)$.

Initially set i = 1, m' = m, and $\mathcal{U} = \mathcal{V} = \emptyset$. The *i*th round reads as follows.

- (1). We start with vectors $\mathbf{w}_1, \ldots, \mathbf{w}_{2(n-i+1)}$, and $\mathbf{u}_1, \ldots, \mathbf{u}_{i-1}, \mathbf{v}_1, \ldots, \mathbf{v}_{i-1}$, such that
 - (a) $\mathbf{w}_1, \ldots, \mathbf{w}_{2(n-i+1)}, \mathbf{u}_1, \ldots, \mathbf{u}_{i-1}, \mathbf{v}_1, \ldots, \mathbf{v}_{i-1}$ is a basis for $(\mathbb{Z}_2)^{2n}$,
 - (b) each of $\mathbf{u}_1, \ldots, \mathbf{u}_{i-1}, \mathbf{v}_1, \ldots, \mathbf{v}_{i-1}$ has vanishing symplectic product with each of $\mathbf{w}_1, \ldots, \mathbf{w}_{2(n-i+1)}$,

(c)
$$V = \operatorname{span}\{\mathbf{w}_j : 1 \le j \le m'\} \oplus \operatorname{span}\{\mathbf{u}_j : j \in \mathcal{U}\} \oplus \operatorname{span}\{\mathbf{v}_j : j \in \mathcal{V}\}.$$

These conditions are satisfied for i = 1 where we begin with vectors $\mathbf{w}_1, \ldots, \mathbf{w}_{2n}$. In this case, we implicitly assume that $(\mathbf{u}_0, \mathbf{v}_0)$ is the empty set.

(2). Define $\mathbf{u}_i = \mathbf{w}_1$. If $m' \ge 1$ then and add i to \mathcal{U} . Let $j \ge 2$ be the smallest index for which $\mathbf{w}_1 \odot \mathbf{w}_j^T = 1$. Such a j exists because of (a), (b) and the fact that there exists a $\mathbf{w} \in (\mathbb{Z}_2)^{2n}$ such that $\mathbf{u}_i \odot \mathbf{w}^T = 1$.

Set $\mathbf{v}_i = \mathbf{w}_j$.

(3). If $j \le m'$:

This means that there is a hyperbolic partner of \mathbf{u}_i in V. Add i to \mathcal{V} ; swap \mathbf{w}_j with \mathbf{w}_2 ; for $k = 3, \ldots, 2(n - i + 1)$ perform

$$\mathbf{w}_{k-2}' := \mathbf{w}_k - (\mathbf{v}_i \odot \mathbf{w}_k^T) \mathbf{u}_i - (\mathbf{u}_i \odot \mathbf{w}_k^T) \mathbf{v}_i,$$

so that

$$\mathbf{w}_{k-2}' \odot \mathbf{u}_i^T = \mathbf{w}_{k-2}' \odot \mathbf{v}_i^T = 0;$$
(9)

set m' := m' - 2.

If j > m':

This means that there is no hyperbolic partner of \mathbf{u}_i in V. Swap \mathbf{w}_j with $\mathbf{w}_{2(n-i+1)}$; for $k = 2, \ldots, 2(n-i) + 1$ perform

$$\mathbf{w}_{k-1}' := \mathbf{w}_k - (\mathbf{v}_i \odot \mathbf{w}_k^T) \mathbf{u}_i - (\mathbf{u}_i \odot \mathbf{w}_k^T) \mathbf{v}_i,$$

so that

$$\mathbf{w}_{k-1}' \odot \mathbf{u}_i^T = \mathbf{w}_{k-1}' \odot \mathbf{v}_i^T = 0;$$
(10)

if $m' \ge 1$ then set m' := m' - 1.

(4). Let $\mathbf{w}_k := \mathbf{w}'_k$ for $1 \le k \le 2(n-i)$. We need to show that the conditions from item 1 are satisfied for the next round (i := i + 1). Condition (a) holds because $\{\mathbf{u}_i, \mathbf{v}_i, \mathbf{w}'_1, \dots \mathbf{w}'_{2(n-i)}\}$ are related to the old $\{\mathbf{w}_1, \dots \mathbf{w}_{2(n-i+1)}\}$ by an invertible linear transformation. Condition (b) follows from (9) and (10). Regarding condition (c), if m' = 0 then it holds because \mathcal{U} and \mathcal{V} did not change from the previous round. Otherwise, consider the two cases in item 3. If $j \le m'$ then $\{\mathbf{u}_i, \mathbf{v}_i, \mathbf{w}'_1, \dots \mathbf{w}'_{m'-2}\}$ are related to the old $\{\mathbf{w}_1, \dots \mathbf{w}_{m'}\}$ by an invertible linear transformation. If j > m' then $\{\mathbf{u}_i, \mathbf{w}'_1, \dots \mathbf{w}'_{m'-1}\}$ are related to the old $\{\mathbf{w}_1, \dots \mathbf{w}_{m'}\}$ by an invertible linear transformation (the $(\mathbf{u}_i \odot \mathbf{w}_k^T)\mathbf{v}_i$ terms vanish for $1 \le k \le m'$ because there is no hyperbolic partner of \mathbf{u}_i in V).

At the end of the *i*th round, $0 \le m' \le 2(n-i)$. Thus m' = 0 after *n* rounds and hence $V = \operatorname{span}\{\mathbf{u}_j : j \in \mathcal{U}\} \oplus \operatorname{span}\{\mathbf{v}_j : j \in \mathcal{V}\}$. The theorem follows by suitably reordering the $(\mathbf{u}_j, \mathbf{v}_j)$.

Remark It is readily seen that the space iso(V) is unique, given V. In contrast, symp(V) is not. For instance, replacing \mathbf{v}_1 by $\mathbf{v}'_1 = \mathbf{v}_1 + \mathbf{u}_{c+1}$ in the above definition of symp(V) does not change its symplectic property.

A symplectomorphism $\Upsilon : (\mathbb{Z}_2)^{2n} \to (\mathbb{Z}_2)^{2n}$ is a linear isomorphism which preserves the symplectic form, namely

$$\Upsilon(\mathbf{u}) \odot \Upsilon(\mathbf{v})^T = \mathbf{u} \odot \mathbf{v}^T.$$
(11)

The following theorem relates symplectomorphisms on $(\mathbb{Z}_2)^{2n}$ to unitary maps on $\mathcal{H}_2^{\otimes n}$. It appears, for instance, in [11]. For completeness, we give an independent proof here.

Theorem 2. For any symplectomorphism Υ on $(\mathbb{Z}_2)^{2n}$ there exists a unitary map U_{Υ} on $\mathcal{H}_2^{\otimes n}$ such that for all $\mathbf{u} \in (\mathbb{Z}_2)^{2n}$,

$$[N_{\Upsilon(\mathbf{u})}] = [U_{\Upsilon}N_{\mathbf{u}}U_{\Upsilon}^{-1}]$$

Remark. The unitary map U_{Υ} may be viewed as a map on $[\mathcal{G}_n]$ given by $[\mathbf{S}] \mapsto [U_{\Upsilon}\mathbf{S}U_{\Upsilon}^{-1}]$. The theorem says that the following diagram commutes

$$\begin{array}{cccc} (\mathbb{Z}_2)^{2n} & \stackrel{\Upsilon}{\longrightarrow} & (\mathbb{Z}_2)^{2n} \\ [N] \downarrow & & & \downarrow [N] \\ [\mathcal{G}_n] & \stackrel{U_{\Upsilon}}{\longrightarrow} & [\mathcal{G}_n] \end{array}$$

Proof. Consider the standard basis $\mathbf{g}_i = (\mathbf{e}_i | \mathbf{0}), \mathbf{h}_i = (\mathbf{0} | \mathbf{e}_i)$. Define the unique (up to a phase factor) state $|\mathbf{0}\rangle$ on $\mathcal{H}_2^{\otimes n}$ to be the simultaneous +1 eigenstate of the commuting operators $N_{\mathbf{g}_j}, j = 1, \ldots, n$. Define an orthonormal basis $\{|\mathbf{b}\rangle : \mathbf{b} = b_1 \ldots b_n \in (\mathbb{Z}_2)^n\}$ for $\mathcal{H}_2^{\otimes n}$ by

$$|\mathbf{b}\rangle = N_{\sum_i b_i \mathbf{h}_i} |\mathbf{0}\rangle.$$

The orthonormality follows from the observation that $|\mathbf{b}\rangle$ is a simultaneous eigenstate of $N_{\mathbf{g}_j}$, j = 1, ..., n with respective eigenvalues $(-1)^{b_j}$:

$$N_{\mathbf{g}_{j}}|\mathbf{b}\rangle = N_{\mathbf{g}_{j}}N_{\sum_{i}b_{i}\mathbf{h}_{i}}|\mathbf{0}\rangle$$

$$= (-1)^{b_{j}}N_{\sum_{i}b_{i}\mathbf{h}_{i}}N_{\mathbf{g}_{j}}|\mathbf{0}\rangle$$

$$= (-1)^{b_{j}}N_{\sum_{i}b_{i}\mathbf{h}_{i}}|\mathbf{0}\rangle$$

$$= (-1)^{b_{j}}|\mathbf{b}\rangle.$$

(12)

The second line is an application of (2).

Define $\tilde{\mathbf{g}}_i := \Upsilon(\mathbf{g}_i)$. We repeat the above construction for this new basis. Define the unique (up to a phase factor) state $|\tilde{\mathbf{0}}\rangle$ to be the simultaneous +1 eigenstate of the commuting operators $N_{\tilde{\mathbf{g}}_i}$, i = 1, ..., n. Define an orthonormal basis $\{|\tilde{\mathbf{b}}\rangle\}$ by

$$|\tilde{\mathbf{b}}\rangle = N_{\sum_{i} b_{i} \tilde{\mathbf{h}}_{i}} |\tilde{\mathbf{0}}\rangle.$$
 (13)

Defining $\mathbf{u} = \sum_{i} z_i \mathbf{g}_i + x_i \mathbf{h}_i$, $\tilde{\mathbf{u}} = \sum_{i} z_i \tilde{\mathbf{g}}_i + x_i \tilde{\mathbf{h}}_i$ and $\mathbf{x} = x_1 \dots x_n$, we have

$$N_{\tilde{\mathbf{u}}}|\tilde{\mathbf{b}}\rangle = N_{\tilde{\mathbf{u}}}N_{\sum_{i}b_{i}\tilde{\mathbf{h}}_{i}}|\tilde{\mathbf{0}}\rangle$$

$$= (-1)^{\tilde{\mathbf{u}}\odot(\sum_{i}b_{i}\tilde{\mathbf{h}}_{i})^{T}}N_{\sum_{i}b_{i}\tilde{\mathbf{h}}_{i}}N_{\tilde{\mathbf{u}}}|\tilde{\mathbf{0}}\rangle$$

$$= (-1)^{\tilde{\mathbf{u}}\odot(\sum_{i}b_{i}\tilde{\mathbf{h}}_{i})^{T}}e^{i\theta(\tilde{\mathbf{u}})}N_{\sum_{i}b_{i}\tilde{\mathbf{h}}_{i}}N_{\sum_{i}x_{i}\tilde{\mathbf{h}}_{i}}N_{\sum_{i}z_{i}\tilde{\mathbf{g}}_{i}}|\tilde{\mathbf{0}}\rangle$$

$$= (-1)^{\tilde{\mathbf{u}}\odot(\sum_{i}b_{i}\tilde{\mathbf{h}}_{i})^{T}}e^{i\theta(\tilde{\mathbf{u}})}N_{\sum_{i}(b_{i}+x_{i})\tilde{\mathbf{h}}_{i}}|\tilde{\mathbf{0}}\rangle$$

$$= (-1)^{\tilde{\mathbf{u}}\odot(\sum_{i}b_{i}\tilde{\mathbf{h}}_{i})^{T}}e^{i\theta(\tilde{\mathbf{u}})}|\widetilde{\mathbf{b}+\mathbf{x}}\rangle$$

$$= (-1)^{\mathbf{u}\odot(\sum_{i}b_{i}\mathbf{h}_{i})^{T}}e^{i\theta(\tilde{\mathbf{u}})}|\widetilde{\mathbf{b}+\mathbf{x}}\rangle,$$
(14)

where $\theta(\tilde{\mathbf{u}})$ is a phase factor which is independent of **b**. The first equality follows from (13), the second from (2), the third from (1), the fourth from the definition of $|\tilde{\mathbf{0}}\rangle$ and the fact that $X^{\mathbf{b}}X^{\mathbf{x}} = X^{\mathbf{b}+\mathbf{x}}$, the fifth from (13), and the sixth from (11). Similarly

$$N_{\mathbf{u}}|\mathbf{b}\rangle = (-1)^{\mathbf{u}\odot(\sum_{i}b_{i}\mathbf{h}_{i})^{T}}e^{i\varphi(\mathbf{u})}|\mathbf{b}+\mathbf{x}\rangle,$$
(15)

where $\varphi(\mathbf{u})$ is a sa phase factor which is independent of **b**.

Define U_{Υ} by the change of basis

$$U_{\Upsilon} = \sum_{\mathbf{b}} |\tilde{\mathbf{b}}\rangle \langle \mathbf{b}|.$$

Combining (14) and (15) gives for all $|\mathbf{b}\rangle$

$$N_{\Upsilon(\mathbf{u})}U_{\Upsilon}|\mathbf{b}\rangle = (-1)^{\mathbf{u}\odot(\sum_{i}b_{i}\mathbf{h}_{i})^{T}}e^{i\theta(\tilde{\mathbf{u}})}U_{\Upsilon}|\mathbf{b}+\mathbf{x}\rangle$$

$$= e^{i[\theta(\tilde{\mathbf{u}})-\varphi(\mathbf{u})]}U_{\Upsilon}N_{\mathbf{u}}|\mathbf{b}\rangle.$$
 (16)

Therefore $[N_{\Upsilon(\mathbf{u})}] = [U_{\Upsilon}N_{\mathbf{u}}U_{\Upsilon}^{-1}].$

2.4 Symplectic codes

An [n, k] symplectic code $C_{\rm sp}$ defined by an $(n - k) \times 2n$ parity check matrix $H_{\rm sp}$ is given by

$$C_{\rm sp} = {\rm rowspace}(H_{\rm sp})^{\perp}$$

where

$$V^{\perp} = \{ \mathbf{w} : \mathbf{w} \odot \mathbf{u}^T = 0, \ \forall \mathbf{u} \in V \}.$$

The subscript sp emphasizes that the code is defined with respect to the symplectic product. Note that $(V^{\perp})^{\perp} = V$. We say that $C_{\rm sp}$ is *dual-containing* if

$$(C_{\rm sp})^{\perp} = \operatorname{rowspace}(H_{\rm sp}) \subset C_{\rm sp};$$
 (17)

this is true if H_{sp} is *self-orthogonal* under the symplectic product. For simplicity, the term "self-orthogonal code" is often referred to a code with a self-orthogonal parity-check matrix.

The notion of *distance* provides a convenient way to characterize the error-correcting properties of a code. An [n, k] symplectic code C_{sp} with a parity check matrix H_{sp} is said to have distance d if for each nonzero \mathbf{u} of weight < d, $\mathbf{u} \notin C_{sp}$, or equivalently, $H_{sp} \odot \mathbf{u}^T \neq \mathbf{0}^T$.

2.5 Classical quaternary codes

Following the presentation of Forney *et al.* [25], the addition table of the additive group of the quaternary field $\mathbb{F}_4 = \{0, 1, \omega, \overline{\omega}\}$ is given by

+	0	$\overline{\omega}$	1	ω
0	0	$\overline{\omega}$	1	ω
$\overline{\omega}$	$\overline{\omega}$	0	ω	1
1	1	ω	0	$\overline{\omega}$
ω	ω	1	$\overline{\omega}$	0

Comparing the above to the addition table of $(\mathbb{Z}_2)^2$ establishes the isomorphism γ : $\mathbb{F}_4 \to (\mathbb{Z}_2)^2$, given by the table

\mathbb{F}_4	$(\mathbb{Z}_2)^2$
0	00
$\overline{\omega}$	01
1	11
ω	10

The multiplication table for \mathbb{F}_4 is defined as

×	0	$\overline{\omega}$	1	ω
0	0	0	0	0
$\overline{\omega}$	0	ω	$\overline{\omega}$	1
1	0	$\overline{\omega}$	1	ω
ω	0	1	ω	$\overline{\omega}$

Define the traces (Tr) of the elements $\{0, 1, \omega, \overline{\omega}\}$ of \mathbb{F}_4 as $\{0, 0, 1, 1\}$, and their conjugates ("[†]") as $\{0, 1, \overline{\omega}, \omega\}$. Intuitively, Tr *a* measures the " ω -ness" of $a \in \mathbb{F}_4$. Observe that a = 0 if and only if both Tr $\omega a = 0$ and Tr $\overline{\omega} a = 0$. The Hermitian inner product of two elements $a, b \in \mathbb{F}_4$ is defined as $\langle a, b \rangle = a^{\dagger}b \in \mathbb{F}_4$. The trace product is defined as $\operatorname{Tr}\langle a, b \rangle \in \mathbb{F}_2$. The trace product table is readily found to be

${\rm Tr}\langle,\rangle$	0	$\overline{\omega}$	1	ω
0	0	0	0	0
$\overline{\omega}$	0	0	1	1
1	0	1	0	1
ω	0	1	1	0

Comparing the above to the \odot table of $(\mathbb{Z}_2)^2$ establishes the identity

$$\operatorname{Tr}\langle a,b\rangle = \gamma(a)\odot\gamma(b)$$

These notions can be generalized to *n*-dimensional vector spaces over \mathbb{F}_4 . Thus, for $\mathbf{a}, \mathbf{b} \in (\mathbb{F}_4)^n$,

$$\operatorname{Tr}\langle \mathbf{a}, \mathbf{b} \rangle = \gamma(\mathbf{a}) \odot \gamma(\mathbf{b})^T,$$
(18)

where the Hermitian inner product over $(\mathbb{F}_4)^n$ is defined by the componentwise sum $\langle \mathbf{a}, \mathbf{b} \rangle = \sum_i a^{\dagger} b$. Let $\operatorname{wt}_4(\mathbf{a})$ be the number of non-zero bits in $\mathbf{a} \in (\mathbb{F}_4)^n$, then we have another identity

$$wt_{sp}(\gamma(\mathbf{a})) = wt_4(\mathbf{a}), \tag{19}$$

where $\gamma(\mathbf{a}) \in (\mathbb{Z}_2)^{2n}$.

An [n, k] code C_4 (the subscript 4 emphasizes that the code is over \mathbb{F}_4) with the parity check matrix H_4 is said to have distance d if for each vector $\mathbf{a} \in (\mathbb{F}_4)^n$ with wt₄(\mathbf{a}) < d, $\mathbf{a} \notin C_4$, or equivalently, $\langle H_4, \mathbf{a} \rangle \neq \mathbf{0}^T$.

Proposition 1. Given an [n, k, d] code C_4 with parity check matrix H_4 , there exists a corresponding [n, 2k - n, d] symplectic code C_{sp} .

Proof. Consider a classical $[n, k, d]_4$ code with an $(n - k) \times n$ quaternary parity check matrix H_4 . By definition, for each nonzero $\mathbf{a} \in (\mathbb{F}_4)^n$ such that $\mathrm{wt}_4(\mathbf{a}) < d$,

$$\langle H_4, \mathbf{a} \rangle \neq \mathbf{0}^T.$$

This is equivalent to the logical statement

$$\operatorname{Tr}\langle \omega H_4, \mathbf{a} \rangle \neq \mathbf{0}^T \lor \operatorname{Tr}\langle \bar{\omega} H_4, \mathbf{a} \rangle \neq \mathbf{0}^T.$$

This is further equivalent to

$$\operatorname{Tr}\langle \tilde{H}_4, \mathbf{a} \rangle \neq \mathbf{0}^T$$

where

$$\tilde{H} = \begin{pmatrix} \omega H_4 \\ \bar{\omega} H_4 \end{pmatrix}.$$
(20)

Define the $(2n - 2k) \times 2n$ symplectic matrix $H_{sp} = \gamma(\tilde{H}_4)$. By the correspondences (18) and (19),

$$H_{\rm sp} \odot \mathbf{u}^T \neq \mathbf{0}^T,$$

holds for each nonzero $\mathbf{u} \in (\mathbb{Z}_2)^{2n}$ with $\operatorname{wt}(\mathbf{u}) < d$. Thus C_{sp} is an [n, 2k - n, d]symplectic code defined by H_{sp} .

2.6 Encoding classical information into quantum states

In this section we review two schemes for sending classical information over quantum channels: elementary coding and superdense coding. These will be used later in the context of quantum error correction to convey information to the decoder about which error happened.

2.6.1 Elementary coding

In the first scheme, Alice and Bob are connected by a perfect qubit channel. Alice can send an arbitrary bit $a \in \mathbb{Z}_2$ over the qubit channel in the following way:

- Alice locally prepares a state |0⟩ in H₂. This state is the +1 eigenstate of the Z operator. Based on her message a, she performs the encoding operation X^a, producing the state |a⟩ = X^a|0⟩.
- Alice sends the encoded state to Bob through the qubit channel.
- Bob decodes by performing the von Neumann measurement in the {|0>, |1>} basis.
 As this is the unique eigenbasis of the Z operator, this is equivalently called "measuring the Z observable".

We call this protocol "elementary coding" and write it symbolically as a *resource* inequality [22, 21, 23] \ddagger

$$[q \to q] \ge [c \to c].$$

Here $[q \to q]$ represents a perfect qubit channel and $[c \to c]$ represents a perfect classical bit channel. The inequality \geq signifies that the resource on the left hand side can be used in a protocol to simulate the resource on the right hand side.

Elementary coding immediately extends to m qubits. Alice prepares the simultaneous +1 eigenstate of the $Z^{\mathbf{e}_1}, \ldots, Z^{\mathbf{e}_m}$ operators $|\mathbf{0}\rangle$, and encodes the message $\mathbf{a} \in (\mathbb{Z}_2)^m$ by applying $X^{\mathbf{a}}$, producing the encoded state $|\mathbf{a}\rangle = X^{\mathbf{a}}|\mathbf{0}\rangle$. Bob decodes by simultaneously measuring the $Z^{\mathbf{e}_1}, \ldots, Z^{\mathbf{e}_m}$ observables. We could symbolically represent this protocol by

$$m\left[q \to q\right] \ge m\left[c \to c\right]$$

 $^{{}^{\}ddagger}$ In [21] resource inequalities were used in the asymptotic sense. Here they refer to finite protocols, and are thus slightly abusing their original intent.

2.6.2 Superdense coding

In the second scheme, Alice and Bob share the ebit state

$$|\Phi\rangle = \frac{1}{\sqrt{2}} (|0\rangle \otimes |0\rangle + |1\rangle \otimes |1\rangle)$$
(21)

in addition to being connected by the qubit channel. In (21) Alice's state is to the left and Bob's is to the right of the \otimes symbol.

The state $|\Phi\rangle$ is the simultaneous (+1, +1) eigenstate of the commuting operators $Z \otimes Z$ and $X \otimes X$. Again, the operator to the left of the \otimes symbol acts on Alice's system and the operator to the right of the \otimes symbol acts on Bob's system. Alice can send a two-bit message $(a_1, a_2) \in (\mathbb{Z}_2)^2$ to Bob using "superdense coding" [6]:

- Based on her message (a_1, a_2) , Alice performs the encoding operation $Z^{a_1}X^{a_2}$ on her part of the state $|\Phi\rangle$, producing the state $|a_1, a_2\rangle = (Z^{a_1}X^{a_2} \otimes I^B)|\Phi\rangle$.
- Alice sends her part of the encoded state to Bob through the perfect qubit channel.
- Bob decodes by performing the von Neumann measurement in the {(Z^{a1}X^{a2} ⊗ I)|Φ⟩: (a₁, a₂) ∈ (Z₂)²} basis, i.e., by simultaneously measuring the Z ⊗ Z and X ⊗ X observables.

The protocol is represented by the resource inequality

$$[q \to q] + [q q] \ge 2 [c \to c], \tag{22}$$

where [q q] now represents the shared ebit. It can also be extended to m copies. Alice and Bob share the state $|\Phi\rangle^{\otimes m}$ which is the simultaneous +1 eigenstate of the $Z^{\mathbf{e}_1} \otimes Z^{\mathbf{e}_1}, \ldots, Z^{\mathbf{e}_m} \otimes Z^{\mathbf{e}_m}$ and $X^{\mathbf{e}_1} \otimes X^{\mathbf{e}_1}, \ldots, X^{\mathbf{e}_m} \otimes X^{\mathbf{e}_m}$ operators. Alice encodes the message $(\mathbf{a}_1, \mathbf{a}_2) \in (\mathbb{Z}_2)^{2m}$ by applying $Z^{\mathbf{a}_1} X^{\mathbf{a}_2}$, producing the encoded state $|\mathbf{a}_1, \mathbf{a}_2\rangle =$ $(Z^{\mathbf{a}_1}X^{\mathbf{a}_2} \otimes I)|\Phi\rangle$. Bob decodes by simultaneously measuring the $Z^{\mathbf{e}_1} \otimes Z^{\mathbf{e}_1}, \ldots, Z^{\mathbf{e}_m} \otimes Z^{\mathbf{e}_m}$ and $X^{\mathbf{e}_1} \otimes X^{\mathbf{e}_1}, \ldots, X^{\mathbf{e}_m} \otimes X^{\mathbf{e}_m}$ observables. The corresponding resource inequality is

$$m\left[q \to q\right] + m\left[q \, q\right] \ge 2m\left[c \to c\right]$$

Superdense coding provides the simplest illustration of how entanglement can increase the power of information processing.

2.7 Useful lemmas

Lemma 1. Let \mathcal{V} be an arbitrary subgroup of \mathcal{G}_n with size 2^m . Then there exists a set of generators $\{\bar{Z}_1, \dots, \bar{Z}_{p+q}, \bar{X}_{p+1}, \dots, \bar{X}_{p+q}\}$ that generates \mathcal{V} such that the \bar{Z} 's and \bar{X} 's obey the same commutation relations as in (23), for some $p, q \ge 0$ and p+2q = m.

$$\begin{aligned} [\bar{Z}_i, \bar{Z}_j] &= 0 \quad \forall i, j \\ [\bar{X}_i, \bar{X}_j] &= 0 \quad \forall i, j \\ [\bar{X}_i, \bar{Z}_j] &= 0 \quad \forall i \neq j \\ \{\bar{X}_i, \bar{Z}_i\} &= 0 \quad \forall i. \end{aligned}$$
(23)

Proof. Though the proof can be found in [24]; however, a new proof can be easily obtained by combining Theorem 1 and the isomorphic map $[N] : (\mathbb{Z}_2)^{2n} \to [\mathcal{G}_n]$. \Box

The following lemma is a simply result from group theory, and a new proof can be obtained from Theorem 2 and $[N]: (\mathbb{Z}_2)^{2n} \to [\mathcal{G}_n].$

Lemma 2. If there is a one-to-one map between \mathcal{V} and \mathcal{S} which preserves their commutation relations, which we denote $\mathcal{V} \sim \mathcal{S}$, then there exists a unitary U such that for each $V_i \in \mathcal{V}$, there is a corresponding $S_i \in \mathcal{S}$ such that $V_i = US_iU^{-1}$, up to a phase which can differ for each generator. **Lemma 3.** If C_0 is a simultaneous eigenspace of Pauli operators from the set S'_0 , then $C = U^{-1}(C_0)$ is a simultaneous eigenspace of Pauli operators from the set $S = \{U^{-1}\mathbf{A}U : \mathbf{A} \in S'_0\}$.

Proof. Observe that if

$$\mathbf{A}|\psi\rangle = \alpha|\psi\rangle,$$

then

$$(U^{-1}\mathbf{A}U)U^{-1}|\psi\rangle = \alpha U^{-1}|\psi\rangle.$$

Lemma 4. Performing U followed by measuring the operator A is equivalent to measuring the operator $U^{-1}AU$ followed by performing U.

Proof. Let Π_i be a projector onto the eigenspace corresponding to eigenvalue λ_i of **A**. Performing U followed by measuring the operator **A** is equivalent to the instrument (generalized measurement) given by the set of operators $\{\Pi_i U\}$. The operator $U^{-1}\mathbf{A}U$ has the same eigenvalues as **A**, and the projector onto the eigenspace corresponding to eigenvalue λ_i is $U^{-1}\Pi_i U$. Measuring the operator $U^{-1}\mathbf{A}U$ followed by performing U is equivalent to the instrument $\{U(U^{-1}\Pi_i U)\} = \{\Pi_i U\}$.

Chapter 3: Standard quantum error-correcting codes

3.1 Discretization of errors

It is well known that for standard quantum error correction (i.e., that unassisted by entanglement) it suffices to consider errors from the Pauli group (see e.g. [47].) We will review this result here.

Denote by \mathcal{L} the space of linear operators defined on the qubit Hilbert space \mathcal{H}_2 . In general, a noisy channel is defined by a completely positive, trace preserving (CPTP) map $\mathcal{N} : \mathcal{L}^{\otimes n} \to \mathcal{L}^{\otimes n}$ taking *n*-qubit density operators on Alice's system to density operators on Bob's system. We will often encounter isometric operators $U : \mathcal{H}_2^{\otimes n_1} \to$ $\mathcal{H}_2^{\otimes n_2}$. The corresponding *superoperator*, or CPTP map, is marked by a hat $\hat{U} : \mathcal{L}^{\otimes n_1} \to$ $\mathcal{L}^{\otimes n_2}$ and defined by

$$\hat{U}(\rho) = U\rho U^{\dagger}.$$

Observe that \hat{U} is independent of any phases factors multiplying U. Thus, for a Pauli operator $N_{\mathbf{u}}$, $\hat{N}_{\mathbf{u}}$ only depends on the equivalence class $[N_{\mathbf{u}}]$.

Our communication scenario involves two spatially separated parties, Alice and Bob, connected by a noise channel \mathcal{N} . Alice wishes to send k qubits *perfectly* to Bob through \mathcal{N} . An [[n, k]] QECC consists of

- An encoding isometry $\mathcal{E} = \hat{U}_{enc} : \mathcal{L}^{\otimes k} \to \mathcal{L}^{\otimes n}$
- A decoding CPTP map $\mathcal{D}: \mathcal{L}^{\otimes n} \to \mathcal{L}^{\otimes k}$

such that

$$\mathcal{D} \circ \mathcal{N} \circ \hat{U}_{\text{enc}} = \text{id}^{\otimes k},$$

where $id: \mathcal{L} \to \mathcal{L}$ is the identity map on a single qubit.

To make contact with classical error correction it is necessary to discretize the errors introduced by \mathcal{N} . This is done in two steps. First, the CPTP map \mathcal{N} may be (non-uniquely) written in terms of its Kraus representation

$$\mathcal{N}(\rho) = \sum_{i} A_{i} \rho A_{i}^{\dagger}.$$

Second, each A_i may be expanded in the Pauli operators

$$A_i = \sum_{\mathbf{u} \in (\mathbb{Z}_2)^{2n}} \alpha_{i,\mathbf{u}} N_{\mathbf{u}}.$$

Define the support of \mathcal{N} by $\operatorname{supp}(\mathcal{N}) = \{\mathbf{u} \in (\mathbb{Z}_2)^{2n} : \exists i, \alpha_{i,\mathbf{u}} \neq 0\}$. The following theorem allows us to replace the continuous map \mathcal{N} by the error set $S = \operatorname{supp}(\mathcal{N})$. **Theorem 3.** If $\mathcal{D} \circ \hat{N}_{\mathbf{u}} \circ \hat{U}_{enc} = \operatorname{id}^{\otimes k}$ for all $\mathbf{u} \in \operatorname{supp}(\mathcal{N})$, then $\mathcal{D} \circ \mathcal{N} \circ \hat{U}_{enc} = \operatorname{id}^{\otimes k}$. *Proof.* We may extend the map \mathcal{D} to its Stinespring dilation [56] – an isometric map

 \hat{U}_{dec} with a larger target Hilbert space $\mathcal{L}^{\otimes n} \otimes \mathcal{L}'$, such that

$$\mathcal{D}(\rho) = \operatorname{Tr}_{\mathcal{L}'} \hat{U}_{\operatorname{dec}}(\rho).$$

If for all $\mathbf{u} \in \operatorname{supp}(\mathcal{N})$ and all pure states $|\psi\rangle$ in $\mathcal{H}_2^{\otimes n}$, the following equation holds

$$U_{\rm dec} N_{\mathbf{u}} U_{\rm enc} |\psi\rangle = |\psi\rangle \otimes |\mathbf{u}\rangle$$

for some pure state $|\mathbf{u}\rangle\langle\mathbf{u}|$ on \mathcal{L}' , then by linearity, we have

$$U_{\rm dec}A_iU_{\rm enc}|\psi\rangle = |\psi\rangle \otimes |i\rangle$$

27

with the unnormalized state $|i\rangle = \sum_{\mathbf{u}} \alpha_{i,\mathbf{u}} |\mathbf{u}\rangle$. Furthermore,

$$(\hat{U}_{\text{dec}} \circ \mathcal{N} \circ \hat{U}_{\text{enc}})(|\psi\rangle\!\langle\psi|) = U_{\text{dec}} \left(\sum_{i} A_{i} U_{\text{enc}} |\psi\rangle\!\langle\psi| U_{\text{enc}}^{\dagger} A_{i}^{\dagger}\right) U_{\text{dec}}^{\dagger}$$

$$= |\psi\rangle\!\langle\psi| \otimes \sum_{i} |i\rangle\!\langle i|, \qquad (24)$$

where the second subsystem corresponds to \mathcal{L}' . Tracing out the latter gives

$$(\mathcal{D} \circ \mathcal{N} \circ \hat{U}_{\text{enc}})(|\psi\rangle\!\langle\psi|) = |\psi\rangle\!\langle\psi|,$$

concluding the proof.

3.2 Canonical codes

We first introduce the simplest form of standard quantum error-correcting codes (QECCs), the canonical codes. The canonical code C_0 is defined by the following trivial encoding operation $\mathcal{E}_0 = \hat{U}_0$, where

$$U_0: |\varphi\rangle \mapsto |\mathbf{0}\rangle |\varphi\rangle. \tag{25}$$

In other words, the register containing $|\mathbf{0}\rangle$ (of size s = n - k qubits) is appended to the registers containing $|\varphi\rangle$ (of size k qubits). We call the encoded state in (25) a *codeword* of C_0 . What errors can this canonical code C_0 correct with such a simple-minded encoding?

Proposition 2. The encoding given by \mathcal{E}_0 and a suitably-defined decoding map \mathcal{D}_0 can correct the error set

$$\mathbf{E}_0 = \{ X^{\mathbf{a}} Z^{\mathbf{b}} \otimes X^{\alpha(\mathbf{a})} Z^{\beta(\mathbf{a})} : \mathbf{a}, \mathbf{b} \in (\mathbb{Z}_2)^s \},$$
(26)

for any fixed functions $\alpha, \beta : (\mathbb{Z}_2)^s \to (\mathbb{Z}_2)^k$.



Figure 1: A canonical quantum error-correcting code.

Proof. The protocol is shown in Figure 1. After applying an error $E \in \mathbf{E}_0$, the channel output becomes (up to a phase factor):

$$E\left(|\mathbf{0}\rangle \otimes |\varphi\rangle\right) = (X^{\mathbf{a}}Z^{\mathbf{b}})|\mathbf{0}\rangle \otimes (X^{\alpha(\mathbf{a})}Z^{\beta(\mathbf{a})})|\varphi\rangle = |\mathbf{a}\rangle \otimes |\varphi'\rangle$$
(27)

where $|\mathbf{a}\rangle = X^{\mathbf{a}}|\mathbf{0}\rangle$, and $|\varphi'\rangle = (X^{\alpha(\mathbf{a})}Z^{\beta(\mathbf{a})})|\varphi\rangle$.

As the vector (\mathbf{a}, \mathbf{b}) completely specifies the error operator E, it is called the *error* syndrome. However, in order to correct this error, only the *reduced syndrome*, \mathbf{a} , matters. In effect, \mathbf{a} has been encoded using elementary coding (see section 2.6.1), and the receiver Bob can identify the reduced syndrome by simultaneously measuring the $Z^{\mathbf{e}_1}, \cdots, Z^{\mathbf{e}_s}$ observables. He then performs $X^{-\alpha(\mathbf{a})}Z^{-\beta(\mathbf{a})}$ on the remaining k-qubit system $|\varphi'\rangle$, returning it to the original state $|\varphi\rangle$.

Since the goal is the transmission of quantum information, no actual measurement is necessary. Instead, Bob can perform the CPTP decoding operation \mathcal{D}_0 consisting of the controlled unitary

$$U_{0,\text{dec}} = \sum_{\mathbf{a}} |\mathbf{a}\rangle\!\langle \mathbf{a}| \otimes X^{-\alpha(\mathbf{a})} Z^{-\beta(\mathbf{a})}, \qquad (28)$$

which is constructed based on the reduced syndrome, and is also known as *collective* measurement, followed by discarding the unwanted systems.

We can rephrase the above error-correcting procedure in terms of the stabilizer formalism. Let $S_0 = \langle Z_1, \dots, Z_s \rangle$ be an Abelian group of size 2^s . Group S_0 is called the stabilizer for C_0 , since every element of S_0 fixes the codewords of C_0 . Notice that we have used Z_i to represent $Z^{\mathbf{e}_i}$ here for simplicity.

Proposition 3. The QECC C_0 defined by S_0 can correct an error set \mathbf{E}_0 if for all $E_1, E_2 \in \mathbf{E}_0, E_2^{\dagger} E_1 \in S_0 \bigcup (\mathcal{G}_n - \mathcal{Z}(\mathcal{S}_0))$, where $\mathcal{Z}(\mathcal{S})$ is the normalizer of group \mathcal{S} .

Proof. Since the vector (\mathbf{a}, \mathbf{b}) completely specifies the error operator E, we consider the following two different cases:

- If two error operators E_1 and E_2 have the same reduced syndrome **a**, then the error operator $E_2^{\dagger}E_1$ gives us the all-zero reduced syndrome. Therefore, $E_2^{\dagger}E_1 \in S_0$. This error $E_2^{\dagger}E_1$ has no effect on the codeword.
- If two error operators E_1 and E_2 have different reduced syndromes, and let **a** be the reduced syndrome of $E_2^{\dagger}E_1$, then $E_2^{\dagger}E_1 \notin \mathcal{Z}(\mathcal{S}_0)$. This error $E_2^{\dagger}E_1$ can be corrected by the decoding operation given in (28).

3.3 The general case

Theorem 4. Given an Abelian group S_I of size 2^{n-k} that does not contain -I, there exists an [[n,k]] quantum error-correcting code C defined by the encoding and decoding pair $(\mathcal{E}, \mathcal{D})$ with the following properties:

- (1). The code C can correct the error set \mathbf{E} if for all $E_1, E_2 \in \mathbf{E}, E_2^{\dagger}E_1 \in S_I \bigcup (\mathcal{G}_n \mathcal{Z}(\mathcal{S}_I)).$
- (2). The codespace C is a simultaneous eigenspace of the S_I .



Figure 2: A standard quantum error-correcting code.

(3). To decode, the reduced error syndrome is obtained by simultaneously measuring the observables from S_I .

Proof. The protocol is shown in Figure 2. Since S_I has the same commutation relations with the stabilizer S_0 of the canonical code C_0 given in the previous section, by Lemma 2, there exists an unitary matrix U such that $S_0 = US_IU^{-1}$. Define $\mathcal{E} = U^{-1} \circ \mathcal{E}_0$ and $\mathcal{D} = \mathcal{D}_0 \circ U$, where \mathcal{E}_0 and \mathcal{D}_0 are given in (25) and (28), respectively.

(1). Let \mathbf{E}_0 be the error set that can be corrected by \mathcal{C}_0 . Then by Proposition 2,

$$\mathcal{D}_0 \circ E_0 \circ \mathcal{E}_0 = \mathrm{id}^{\otimes k}$$

for any $E_0 \in \mathbf{E}_0$. Let $\mathbf{E} = \{U^{-1}E_0U : \forall E_0 \in \mathbf{E}_0\}$. It follows that, for any $E \in \mathbf{E}$,

$$\mathcal{D} \circ E \circ \mathcal{E} = \mathrm{id}^{\otimes k}.$$

Thus, the encoding and decoding pair $(\mathcal{E}, \mathcal{D})$ corrects **E**. Following Proposition 3, the correctable error set **E** contains all E_1, E_2 such that $E_2^{\dagger}E_1 \in \mathcal{S}_I \bigcup (\mathcal{G}_n - \mathcal{Z}(\mathcal{S}_I))$.

- (2). Since C_0 is the simultaneous +1 eigenspace of S_0 , and $S_I = U^{-1}S_0U$, Lemma 3 guarantees that the codespace C after encoding \mathcal{E} is a simultaneous eigenspace of S_I .
- (3). The decoding operation \mathcal{D}_0 involves
- i. measuring the set of generators of S_0 , yielding the error syndrome according to the error E_0 .
- ii. performing a recovering operation E_0 again to undo the error.

By Lemma 4, performing $\mathcal{D} = \mathcal{D}_0 \circ U$ is equivalent to measuring $S_I = U^{-1}S_0U$, followed by performing the recovering operation $U^{-1}E_0U$, followed by U to undo the encoding.

We said an [[n, k]] QECC defined by S_I to have distance d, if for all operators E_1 and E_2 with weigh < d and $E_1 \neq E_2$, either

i. $E_2^{\dagger} E_1 \notin \mathcal{G}_n - \mathcal{Z}(\mathcal{S}_I)$, or

ii.
$$E_2^{\dagger} E_1 \in \mathcal{S}_I$$

The code is called *non-degenerate* if the second condition is not invoked. A QECC with distance d can correct up to t-qubit errors, where $t = \lfloor (d-1)/2 \rfloor$. Such code is called an [[n, k, d]] QECC.

3.4 Relation to symplectic codes

Proposition 4. Consider an [n, k, d] symplectic code C_{sp} defined by H_{sp} . If C_{sp} is dual-containing, then C_{sp} defines a non-degenerate [[n, k, d]] QECC.

Proof. Since H_{sp} is self-orthogonal, that means the group S_I generated by the operator $g_i = N_{\mathbf{r}_i}$, where \mathbf{r}_i is the *i*-th row of H_{sp} , is an Abelian group with size 2^{n-k} . From Theorem 4, S_I defines an [[n, k]] QECC C.

For all vectors $\mathbf{u}_1, \mathbf{u}_2$ with weight $\langle t, where \ t = \lfloor (d-1)/2 \rfloor$, we have

$$H_{\rm sp} \odot (\mathbf{u}_1 - \mathbf{u}_2) \neq \mathbf{0}^T,$$

32

or, equivalently,

$$N_{\mathbf{u}_2}^{\dagger} N_{\mathbf{u}_1} \notin \mathcal{G}_n - \mathcal{Z}(\mathcal{S}_I).$$

Therefore C is a non-degenerate QECC with distance d.

3.4.1 The CSS construction

Proposition 5. Given a dual-containing classical binary codes [n, k, d] C, there exists an [[n, 2k - n, d]] QECC.

Proof. Let H be the parity check matrix of C. Since

$$\operatorname{rowspace}(H) = C^{\perp} \subset C = \operatorname{rowspace}(H)^{\perp},$$

therefore

$$H_{\rm sp} = \begin{pmatrix} H & \mathbf{0} \\ \mathbf{0} & H \end{pmatrix}, \tag{29}$$

is dual-containing, and defines an [n, 2k - n] symplectic code C_{sp} . By definition of classical linear codes, for each nonzero $\mathbf{a} \in (\mathbb{Z}_2)^n$ such that $\operatorname{wt}(\mathbf{a}) < d$,

$$\langle H, \mathbf{a} \rangle \neq \mathbf{0}^T,$$

Then

$$H_{\rm sp} \odot {\bf u} \neq {\bf 0}^T,$$

holds for each nonzero $\mathbf{u} \in (\mathbb{Z}_2)^{2n}$ with $\operatorname{wt}(\mathbf{u}) < d$. Thus C_{sp} defines a non-degenerate [[n, 2k - n, d]] QECC by Proposition 4.

Actually, instead of using the same code C, one can use two codes C_1 and C_2 , such that $C_1 \subset C_2$, in the CSS construction [47]. Furthermore, the CSS code have one

interesting property that its generators contain all X's and protect against phase flips and generators contain all Z's and protect against bit flips.

3.5 Examples

3.5.1 The [[9, 1, 3]] Shor code

The first quantum error-correcting code constructed by Shor [52] was a quantum analog of the classical repetition code, which stores information redundantly by duplicating each bit several times. We list the stabilizer generators for the [[9, 1, 3]] Shor code in Table 1. It is easy to verify that it can correct arbitrary single-qubit error.

S_1	Ζ	Ζ	Ι	Ι	Ι	Ι	Ι	Ι	Ι
S_2	Ι	Ζ	Ζ	Ι	Ι	Ι	Ι	Ι	Ι
S_3	Ι	Ι	Ι	Ζ	Ζ	Ι	Ι	Ι	Ι
S_4	Ι	Ι	Ι	Ι	Ζ	Ζ	Ι	Ι	Ι
S_5	Ι	Ι	Ι	Ι	Ι	Ι	Ζ	Ζ	Ι
S_6	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Ζ	Ζ
S_7	Х	Х	Х	Ι	Ι	Ι	Х	Х	Х
S_8	Х	Х	Х	Х	Х	Х	Ι	Ι	Ι
\bar{Z}	Ζ	Ζ	Ζ	Ζ	Ζ	Ζ	Ζ	Ζ	Ζ
\bar{X}	Х	Х	Х	Х	Х	Х	Х	Х	Х

Table 1: The [[9,1,3]] Shor code.

3.5.2 The [[7, 1, 3]] Steane code

The second example, the [[7, 1, 3]] Steane code, is constructed using the CSS construction from dual-containing [7, 4, 3] Hamming code with the parity check matrix

$$H = \begin{pmatrix} 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1 \end{pmatrix}.$$
 (30)

We list the stabilizer generators in Table 2.

S_1	Ι	Ι	Ι	Ζ	Ζ	Ζ	Ζ
S_2	Ι	Ζ	Ζ	Ι	Ι	Ζ	Ζ
S_3	Ζ	Ι	Ζ	Ι	Ζ	Ι	Ζ
S_4	Ι	Ι	Ι	Х	Х	Х	Х
S_5	Ι	Х	Х	Ι	Ι	Х	Х
S_6	Х	Ι	Х	Ι	Х	Ι	Х
\bar{Z}	Ζ	Ζ	Ζ	Ζ	Ζ	Ζ	Ζ
\bar{X}	Х	Х	Х	Х	Х	Х	Х

Table 2: The [[7,1,3]] Steane code.

3.6 Discussion

We have developed a canonical code method together with the stabilizer formalism [14, 29, 47] to introduce the standard quantum error-correcting codes. The canonical code method provides us essential insight into the error-correcting property. First of all, the canonical code is obtained by attaching some ancillas, initially in the $|0\rangle$ state, to the quantum information we want to preserve. Therefore the *codewords* of

the canonical code can be easily described by a set of commuting Pauli Z operators. The error syndrome of each correctable error can be seen as classical information being encoded in the canonical code by elementary coding. Therefore, reading out the error syndrome is equivalent to recovering the classical message. Then we can restore the codewords of the canonical code by performing a correction operation based on the measurement outcome since the outcome tells us which error happens. These two steps, reading out the error syndrome and performing correction operation, are called the decoding operation.

For a useful QECC, we expect it to be able to correct at least arbitrary t-qubit errors, for some $t \ge 1$. In this sense, the canonical code is not a satisfactory QECC, but we can transform the canonical code to a QECC with desirable distance property. The mapping (encoding) is done with some unitary that takes the codespace of the canonical code to the codespace specified by the stabilizer of the QECC. Essentially, all QECCs developed to date are stabilizer codes. The problem of finding QECCs was reduced to that of constructing dual-containing symplectic codes, or equivalently, classical dualcontaining quaternary codes [14]. When binary codes are viewed as quaternary, this amounts to the well known Calderbank-Shor-Steane (CSS) construction [55, 16]. The requirement that a code contains its dual is a consequence of the need for a commuting stabilizer group. The virtue of this approach is that we can directly construct quantum codes from classical codes with a certain property, rather than having to develop a completely new theory of quantum error correction from scratch. Unfortunately, the need for a self-orthogonal parity check matrix presents a substantial obstacle to importing the classical theory in its entirety, especially in the context of modern codes such as low-density parity check (LDPC) codes [44].

In the next chapter, we will show that actually every quaternary (or binary) classical linear code, not just dual-containing codes, can be transformed into a QECC, given that the encoder Alice and decoder Bob have access to shared entanglement. If the classical codes are not dual-containing, they correspond to a set of stabilizer generators that do not commute; however, if shared entanglement is an available resource, these generators may be embedded into larger, commuting generators, giving a well-defined code space. We call this the entanglement-assisted stabilizer formalism, and the codes constructed from it are entanglement-assisted QECCs (EAQECCs).

Chapter 4: Entanglement-assisted quantum error-correcting codes

We consider the following communication scenario depicted in Figure 3. The protocol involves two spatially separated parties, Alice and Bob, and the resources at their disposal are

- a noisy channel defined by a CPTP map N : L^{⊗n} → L^{⊗n} taking density operators on Alice's system to density operators on Bob's system;
- the *c*-ebit state $|\Phi\rangle^{\otimes c}$ shared between Alice and Bob.

Alice wishes to send k-qubit quantum information *perfectly* to Bob using the above resources. An [[n, k; c]] entanglement-assisted quantum error correcting code (EAQECC) consists of

- An encoding isometry $\mathcal{E} = \hat{U}_{enc} : \mathcal{L}^{\otimes k} \otimes \mathcal{L}^{\otimes c} \to \mathcal{L}^{\otimes n}$
- A decoding CPTP map $\mathcal{D}: \mathcal{L}^{\otimes n} \otimes \mathcal{L}^{\otimes c} \to \mathcal{L}^{\otimes k}$

such that

$$\mathcal{D} \circ \mathcal{N} \circ \hat{U}_{\text{enc}} = \text{id}^{\otimes k},$$

where U_{enc} is the isometry which appends the state $|\Phi\rangle^{\otimes c}$,

$$U_{\rm enc}|\varphi\rangle = |\varphi\rangle|\Phi\rangle^{\otimes c},$$



Figure 3: A generic entanglement assisted quantum code.

and id : $\mathcal{L} \to \mathcal{L}$ is the identity map on a single qubit. The protocol thus uses up c ebits of entanglement and generates k perfect qubit channels. We represent it by the resource inequality (with a slight abuse of notation [21])

$$\langle \mathcal{N} \rangle + c [q q] \ge k [q \to q]$$

Even though a qubit channel is a strictly stronger resource than its static analogue, an ebit of entanglement, the parameter k - c is still a good (albeit pessimistic) measure of the net noiseless quantum resources gained. It should be borne in mind that a negative value of k still refers to a non-trivial protocol.

4.1 The channel model: discretization of errors

Again we need to show that we can discretize the errors introduced by \mathcal{N} in the entanglement-assisted communication scenario. This can be done using steps described in Section 3.1. The continuous map \mathcal{N} then can be replaced by the error set $S = \sup(\mathcal{N})$ by Theorem 3.

4.2 The entanglement-assisted canonical code

The entanglement-assisted quantum error-correcting codes (EAQECCs) come from a simple idea: replacing some portion of the ancillas of the canonical codes (25) by the



Figure 4: The entanglement-assisted canonical code.

maximally entangled states shared between the sender and receiver. We can construct the entanglement-assisted (EA) canonical code C_0^{EA} with the following trivial encoding operation $\mathcal{E}_0 = \hat{U}_0$ defined by

$$U_0: |\varphi\rangle \to |\mathbf{0}\rangle \otimes |\Phi\rangle^{\otimes c} \otimes |\varphi\rangle. \tag{31}$$

The operation simply appends ℓ ancilla qubits in the state $|\mathbf{0}\rangle$, and c copies of $|\Phi\rangle$ (the maximally entangled state shared between sender Alice and receiver Bob), to the initial register containing the state $|\varphi\rangle$ of size k qubits, where $\ell + k + c = n$.

Proposition 6. The encoding given by \mathcal{E}_0 and a suitably-defined decoding map \mathcal{D}_0 can correct the error set

$$\mathbf{E}_0 = \{ X^{\mathbf{a}} Z^{\mathbf{b}} \otimes Z^{\mathbf{a}_1} X^{\mathbf{a}_2} \otimes X^{\alpha(\mathbf{a},\mathbf{a}_1,\mathbf{a}_2)} Z^{\beta(\mathbf{a},\mathbf{a}_1,\mathbf{a}_2)} : \mathbf{a}, \mathbf{b} \in (\mathbb{Z}_2)^\ell, \mathbf{a}_1, \mathbf{a}_2 \in (\mathbb{Z}_2)^c \}, \quad (32)$$

for any fixed functions $\alpha, \beta : (\mathbb{Z}_2)^\ell \times (\mathbb{Z}_2)^c \times (\mathbb{Z}_2)^c \to (\mathbb{Z}_2)^k$.

Proof. The protocol is shown in Figure 4. After applying an error $E \in \mathbf{E}_0$, the channel output becomes (up to a phase factor):

$$(X^{\mathbf{a}}Z^{\mathbf{b}})|\mathbf{0}\rangle \otimes (Z^{\mathbf{a}_{1}}X^{\mathbf{a}_{2}} \otimes I^{B})|\Phi\rangle^{\otimes c} \otimes (X^{\alpha(\mathbf{a},\mathbf{a}_{1},\mathbf{a}_{2})}Z^{\beta(\mathbf{a},\mathbf{a}_{1},\mathbf{a}_{2})})|\varphi\rangle = |\mathbf{a}\rangle \otimes |\mathbf{a}_{1},\mathbf{a}_{2}\rangle \otimes |\varphi'\rangle$$
(33)

where

$$|\mathbf{a}\rangle = X^{\mathbf{a}}Z^{\mathbf{b}}|\mathbf{0}\rangle = X^{\mathbf{a}}|\mathbf{0}\rangle \tag{34}$$

$$|\mathbf{a}_1, \mathbf{a}_2\rangle = (Z^{\mathbf{a}_1} X^{\mathbf{a}_2} \otimes I^B) |\Phi\rangle^{\otimes c}, \qquad (35)$$

$$|\varphi'\rangle = X^{\alpha(\mathbf{a},\mathbf{a}_1,\mathbf{a}_2)} Z^{\beta(\mathbf{a},\mathbf{a}_1,\mathbf{a}_2)} |\varphi\rangle.$$
(36)

As the vector $(\mathbf{a}, \mathbf{a}_1, \mathbf{a}_2, \mathbf{b})$ completely specifies the error E, it is called the *error syn*drome. The state (33) only depends on the reduced syndrome $\mathbf{r} = (\mathbf{a}, \mathbf{a}_1, \mathbf{a}_2)$. In effect, \mathbf{a} and $(\mathbf{a}_1, \mathbf{a}_2)$ have been encoded using elementary and superdense coding, respectively. Bob, who holds the entire state (33), can identify the reduced syndrome. Bob simultaneous measures the $Z^{\mathbf{e}_1}, \ldots, Z^{\mathbf{e}_\ell}$ observables to decode \mathbf{a} , the $X^{\mathbf{e}_1} \otimes X^{\mathbf{e}_1}, \ldots, X^{\mathbf{e}_c} \otimes X^{\mathbf{e}_c}$ observables to decode \mathbf{a}_1 , and the $Z^{\mathbf{e}_1} \otimes Z^{\mathbf{e}_1}, \ldots, Z^{\mathbf{e}_c} \otimes Z^{\mathbf{e}_c}$ observables to decode \mathbf{a}_2 . He then performs $X^{\alpha(\mathbf{a},\mathbf{a}_1,\mathbf{a}_2)} Z^{\beta(\mathbf{a},\mathbf{a}_1,\mathbf{a}_2)}$ on the remaining k qubit system $|\varphi'\rangle$, restoring it to the original state $|\varphi\rangle$.

Since the goal is the transmission of quantum information, no actual measurement is necessary. Instead, Bob can perform the following decoding \mathcal{D}_0 consisting of the controlled unitary

$$U_{0,\text{dec}} = \sum_{\mathbf{a},\mathbf{a}_1,\mathbf{a}_2} |\mathbf{a}\rangle \langle \mathbf{a}| \otimes |\mathbf{a}_1,\mathbf{a}_2\rangle \langle \mathbf{a}_1,\mathbf{a}_2| \otimes X^{-\alpha(\mathbf{a},\mathbf{a}_1,\mathbf{a}_2)} Z^{-\beta(\mathbf{a},\mathbf{a}_1,\mathbf{a}_2)},$$
(38)

followed by discarding the unwanted subsystems.

We can rephrase the above error-correcting procedure in terms of the stabilizer formalism. Let $S_0 = \langle S_{0,I}, S_{0,E} \rangle$, where $S_{0,I} = \langle Z_1, \cdots, Z_\ell \rangle$ is the isotropic subgroup of size 2^ℓ and $S_{0,E} = \langle Z_{\ell+1}, \cdots, Z_{\ell+c}, X_{\ell+1}, \cdots, X_{\ell+c} \rangle$ is the *symplectic* subgroup of size 2^{2c} . We can easily construct an Abelian extension of S_0 that acts on n + c qubits, by specifying the following generators:

$$Z_{1} \otimes I,$$

$$\vdots$$

$$Z_{\ell} \otimes I,$$

$$Z_{\ell+1} \otimes Z_{1},$$

$$X_{\ell+1} \otimes X_{1}.$$

$$\vdots$$

$$Z_{\ell+c} \otimes Z_{c},$$

$$X_{\ell+c} \otimes X_{c},$$
(39)

where the first *n* qubits are on the side of the sender (Alice) and the extra *c* qubits are taken to be on the side of the receiver (Bob). The operators Z_i or X_i to the right of the tensor product symbol above is the Pauli operator *Z* or *X* acting on Bob's *i*-th qubit. We denote such an Abelian extension of the group S_0 by \tilde{S}_0 . It is easy to see that the group \tilde{S}_0 fixes the code space C_0^{EA} (therefore \tilde{S}_0 is the stabilizer for C_0^{EA}), and we will call the group S_0 the *entanglement-assisted stabilizer* for C_0^{EA} .

Consider the parameters of the EA canonical code. The number of ancillas ℓ is equal to the number of generators for the isotropic subgroup $S_{0,I}$. The number of ebits c is equal to the number of symplectic pairs that generate the entanglement subgroup $S_{0,E}$. Finally, the number of logical qubits k that can be encoded in C_0^{EA} is equal to $n - \ell - c$. To sum up, C_0^{EA} defined by S_0 is an [[n,k;c]] EAQECC that fixes a 2^k -dimensional code space.

Proposition 7. The EAQECC C_0^{EA} defined by $S_0 = \langle S_{0,I}, S_{0,E} \rangle$ can correct an error set \mathbf{E}_0 if for all $E_1, E_2 \in \mathbf{E}_0, E_2^{\dagger} E_1 \in S_{0,I} \bigcup (\mathcal{G}_n - \mathcal{Z}(\langle S_{0,I}, S_{0,E} \rangle)).$

Proof. Since the vector $(\mathbf{a}, \mathbf{a}_1, \mathbf{a}_2, \mathbf{b})$ completely specifies the error operator E, we consider the following two different cases:

- If two error operators E₁ and E₂ have the same reduced syndrome (a, a₁, a₂), then the error operator E[†]₂E₁ gives us the all-zero syndrome. Therefore, E[†]₂E₁ ∈ S_{0,I}. This error E[†]₂E₁ has no effect on the codewords of C^{EA}₀.
- If two error operators E_1 and E_2 have different reduced syndromes, and let $(\mathbf{a}, \mathbf{a}_1, \mathbf{a}_2)$ be the reduced syndrome of $E_2^{\dagger}E_1$, then $E_2^{\dagger}E_1 \notin Z(\langle S_{0,I}, S_{0,E} \rangle)$. This error $E_2^{\dagger}E_1$ can be corrected by the decoding operation given in (38).

4.3 The general case

Theorem 5. Given a general group $S = \langle S_I, S_E \rangle$ with the sizes of S_I and S_E being 2^{n-k-c} and 2^{2c} , respectively, there exists an [[n,k;c]] EAQECC C^{EA} defined by the encoding and decoding pair $(\mathcal{E}, \mathcal{D})$ with the following properties:

- (1). The code \mathcal{C}^{EA} can correct the error set \mathbf{E} if for all $E_1, E_2 \in \mathbf{E}, E_2^{\dagger} E_1 \in \mathcal{S}_I \bigcup (\mathcal{G}_n \mathcal{Z}(\langle \mathcal{S}_I, \mathcal{S}_E \rangle)).$
- (2). The codespace C^{EA} is a simultaneous eigenspace of the Abelian extension of S, \tilde{S} .
- (3). To decode, the reduced error syndrome is obtained by simultaneously measuring the observables from S.

Proof. Since the commutation relations of S are the same as the EA stabilizer S_0 for the EA canonical code C_0^{EA} in the previous section, by Lemma 2, there exists an unitary matrix U such that $S_0 = USU^{-1}$. The protocol is shown in Figure 5. Define



Figure 5: Generalizing the entanglement-assisted canonical code construction.

 $\mathcal{E} = U^{-1} \circ \mathcal{E}_0$ and $\mathcal{D} = \mathcal{D}_0 \circ \hat{\overline{U}}$, where \overline{U} is the trivial extension of U are Bob's Hilbert space, and \mathcal{E}_0 and \mathcal{D}_0 are given in (31) and (38), respectively.

(1). Since

$$\mathcal{D}_0 \circ E_0 \circ \mathcal{E}_0 = \mathrm{id}^{\otimes k}$$

for any $E_0 \in \mathbf{E}_0$, then

$$\mathcal{D} \circ E \circ \mathcal{E} = \mathrm{id}^{\otimes k}$$

follows for any $E \in \mathbf{E}$. Thus, the encoding and decoding pair $(\mathcal{E}, \mathcal{D})$ corrects \mathbf{E} . Following Proposition 7, the correctable error set \mathbf{E} contains all E_1, E_2 such that $E_2^{\dagger}E_1 \in \mathcal{S}_I \bigcup (\mathcal{G}_n - \mathcal{Z}(\langle \mathcal{S}_I, \mathcal{S}_E \rangle)).$

- (2). Since C_0^{EA} is the simultaneous +1 eigenspace of $\tilde{\mathcal{S}}_0$, $\mathcal{S} = U^{-1}\mathcal{S}_0 U$, and by definition $\mathcal{C}^{\text{EA}} = \bar{U}^{-1}(\mathcal{C}_0^{\text{EA}})$, we conclude that \mathcal{C}^{EA} is a simultaneous eigenspace of $\tilde{\mathcal{S}}$.
- (3). The decoding operation \mathcal{D}_0 involves
 - i. measuring the set of generators of $\widetilde{\mathcal{S}}_0$, yielding the error syndrome according to the error E_0 .
 - ii. performing a recovering operation E_0 again to undo the error.

By Lemma 4, performing $\mathcal{D} = \mathcal{D}_0 \circ \hat{U}$ is equivalent to measuring $\widetilde{\mathcal{S}} = U^{-1}\widetilde{\mathcal{S}}_0 U$, followed by performing the recovering operation $U^{-1}E_0U$ based on the measurement outcome, followed by \hat{U} to undo the encoding.

4.4 Generalized construction from quaternary codes

Proposition 8. If a classical [n, k, d] code C_4 exists then an [[n, 2k - n + c, d; c]]EAQECC exists for some non-negative integer c.

Proof. Let H_4 be the $(n-k) \times n$ quaternary parity check matrix for C_4 . By Proposition 1, there exists an [n, 2k - n, d] symplectic code $C_{\rm sp}$ with parity check matrix $H_{\rm sp} = \gamma(\tilde{H}_4)$, where

$$\tilde{H}_4 = \begin{pmatrix} \omega H_4 \\ \bar{\omega} H_4 \end{pmatrix}. \tag{40}$$

Notice that even if 2k - n < 0, the following still holds

$$H_{\mathrm{sp}} \odot \mathbf{u}^T \neq \mathbf{0}^T,$$

for each nonzero $\mathbf{u} \in (\mathbb{Z}_2)^{2n}$ with $\operatorname{wt}(\mathbf{u}) < d$.

For simplicity, let $V = \operatorname{rowspace}(H_{sp})$. Theorem 1 shows that there exists a symplectic basis consisting of hyperbolic pairs $(\mathbf{u}_i, \mathbf{v}_i)$, $i = 1, 2, \dots, n$, such that $\{\mathbf{u}_1, \dots, \mathbf{u}_{c+\ell}, \mathbf{v}_1, \dots, \mathbf{v}_c\}$ is a basis for V. Then by the map $N : (\mathbb{Z}_2)^{2n} \to \mathcal{G}_n$, the group $\mathcal{S} = \langle \mathcal{S}_I, \mathcal{S}_E \rangle$, defines an [[n, 2k - n + c, d; c]] EAQECC by Theorem 5, where

$$S_E = \langle N_{\mathbf{u}_1}, N_{\mathbf{v}_1}, \cdots, N_{\mathbf{u}_c}, N_{\mathbf{v}_c} \rangle$$
$$S_I = \langle N_{\mathbf{u}_{c+1}}, \cdots, N_{\mathbf{u}_{(c+\ell)}} \rangle$$

$$c = \frac{1}{2} \dim \operatorname{symp} V.$$

When c = 0, V is dual-containing. The above construction will give us standard quantum error-correcting codes.

Any classical binary [n, k, d] code may be viewed as a quaternary $[n, k, d]_4$ code. In this case, the above construction gives rise to a CSS-type code.

4.5 Bounds on performance

In this section we shall see that the performance of EAQECCs is comparable to the performance of QECCs (which are a special case of EAQECCs).

The two most important outer bounds for QECCs are the quantum Singleton bound [34, 51] and the quantum Hamming bound [28]. Given an [[n, k, d]] QECC (which is an [[n, k, d; 0]] EAQECC), the quantum Singleton bound reads

$$n-k \ge 2(d-1).$$

The quantum Hamming bound holds only for non-degenerate codes and reads

$$\sum_{j=0}^{\lfloor \frac{d-1}{2} \rfloor} 3^j \binom{n}{j} \le 2^{n-k}.$$

The proofs of these bounds [28, 51] are easily adapted to EAQECCs. This was first noted by Bowen [10] in the case of the quantum Hamming bound. Consequently, an [[n, k, d; c]] EAQECC satisfies both bounds for any value of c. Note that the \mathbb{F}_4 construction connects the quantum Singleton bound to the classical Singleton bound $n-k \ge d-1$. An [n, k, d] quaternary code saturating the classical Singleton bound

and

implies an [[n, 2k - n + c, d; c]] EAQECC saturating the quantum Singleton bound, that is $n - (k - c) \ge 2(d - 1)$.

It is instructive to examine the asymptotic performance of quantum codes on a particular channel. A popular choice is the tensor power channel $\mathcal{N}^{\otimes n}$, where \mathcal{N} is the depolarizing channel with Kraus operators $\{\sqrt{p_0}I, \sqrt{p_1}X, \sqrt{p_2}Y, \sqrt{p_3}Z\}$, for some probability vector $\mathbf{p} = (p_0, p_1, p_2, p_3)$.

It is well known that the maximal transmission rate R = k/n achievable by a nondegenerate QECC (in the sense of vanishing error for large n on the channel $\mathcal{N}^{\otimes n}$) is equal to the hashing bound $R = 1 - H(\mathbf{p})$. Here $H(\mathbf{p})$ is the Shannon entropy of the probability distribution \mathbf{p} . This bound is attained by picking a random self-orthogonal code. However no explicit constructions are known which achieve this bound.

Interestingly, the \mathbb{F}_4 construction also connects the hashing bound to the Shannon bound for quaternary channels. Consider the quaternary channel $a \mapsto a + c$, where c takes on values $0, \omega, 1, \bar{\omega}$, with respective probabilities p_0, p_1, p_2, p_3 . The maximal achievable rate R = k/n for this channel was proved by Shannon to equal $R = 2-H(\mathbf{p})$. An [n, k] quaternary code saturating the Shannon bound implies an [[n, 2k - n + c; c]]EAQECC, achieving the hashing bound!

4.6 Table of codes

In [15] a table of best known QECCs was given. Below we show an updated table which includes EAQECCs.

The entries with an asterisk mark the improvements over the table from [15]. All these are obtained from Proposition 3.1. The corresponding classical quaternary code is available online at http://www.win.tue.nl/~aeb/voorlincod.html.

$n \backslash k - c$	0	1	2	3	4	5	6	7	8	9	10
3	2	2^{*}	1	1							
4	3*	2	2	1	1						
5	3	3	2	2*	1	1					
6	4	3	2	2	2	1	1				
7	3	3	2	2	2	2*	1	1			
8	4	3	3	3	2	2	2	1	1		
9	4	4*	3	3	2	2	2	2*	1	1	
10	5*	4	4	3	3	2	2	2	2	1	1

Table 3: Highest achievable minimal distance d in any [[n, k, d; c]] EAQECCs.

The general methods from [15] for constructing new codes from old also apply here. Moreover, new constructions are possible since the self-orthogonality condition is removed. An example is given by the following Theorem.

- **Theorem 6.** (a) Suppose an [[n, k, d; c]] code exists, then an [[n + 1, k 1, d'; c']] code exists for some c' and $d' \ge d$;
- (b) Suppose a non-degenerate [[n, k, d; c]] code exists, then an [[n 1, k + 1, d 1; c']] code exists for some c'.

Proof. (a) Recall that the net yield is $\hat{k} = k - c$. Let H be the $(n - \hat{k} \times 2n)$ parity check matrix of the [[n, k, d; c]] code. The parity check matrix of the new $[[n + 1, \hat{k} - 1, d'; c']]$ is then

$$H' = \begin{pmatrix} 0 \cdots 0 & 0 & 1 \cdots 1 & 1 \\ 1 \cdots 1 & 1 & 0 \cdots 0 & 0 \\ & 0 & & 0 \\ H_Z & \vdots & H_X & \vdots \\ & 0 & & 0 \end{pmatrix}.$$
 (41)

This corresponds to the classical construction of adding a parity check at the end of the codeword [46]. The additional rows ensure that errors involving the last qubit are detected. Sometimes the distance actually increases: for instance, the [[8, 0, 4]] is obtained from the [[7, 1, 3]] code in this way.

(b) We mimic the classical "puncturing" method [46]. Let C be the $(n + \hat{k})$ dimensional subspace of $(\mathbb{Z}_2)^{2n}$ corresponding to the [[n, k, d; c]] EAQEC code. Puncturing C by deleting the first Z and X coordinate, we obtain a new "code" C'which is an $(n + \hat{k})$ -dimensional subspace of $(\mathbb{Z}_2)^{2(n-1)}$. This corresponds to an [[n-1, k+1, d-1; c']] EAQEC code, as the minimum distance between the "codewords" of C decreases by at most 1.

4.7 Discussion

Motivated by recent developments in quantum Shannon theory, we have introduced a generalization of the stabilizer formalism to the setting in which the encoder Alice and decoder Bob pre-share entanglement (EAQECCs). The powerful canonical code technique again provides us essential insight into the error-correcting property. First of all, the entanglement-assisted canonical code is obtained by replacing some ancillas of the standard canonical code with maximally entangled states. The codewords of the entanglement-assisted canonical code then can be described by a set of commuting operators (see (39)). The error syndrome of each correctable error can be seen as classical information being encoded in the entanglement-assisted canonical code by either elementary coding or superdense coding. Therefore, reading out the error syndrome is equivalent to recovering the classical message. Then we can restore the codewords of the entanglement-assisted canonical code by performing a correction operation based on the measurement outcome since the outcome tells us which error happens. These two steps, reading out the error syndrome and performing correction operation, are called the decoding operation.

Up to this point, the entanglement-assist canonical code is nothing but the stabilizer formalism. What makes the entanglement-assisted canonical code different is when half of the maximally entangled states are assumed to be originally possessed by the receiver Bob (These half of ebits do not go through the noisy channel). Then the operators on Alice's sie form a non-commuting set of generators, allowing us to map arbitrary classical quaternary codes to EAQECCs.

There are two practical advantages of EAQECCs over standard QECCs:

- (1). They are much easier to construct from classical codes because self-orthogonality is not required. This allows us to import the classical theory of error correction wholesale, including capacity-achieving modern codes. The attraction of these modern codes comes from the existence of efficient decoding algorithms that provide excellent trade-off between decoding complexity and decoding performance. In fact, these decoding algorithms, such as sum-product algorithm, can be modified to decode the error syndromes effectively [44]. The only problem of using these iterative decoding algorithms on quantum LDPC actually comes from those shortest 4-cycles that were introduced inevitably because of self-orthogonality constrain. However, we have demonstrated recently that by allowing assisted entanglement, those 4-cycles can be eliminated completely, and the performance of the iterative decoding improves dramatically by our numerically simulation results (see Chapter 7). This finding further confirms the contribution of our EA formalism.
- (2). Comparing [[n, k, d; c]] EAQECCs to [[n, k, d; 0]] QECCs is not being entirely fair to former, since the entanglement used in the protocol is a strictly weaker resource than quantum communication. However, by using an EAQECC, we

typically achieve a higher rate for the same distance, or a higher distance for the same rate, than a QECC; and because entanglement is a "cheaper" resources, this is often a worthwhile trade-off. Or to think of it a different way, if we construct an EAQECC and a QECC from two classical codes with the same parameters [n, k, d], the EAQECC will have a higher rate; or by using an EAQECC derived from a classical code with higher distance and lower rate, we can achieve the same rate and a higher distance than a QECC.

If one is interested in applications to fault tolerant quantum computation, where the resource of entanglement is meaningless, high values of c are unwelcome because they require a long seed QECCs. We expect this obstacle to be overcome by bootstrapping.

Another fruitful line of investigation connects to quantum cryptography. Quantum cryptographic protocols, such as BB84, are intimately related to CSS QECCs. In [41] it is shown that EAQECCs analogues of CSS codes give rise to key expansion protocols which do not rely on the existence of long self-orthogonal codes.

Chapter 5: Operator quantum error-correcting codes

In this chapter, we will briefly review the well-known operator quantum error-correcting codes (OQECCs), using the canonical code method and linking to the operator stabilizer formalism.

5.1 The canonical code

The idea of OQECCs also comes from a simple idea: replacing some portion of the ancillas of the canonical code (25) by some garbage states. We can construct the operator canonical code C_0^{OP} with the following trivial encoding operation \mathcal{E}_0 defined by

$$\mathcal{E}_0: |\varphi\rangle\!\langle\varphi| \to |\mathbf{0}\rangle\!\langle\mathbf{0}| \otimes \sigma \otimes |\varphi\rangle\!\langle\varphi|.$$
(42)

The operation simply appends s ancilla qubits in the state $|\mathbf{0}\rangle$, and an arbitrary state σ of size r qubits, to the initial register containing the state $|\varphi\rangle$ of size k qubits, where s + k + r = n. These r extra garbage qubits are called the gauge qubits. Two states of this form which differ only in σ are considered to encode the same quantum information.

Proposition 9. The encoding given by \mathcal{E}_0 and a suitably-defined decoding map \mathcal{D}_0 can correct the error set

$$\mathbf{E}_0 = \{ X^{\mathbf{a}} Z^{\mathbf{b}} \otimes X^{\mathbf{c}} Z^{\mathbf{d}} \otimes X^{\alpha(\mathbf{a})} Z^{\beta(\mathbf{a})} : \mathbf{a}, \mathbf{b} \in (\mathbb{Z}_2)^s, \mathbf{c}, \mathbf{d} \in (\mathbb{Z}_2)^r \},$$
(43)

52



Figure 6: The operator canonical code.

for any fixed functions $\alpha, \beta : (\mathbb{Z}_2)^s \to (\mathbb{Z}_2)^k$.

Proof. The protocol is shown in Figure 6. After applying an error $E \in \mathbf{E}_0$, the channel output becomes (up to a phase factor):

$$(X^{\mathbf{a}}Z^{\mathbf{b}})|\mathbf{0}\rangle\langle\mathbf{0}|(X^{\mathbf{a}}Z^{\mathbf{b}})^{\dagger}\otimes(X^{\mathbf{c}}Z^{\mathbf{d}})\sigma(X^{\mathbf{c}}Z^{\mathbf{d}})^{\dagger}\otimes(X^{\alpha(\mathbf{a})}Z^{\beta(\mathbf{a})})|\varphi\rangle\langle\varphi|(X^{\alpha(\mathbf{a})}Z^{\beta(\mathbf{a})})^{\dagger}$$

$$=|\mathbf{a}\rangle\langle\mathbf{a}|\otimes\sigma'\otimes|\varphi'\rangle\langle\varphi'|$$
(44)

where

$$|\mathbf{a}\rangle = X^{\mathbf{a}}|\mathbf{0}\rangle, \tag{45}$$

$$\sigma' = (X^{\mathbf{c}} Z^{\mathbf{d}}) \sigma (X^{\mathbf{c}} Z^{\mathbf{d}})^{\dagger}, \qquad (46)$$

$$|\varphi'\rangle = (X^{\alpha(\mathbf{a})}Z^{\beta(\mathbf{a})})|\varphi\rangle.$$
 (47)

As the vector $(\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d})$ completely specifies the error operator E, it is called the *error syndrome*. However, in order to correct this error, only the *reduced syndrome* **a** matters. Here two kinds of *passive* error correction are involved. The errors that come from vector **b** are passively corrected because they do not affect the encoded state given in (42). The errors that come from vector (\mathbf{c}, \mathbf{d}) are passively corrected because of the subsystem structure inside the code space: $\rho \otimes \sigma$ and $\rho \otimes \sigma'$ represent

the same information, differing only by a gauge operation. Though these errors change the encoded states, they do not damage the information encoded in the states.

The decoding operation \mathcal{D}_0 is constructed based on the reduced syndrome, and is also known as *collective measurement*. Bob can recover the state $|\varphi\rangle$ by performing the decoding \mathcal{D}_0 :

$$\mathcal{D}_0 = \sum_{\mathbf{a}} |\mathbf{a}\rangle \langle \mathbf{a}| \otimes I \otimes X^{-\alpha(\mathbf{a})} Z^{-\beta(\mathbf{a})}, \tag{48}$$

followed by discarding the unwanted systems.

We can rephrase the above error-correcting procedure in terms of the stabilizer formalism. Let $S_0 = (S_{0,I}, S_{0,G})$, where $S_{0,I} = \langle Z_1, \cdots, Z_s \rangle$ is the isotropic subgroup of size 2^s and $S_{0,G} = \langle Z_{s+1}, \cdots, Z_{s+r}, X_{s+1}, \cdots, X_{s+r} \rangle$ is the *symplectic* subgroup of size 2^{2r} .

It follows that the two subgroups $(S_{0,I}, S_{0,G})$ define the canonical OQECC C_0^{OP} given in (42). The subgroup $S_{0,I}$ defines a 2^{k+r} -dimensional code space C_0^{OP} , and the gauge subgroup $S_{0,G}$ specifies all possible operations that can happen on the gauge qubits. Thus we can use $S_{0,G}$ to define an equivalence class between two states in the code space of the form: $\rho \otimes \sigma$ and $\rho \otimes \sigma'$, where ρ is a state on $\mathcal{H}_2^{\otimes k}$, and σ, σ' are states on $\mathcal{H}_2^{\otimes r}$. Consider the parameters of the canonical code. The number of ancillas s is equal to the number of generators for the isotropic subgroup $S_{0,I}$. The number of gauge qubits r is equal to the number of symplectic pairs for the gauge subgroup $S_{0,G}$. Finally, the number of logical qubits k that can be encoded in C_0^{OP} is equal to n - s - r. To sum up, C_0^{OP} defined by $(S_{0,I}, S_{0,G})$ is an [[n, k; r]] OQECC that fixes a 2^{k+r} -dimensional code space, within which $\rho \otimes \sigma$ and $\rho \otimes \sigma'$ are considered to carry the same information. Notice that there is a tradeoff between the number of encoded bits and gauge bits, in that we can reduce the rate by improving the error-avoiding ability or vice versa. **Proposition 10.** The OQECC C_0^{OP} defined by $(S_{0,I}, S_{0,G})$ can correct an error set \mathbf{E}_0 if for all $E_1, E_2 \in \mathbf{E}_0$, $E_2^{\dagger} E_1 \in \langle S_{0,I}, S_{0,G} \rangle \bigcup (\mathcal{G}_n - \mathcal{Z}(S_{0,I})).$

Proof. Since the vector $(\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d})$ completely specifies the error operator E, we consider the following two different cases:

- If two error operators E_1 and E_2 have the same reduced syndrome **a**, then the error operator $E_2^{\dagger}E_1$ gives us all-zero reduced syndrome with some vector (**b**, **c**, **d**). Therefore, $E_2^{\dagger}E_1 \in \langle S_{0,I}, S_{0,G} \rangle$. This error $E_2^{\dagger}E_1$ has no effect on the logical state $|\varphi\rangle\langle\varphi|$.
- If two error operators E_1 and E_2 have different reduced syndromes, and let **a** be the reduced syndrome of $E_2^{\dagger}E_1$, then $E_2^{\dagger}E_1 \notin \mathcal{Z}(\mathcal{S}_{0,I})$. This error $E_2^{\dagger}E_1$ can be corrected by the decoding operation given in (48).

5.2 The general case

Theorem 7. Given a general group $S = \langle S_I, S_G \rangle$ with the sizes of S_I and S_G being 2^{n-k-r} and 2^{2r} , respectively, there exists an [[n,k;r]] OQECC C^{OP} defined by the encoding and decoding pair $(\mathcal{E}, \mathcal{D})$ with the following properties:

- (1). The code \mathcal{C}^{OP} can correct the error set \mathbf{E} if for all $E_1, E_2 \in \mathbf{E}, E_2^{\dagger}E_1 \in \langle \mathcal{S}_I, \mathcal{S}_G \rangle \bigcup (\mathcal{G}_n \mathcal{Z}(\mathcal{S}_I)).$
- (2). The codespace \mathcal{C}^{OP} is a simultaneous eigenspace of \mathcal{S}_{I} .
- (3). To decode, the reduced error syndrome is obtained by simultaneously measuring the observables from S_I.

Proof. Since the commutation relations of $S = (S_I, S_G)$ are the same as the OP stabilizer $S_0 = (S_{0,I}, S_{0,G})$ for the OP canonical code C_0^{OP} in the previous section, by



Figure 7: The operator quantum error-correcting code.

Lemma 2, there exists an unitary matrix U such that $S_0 = USU^{-1}$. The protocol is shown in Figure 7. Define $\mathcal{E} = \hat{U}^{-1} \circ \mathcal{E}_0$ and $\mathcal{D} = \mathcal{D}_0 \circ \hat{U}$, and \mathcal{E}_0 and \mathcal{D}_0 are given in (42) and (48), respectively.

(1). Since

$$\mathcal{D}_0 \circ E_0 \circ \mathcal{E}_0 = \mathrm{id}^{\otimes k}$$

for any $E_0 \in \mathbf{E}_0$, then

$$\mathcal{D} \circ E \circ \mathcal{E} = \mathrm{id}^{\otimes k}$$

follows for any $E \in \mathbf{E}$. Thus, the encoding and decoding pair $(\mathcal{E}, \mathcal{D})$ corrects **E**. Following Proposition 10, the correctable error set **E** contains all E_1, E_2 such that $E_2^{\dagger}E_1 \in \langle \mathcal{S}_I, \mathcal{S}_G \rangle \bigcup (\mathcal{G}_n - \mathcal{Z}(\mathcal{S}_I)).$

- (2). Since C_0^{OP} is the simultaneous +1 eigenspace of $S_{0,I}$, $S = U^{-1}S_0U$, and by definition $C^{\text{OP}} = U^{-1}(C_0^{\text{OP}})$, we conclude that C^{OP} is a simultaneous eigenspace of S_I .
- (3). The decoding operation \mathcal{D}_0 involves
 - i. measuring the set of generators of S_0 , yielding the error syndrome according to the error E_0 .
 - ii. performing a recovering operation E_0 again to undo the error.

By Lemma 4, performing $\mathcal{D} = \mathcal{D}_0 \circ \hat{U}$ is equivalent to measuring $\mathcal{S} = U^{-1} \mathcal{S}_0 U$, followed by performing the recovering operation $U^{-1} E_0 U$ based on the measurement outcome, followed by \hat{U} to undo the encoding.

5.3 Discussion

The idea of the operator canonical code comes from replacing some portion of ancillas of the standard canonical code with an arbitrary garbage state that we do not care about. In terms of the operator stabilizer formalism, the codespace of the operator canonical code is described by a set of commuting Pauli Z operators together with a set of anti-commuting operators specifying all possible operations that can occur on the garbage state. These operations on the garbage state do not affect our quantum information, therefore no correction is needed, and thus the passive error-correcting power is increased. The error syndrome of each correctable error can be seen as classical information being encoded in the operator canonical code by elementary coding. Therefore, reading out the error syndrome is equivalent to recovering the classical message. Then we can restore the codewords of the operator canonical code by performing a correction operation based on the measurement outcome since the outcome tells us which error happens. These two steps, reading out the error syndrome and performing correction operation, are called the decoding operation.

The operator quantum error-correcting codes are a combination of standard quantum error-correcting codes (active error correction) and the passive passive erroravoiding schemes, such as decoherence-free subspaces and noiseless subsystems. The operator stabilizer is generated by a set of non-commuting generators. Therefore, we can map arbitrary classical quaternary codes to OQECCs, though the distance of the OQECCs is not always guaranteed. There has been a couple of clever construction of OQECCs whose distance is inherited from their classical counterpart [1, 38].

The advantage of OQECCs comes from the fact that it is not necessary to actively correct all errors, but rather only to perform correction modulo the subsystem structure. One potential benefit of the new decoding procedure is to improve the threshold of fault-tolerant quantum computation. This research direction remains a hot topic in quantum computation.

Chapter 6: Entanglement-assisted operator quantum error-correcting codes

Now it becomes clear how to combine the idea of entanglement-assisted and operator formalism, to construct the entanglement-assisted operator quantum error-correcting codes (EAQECCs). We will begin with its canonical code.

6.1 The canonical code

We illustrate the idea of EAOQECCs by the following canonical code. Consider the trivial encoding operation \mathcal{E}_0 defined by

$$\mathcal{E}_0: |\varphi\rangle\!\langle\varphi| \to |\mathbf{0}\rangle\!\langle\mathbf{0}|^{\otimes s} \otimes |\Phi\rangle\!\langle\Phi|^{\otimes c} \otimes \sigma \otimes |\varphi\rangle\!\langle\varphi|. \tag{49}$$

The operation simply appends s ancilla qubits in the state $|\mathbf{0}\rangle$, c copies of $|\Phi\rangle$ (a maximally entangled state shared between sender Alice and receiver Bob), and an arbitrary state σ of size r qubits, to the initial register containing the state $|\varphi\rangle$ of size k qubits, where s + k + r + c = n. These r extra qubits are the gauge qubits. Two states of this form which differ only in σ are considered to encode the same quantum information.



Figure 8: The entanglement-assisted operator canonical code.

Proposition 11. The encoding given by \mathcal{E}_0 and a suitably-defined decoding map \mathcal{D}_0 can correct the error set

$$\mathbf{E}_{0} = \{ X^{\mathbf{a}} Z^{\mathbf{b}} \otimes Z^{\mathbf{a}_{1}} X^{\mathbf{a}_{2}} \otimes X^{\mathbf{c}} Z^{\mathbf{d}} \otimes X^{\alpha(\mathbf{a},\mathbf{a}_{1},\mathbf{a}_{2})} Z^{\beta(\mathbf{a},\mathbf{a}_{1},\mathbf{a}_{2})} :$$

$$\mathbf{a}, \mathbf{b} \in (\mathbb{Z}_{2})^{s}, \mathbf{a}_{1}, \mathbf{a}_{2} \in (\mathbb{Z}_{2})^{c}, \mathbf{c}, \mathbf{d} \in (\mathbb{Z}_{2})^{r} \},$$
(50)

for any fixed functions $\alpha, \beta : (\mathbb{Z}_2)^s \times (\mathbb{Z}_2)^c \times (\mathbb{Z}_2)^c \to (\mathbb{Z}_2)^k$.

Proof. The protocol is shown in Figure 8. After applying an error $E \in \mathbf{E}_0$, the channel output becomes (up to a phase factor):

$$|\mathbf{a}\rangle\!\langle \mathbf{a}| \otimes |\mathbf{a}_1, \mathbf{a}_2\rangle\!\langle \mathbf{a}_1, \mathbf{a}_2| \otimes \sigma' \otimes |\varphi'\rangle\!\langle \varphi'|, \tag{51}$$

where

$$|\mathbf{a}\rangle = X^{\mathbf{a}}|\mathbf{0}\rangle, \tag{52}$$

$$|\mathbf{a}_1, \mathbf{a}_2\rangle = (Z^{\mathbf{a}_1} X^{\mathbf{a}_2} \otimes I^B) |\Phi\rangle^{\otimes c}, \tag{53}$$

$$\sigma' = (X^{\mathbf{c}} Z^{\mathbf{d}}) \sigma (X^{\mathbf{c}} Z^{\mathbf{d}})^{\dagger}, \qquad (54)$$

$$|\varphi'\rangle = (X^{\alpha(\mathbf{a},\mathbf{a}_1,\mathbf{a}_2)}Z^{\beta(\mathbf{a},\mathbf{a}_1,\mathbf{a}_2)})|\varphi\rangle.$$
(55)

60

As the vector $(\mathbf{a}, \mathbf{a}_1, \mathbf{a}_2, \mathbf{b}, \mathbf{c}, \mathbf{d})$ completely specifies the error operator E, it is called the *error syndrome*. However, in order to correct this error, only the *reduced syndrome* $(\mathbf{a}, \mathbf{a}_1, \mathbf{a}_2)$ matters. The entanglement-assisted operator canonical code C_0^{EAO} keeps advantages of both EAQECCs and OQECCs. On one hand, the two kinds of passive error correction are preserved. On the other hand, the power of active error correction is increased by the use of pure entanglement.

The decoding operation \mathcal{D}_0 is constructed based on the reduced syndrome. Bob can recover the state $|\varphi\rangle$ by performing the decoding \mathcal{D}_0 :

$$\mathcal{D}_{0} = \sum_{\mathbf{a}, \mathbf{a}_{1}, \mathbf{a}_{2}} |\mathbf{a}\rangle \langle \mathbf{a}| \otimes |\mathbf{a}_{1}, \mathbf{a}_{2}\rangle \langle \mathbf{a}_{1}, \mathbf{a}_{2}| \otimes I$$

$$\otimes X^{-\alpha(\mathbf{a}, \mathbf{a}_{1}, \mathbf{a}_{2})} Z^{-\beta(\mathbf{a}, \mathbf{a}_{1}, \mathbf{a}_{2})},$$
(56)

followed by discarding the unwanted systems.

We can rephrase the above error-correcting procedure in terms of the stabilizer formalism. Let $S_0 = \langle S_{0,I}, S_{0,S} \rangle$, where $S_{0,I} = \langle Z_1, \cdots, Z_s \rangle$ is the isotropic subgroup of size 2^s and $S_{0,S} = \langle Z_{s+1}, \cdots, Z_{s+c+r}, X_{s+1}, \cdots, X_{s+c+r} \rangle$ is the *symplectic* subgroup of size $2^{2(c+r)}$. We can further divide the symplectic subgroup $S_{0,S}$ into an entanglement subgroup

$$\mathcal{S}_{0,E} = \langle Z_{s+1}, \cdots, Z_{s+c}, X_{s+1}, \cdots, X_{s+c} \rangle$$

of size 2^{2c} and a gauge subgroup

$$\mathcal{S}_{0,G} = \langle Z_{s+c+1}, \cdots, Z_{s+c+r}, X_{s+c+1}, \cdots, X_{s+c+r} \rangle$$

of size 2^{2r} , respectively. The generators of $(\mathcal{S}_{0,I}, \mathcal{S}_{0,E}, \mathcal{S}_{0,G})$ are arranged in the following form:

$$Z^{\mathbf{e}_{i}} \quad I \quad I \quad I$$

$$I \quad Z^{\mathbf{e}_{j}} \quad I \quad I$$

$$I \quad X^{\mathbf{e}_{j}} \quad I \quad I$$

$$I \quad I \quad Z^{\mathbf{e}_{l}} \quad I$$

$$I \quad I \quad X^{\mathbf{e}_{l}} \quad I$$

$$\longleftrightarrow \quad \overleftarrow{c} \quad \overleftarrow{r} \quad \overleftarrow{k}$$

$$(57)$$

where $\{\mathbf{e}_i\}_{i\in[s]}$, $\{\mathbf{e}_j\}_{j\in[c]}$, and $\{\mathbf{e}_l\}_{l\in[r]}$ are the set of standard bases in $(\mathbb{Z}_2)^s$, $(\mathbb{Z}_2)^c$, and $(\mathbb{Z}_2)^r$, respectively, and $[k] \equiv \{1, \cdots, k\}$.

It follows that the three subgroups $(S_{0,I}, S_{0,E}, S_{0,G})$ define the canonical code C_0^{EAO} given in (49). The subgroups $S_{0,I}$ and $S_{0,E}$ define a 2^{k+r} -dimensional code space $C_0^{\text{EAO}} \subset \mathcal{H}^{\otimes (n+c)}$, and the gauge subgroup $S_{0,G}$ specifies all possible operations that can happen on the gauge qubits. Thus we can use $S_{0,G}$ to define an equivalence class between two states in the code space of the form: $\rho \otimes \sigma$ and $\rho \otimes \sigma'$, where ρ is a state on $\mathcal{H}^{\otimes k}$, and σ, σ' are states on $\mathcal{H}^{\otimes r}$. Consider the parameters of the canonical code. The number of ancillas s is equal to the number of generators for the isotropic subgroup $S_{0,I}$. The number of ebits c is equal to the number of symplectic pairs that generate the entanglement subgroup $S_{0,E}$. The number of gauge qubits r is equal to the number of symplectic pairs for the gauge subgroup $S_{0,G}$. Finally, the number of logical qubits k that can be encoded in \mathcal{C}^{EAO} is equal to n - s - c - r. To sum up, \mathcal{C}^{EAO} defined by $(S_{0,I}, S_{0,E}, S_{0,G})$ is an [[n, k; r, c]] EAOQECC that fixes a 2^{k+r} -dimensional code space, within which $\rho \otimes \sigma$ and $\rho \otimes \sigma'$ are considered to carry the same information.

Proposition 12. The EAOQECC \mathcal{C}^{EAO} defined by $(\mathcal{S}_{0,I}, \mathcal{S}_{0,E}, \mathcal{S}_{0,G})$ can correct an error set \mathbf{E}_0 if for all $E_1, E_2 \in \mathbf{E}_0, E_2^{\dagger}E_1 \in \langle \mathcal{S}_{0,I}, \mathcal{S}_{0,G} \rangle \bigcup (\mathcal{G}_n - \mathcal{Z}(\langle \mathcal{S}_{0,I}, \mathcal{S}_{0,E} \rangle)).$

Proof. Since the vector $(\mathbf{a}, \mathbf{a}_1, \mathbf{a}_2, \mathbf{b}, \mathbf{c}, \mathbf{d})$ completely specifies the error operator E, we consider the following two different cases:



Figure 9: The entanglement-assisted operator quantum error-correcting code.

- If two error operators E_1 and E_2 have the same reduced syndrome $(\mathbf{a}, \mathbf{a}_1, \mathbf{a}_2)$, then the error operator $E_2^{\dagger}E_1$ gives us all-zero reduced syndrome with some vector $(\mathbf{b}, \mathbf{c}, \mathbf{d})$. Therefore, $E_2^{\dagger}E_1 \in \langle S_{0,I}, S_{0,G} \rangle$. This error $E_2^{\dagger}E_1$ has no effect on the logical state $|\varphi\rangle\langle\varphi|$.
- If two error operators E_1 and E_2 have different reduced syndromes, and let $(\mathbf{a}, \mathbf{a}_1, \mathbf{a}_2)$ be the reduced syndrome of $E_2^{\dagger}E_1$, then $E_2^{\dagger}E_1 \notin Z(\langle S_{0,I}, S_{0,E} \rangle)$. This error $E_2^{\dagger}E_1$ can be corrected by the decoding operation given in (56).

6.2 The general case

Theorem 8. Given the subgroups (S_I, S_E, S_G) , there exists an [[n, k; r, c]] entanglementassisted operator quantum error-correcting code C^{eao} defined by the encoding and decoding pair: $(\mathcal{E}, \mathcal{D})$. The code C^{EAO} can correct the error set \mathbf{E} if for all $E_1, E_2 \in \mathbf{E}$, $E_2^{\dagger}E_1 \in \langle S_I, S_G \rangle \bigcup (\mathcal{G}_n - \mathcal{Z}(\langle S_I, S_E \rangle)).$ *Proof.* Since $S \sim S_0$, there exists an unitary matrix U that preserves the commutation relations. The protocol is shown in Figure 9. Define $\mathcal{E} = U^{-1} \circ \mathcal{E}_0$ and $\mathcal{D} = \mathcal{D}_0 \circ U$, where \mathcal{E}_0 and \mathcal{D}_0 are given in (49) and (56), respectivley. Since

$$\mathcal{D}_0 \circ E_0 \circ \mathcal{E}_0 = \mathrm{id}^{\otimes k}$$

for any $E_0 \in \mathbf{E}_0$, then

$$\mathcal{D} \circ E \circ \mathcal{E} = \mathrm{id}^{\otimes k}$$

follows for any $E \in \mathbf{E}$. Thus, the encoding and decoding pair $(\mathcal{E}, \mathcal{D})$ corrects \mathbf{E} .

We say that the [[n, k, d; r, c]] EAOQECC C^{eao} has distance d if it can correct any error set **E** such that for each operator $E \in \mathbf{E}$, the weight t of E satisfies $2t + 1 \leq d$.

6.3 Properties of EAOQECCs

In the description earlier in this chapter, we assumed that the gauge subgroup was generated by a set of symplectic pairs of generators. In some cases, it may make sense to start with a gauge subgroup which itself has both an isotropic (i.e., commuting) and a symplectic subgroup. In this case, we can arbitrarily add a symplectic partner for each generator in the isotropic subgroup of the gauge group. This can be useful in constructing EAOQECCs from EAQECCs, in a way analogous to how OQECCs can be constructed by starting from standard QECCs. Poulin shows in [50] that it is possible to move generators from the stabilizer group into the gauge subgroup, together with their symplectic partners, without changing the essential features of the original code. We provide an example of such a construction in section 6.4.2.

There is further flexibility in trading between active error correction ability and passive noise avoiding ability [1]. This is captured by the following theorem:

Theorem 9. We can transform any $[[n, k + r, d_1; 0, c]]$ code C_1 into an $[[n, k, d_2; r, c]]$ code C_2 , and transform the $[[n, k, d_2; r, c]]$ code C_2 into an $[[n, k, d_3; 0, c]]$ code C_3 , where $d_1 \leq d_2 \leq d_3$.

Proof. There exists an isotropic subgroup S_I and an entanglement subgroup S_E associated with C_1 of size 2^s and 2^{2c} , respectively. These parameters satisfy s+c+k+r=n. This code C_1 corresponds to an $[[n, k+r, d_1; 0, c]]$ EAQECC for some d_1 . If we add the gauge subgroup S_G of size 2^{2r} , then (S_I, S_E, S_G) defines an $[[n, k, d_2; r, c]]$ EAOQECC C_2 for some d_2 , which follows from Theorem 8. Let \mathbf{E}_1 be the error set that can be corrected by C_1 , and \mathbf{E}_2 be the error set that can be corrected by C_2 . Clearly, $\mathbf{E}_1 \subset \mathbf{E}_2$ (see the following table), so C_2 can correct more errors than C_1 . By sacrificing part of the transmission rate, we have gained additional passive correction, and $d_2 \geq d_1$.

If we now throw away half of each symplectic pair in S_G and include the remaining generators in S_I , which becomes S'_I , the size of the isotropic subgroup increases by a factor of 2^r . Then (S'_I, S_E) defines an $[[n, k, d_3; 0, c]]$ EAQECC C_3 . Let \mathbf{E}_3 be the error set that can be corrected by C_3 . Let $E \in \mathbf{E}_2$, then either $E \in \langle S_I, S_G \rangle$ or $E \notin \mathcal{Z}(\langle S_I, S_E \rangle)$.

- If $E \in \langle S_I, S_G \rangle$, then either $E \in S'_I$ or $E \in \langle S_I, S_G \rangle / S'_I$. If $E \in \langle S_I, S_G \rangle / S'_I$, this implies $E \notin \mathcal{Z}(S'_I)$. Thus, $E \in \mathbf{E}_3$.
- Since $\langle S_I, S_E \rangle \subset \langle S'_I, S_E \rangle$, we have $\mathcal{Z}(\langle S'_I, S_E \rangle) \subset \mathcal{Z}(\langle S_I, S_E \rangle)$. If $E \notin \mathcal{Z}(\langle S_I, S_E \rangle)$, then $E \notin \mathcal{Z}(\langle S'_I, S_E \rangle)$. Thus, $E \in \mathbf{E}_3$.

Putting these together we get
$$\mathbf{E}_2 \subset \mathbf{E}_3$$
. Therefore $d_3 \geq d_2$.

To conclude this section, we list the different error-correcting criteria of a conventional stabilizer code (QECC), an EAQECC, an OQECC, and an EAOQECC in Table

QECC	EAQECC
$E_2^{\dagger}E_1 \not\in \mathcal{Z}(\mathcal{S}_I)$	$E_2^{\dagger} E_1 \notin \mathcal{Z}(\langle \mathcal{S}_I, \mathcal{S}_E \rangle)$
$E_2^{\dagger}E_1 \in \mathcal{S}_I$	$E_2^{\dagger}E_1 \in \mathcal{S}_I$
OQECC	EAOQECC
$E_2^{\dagger}E_1 \not\in \mathcal{Z}(\mathcal{S}_I)$	$E_2^{\dagger}E_1 \notin \mathcal{Z}(\langle \mathcal{S}_I, \mathcal{S}_E \rangle)$
$E_2^{\dagger} E_1 \in \langle \mathcal{S}_I, \mathcal{S}_G \rangle$	$E_2^{\dagger}E_1 \in \langle \mathcal{S}_I, \mathcal{S}_G \rangle$

Table 4: Summary of error-correcting criteria.

6.4 Examples

6.4.1 EAOQECC from EAQECC

Our first example constructs an [[8, 1, 3; c = 1, r = 2]] EAOQECC from an [[8, 1, 3; 1]]EAQECC. Consider the EAQECC code defined by the group S generated by the operators in Table 5. Here \overline{Z} and \overline{X} refer to the logical Z and X operation on the codeword, respectively. The isotropic subgroup is $S_I = \langle S_1, S_2, S_3, S_4, S_5, S_8 \rangle$, the entanglement subgroup is $S_E = \langle S_6, S_7 \rangle$, and together they generate the full group $S = \langle S_I, S_E \rangle$. This code $C(S_I, S_E)$ encodes one qubit into eight physical qubits with the help of one ebit, and therefore is an [[8, 1; 1]] code. It can be easily checked that this code can correct an arbitrary single-qubit error, and it is degenerate.

By inspecting the group structure of S, we can recombine the first four stabilizers of the code to give two isotropic generators (which we retain in S_I), and two generators which we include, together with their symplectic partners, in the subgroup S_G , for two qubits of gauge symmetry. This yields an [[8,1,3;c = 1, r = 2]] EAOQECC whose generators are given in Table 6. where $S_I = \langle S'_1, S'_2, S'_3, S'_6 \rangle$, $S_E = \langle S'_4, S'_5 \rangle$, and $S_G = \langle g_1^z, g_1^x, g_2^z, g_2^x \rangle$.

		Bob							
S_1	Ζ	Ζ	Ι	Ι	Ι	Ι	Ι	Ι	Ι
S_2	Ζ	Ι	Ζ	Ι	Ι	Ι	Ι	Ι	Ι
S_3	Ι	Ι	Ι	Ζ	Ζ	Ι	Ι	Ι	Ι
S_4	Ι	Ι	Ι	Ζ	Ι	Ζ	Ι	Ι	Ι
S_5	Ι	Ι	Ι	Ι	Ι	Ι	Ζ	Ζ	Ι
S_6	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Ζ	Ζ
S_7	Х	Х	Х	Ι	Ι	Ι	Х	Х	Х
S_8	Х	Х	Х	Х	Х	Х	Ι	Ι	Ι
\bar{Z}	Ζ	Ι	Ι	Ζ	Ι	Ι	Ι	Ζ	Ι
\bar{X}	Ι	Ι	Ι	Х	Х	Х	Ι	Ι	Ι

Table 5: The original [[8,1,3;c = 1]] EAQECC encodes one qubit into eight physical qubits with the help of one ebit.

6.4.2 EAOQECCs from classical BCH codes

EAOQECCs can also be constructed directly from classical binary codes. Before we give examples, however, we need one more theorem:

Theorem 10. Let H be any binary parity check matrix with dimension $(n - k) \times n$. We can obtain the corresponding [[n, 2k - n + c; c]] EAQECC, where $c = \operatorname{rank}(HH^T)$ is the number of ebits needed.

Proof. By the CSS construction, let \tilde{H} be

$$\tilde{H} = \left(\begin{array}{c|c} H & \mathbf{0} \\ \mathbf{0} & H \end{array} \right). \tag{58}$$
				Al	ice				Bob
S_1'	Ζ	Ζ	Ι	Ζ	Ζ	Ι	Ι	Ι	Ι
S'_2	Ζ	Ι	Ζ	Ζ	Ι	Ζ	Ι	Ι	Ι
S'_3	Ι	Ι	Ι	Ι	Ι	Ι	Ζ	Ζ	Ι
S'_4	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Ζ	Z
S_5'	Х	Х	Х	Ι	Ι	Ι	Х	Х	Х
S_6'	Х	Х	Х	Х	Х	Х	Ι	Ι	Ι
\bar{Z}	Ζ	Ι	Ι	Ζ	Ι	Ι	Ι	Ζ	Ι
\bar{X}	Ι	Ι	Ι	Х	Х	Х	Ι	Ι	Ι
g_1^z	Ζ	Ζ	Ι	Ι	Ι	Ι	Ι	Ι	Ι
g_1^x	Ι	Х	Ι	Ι	Х	Ι	Ι	Ι	Ι
g_2^z	Ι	Ι	Ι	Ζ	Ι	Ζ	Ι	Ι	Ι
g_2^x	Ι	Ι	Х	Ι	Ι	Х	Ι	Ι	Ι

Table 6: The resulting [[8,1,3;c = 1,r = 2]] EAOQECC encodes one qubit into eight physical qubits with the help of one ebit, and create two gauge qubits for passive error correction.

Let \mathcal{S} be the group generated by \tilde{H} , then $\mathcal{S} = \langle Z^{\mathbf{r}_1}, \cdots, Z^{\mathbf{r}_{n-k}}, X^{\mathbf{r}_1}, \cdots, X^{\mathbf{r}_{n-k}} \rangle$, where \mathbf{r}_i is the *i*-th row vector of H. Now we need to determine how many symplectic pairs are in group \mathcal{S} . Since rank $(HH^T) = c$, there exists a matrix P such that

$$PHH^{T}P^{T} = \begin{pmatrix} I_{p \times p} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & I_{q \times q} & \mathbf{0} \\ \mathbf{0} & I_{q \times q} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{0} \end{pmatrix}_{(n-k) \times (n-k)}$$

where p + 2q = c. Let \mathbf{r}'_i be the *i*-th row vector of the new matrix PH, then $S = \langle Z^{\mathbf{r}'_1}, \cdots, Z^{\mathbf{r}'_{n-k}}, X^{\mathbf{r}'_1}, \cdots, X^{\mathbf{r}'_{n-k}} \rangle$.

Using the fact that $\{Z^{\mathbf{a}}, X^{\mathbf{b}}\} = 0$ if and only if $\mathbf{a} \cdot \mathbf{b} = 1$, we know that the operators $Z^{\mathbf{r}'_i}, X^{\mathbf{r}'_i}$ for $1 \leq i \leq p$, and the operators $Z^{\mathbf{r}'_{p+j}}, X^{\mathbf{r}'_{p+q+j}}$ for $1 \leq j \leq q$, generate a symplectic subgroup in \mathcal{S} of size 2^{2c} .

Definition 1. [46] A cyclic code of length n over $GF(p^m)$ is a BCH code of designed distance d if, for some number $b \ge 0$, the generator polynomial g(x) is

$$g(x) = lcm\{M^{b}(x), M^{b+1}(x), \cdots, M^{b+d-2}(x)\},\$$

where $M^{k}(x)$ is the minimal polynomial of α^{k} over $GF(p^{m})$. I.e. g(x) is the lowest degree monic polynomial over $GF(p^{m})$ having $\alpha^{b}, \alpha^{b+1}, \dots, \alpha^{b+d-2}$ as zeros. When b = 1, we call such BCH codes narrow-sense BCH codes. When $n = p^{m} - 1$, we call such BCH codes primitive. Consider the primitive narrow-sense BCH code over $GF(2^6)$. This code has the following parity check matrix

$$H_{q} = \begin{pmatrix} 1 & \alpha & \alpha^{2} & \cdots & \alpha^{n-1} \\ 1 & \alpha^{3} & \alpha^{6} & \cdots & \alpha^{3(n-1)} \\ 1 & \alpha^{5} & \alpha^{10} & \cdots & \alpha^{5(n-1)} \\ 1 & \alpha^{7} & \alpha^{14} & \cdots & \alpha^{7(n-1)} \end{pmatrix},$$
(59)

where $\alpha \in \mathrm{GF}(2^6)$ satisfies $\alpha^6 + \alpha + 1 = 0$ and n = 63. Since all finite fields of order p^m are *isomorphic*, there exists a one-to-one correspondence between elements in $\{\alpha^j : j = 0, 1, \dots, p^m - 2, \infty\}$ and elements in $\{a_0, a_1, \dots, a_m : a_i \in \mathrm{GF}(p)\}$. If we replace $\alpha^j \in \mathrm{GF}(2^6)$ in (59) with its binary representation, this gives us a binary [63, 39, 9] BCH code whose parity check matrix H_2 is of size 24×63 . If we carefully inspect the binary parity check matrix H_2 , we will find that the first 18 rows of H_2 give a [63, 45, 7] dual-containing BCH code.

From Theorem 10, it is easy to check that $c = \operatorname{rank}(H_2H_2^T) = 6$. Thus by the CSS construction [13], this binary [63, 39, 9] BCH code will give us a corresponding [[63, 21, 9; 6]] EAQECC.

If we further explore the group structure of this EAQECC, we will find that the 6 symplectic pairs that generate the entanglement subgroup S_E come from the last 6 rows of H_2 . (Remember that we are using the CSS construction.) If we remove one symplectic pair at a time from S_E and add it to the gauge subgroup S_G , we get EAOQECCs with parameters given in Table 7.

In general, there could be considerable freedom in which of the symplectic pairs is to be removed. There are plenty of choices in the generators of S_E . In fact, it does not matter which symplectic pair we remove first in this example, due to the algebraic structure of this BCH code. The distance is always lower bounded by 7.

n	k	d	r	с
63	21	9	0	6
63	21	7	1	5
63	21	7	2	4
63	21	7	3	3
63	21	7	4	2
63	21	7	5	1
63	21	7	6	0

Table 7: Parameters of the EAOQECCs constructed from a classical [63,39,9] BCH code, where r represents the amount of gauge qubits created and c represents the amount of ebits needed.

One final remark: this example gives EAOQECCs with positive net rate, so they could be used as catalytic codes.

6.4.3 EAOQECCs from classical quaternary codes

In the following, we will show how to use MAGMA [9] to construct EAOQECCs from classical quaternary codes with positive net yield and without too much distance degradation. Consider the following parity check matrix H_4 of a [15, 10, 4] quaternary code:

where $\{0, 1, \omega, \omega^2\}$ are elements of GF(4) that satisfy: $1 + \omega + \omega^2 = 0$ and $\omega^3 = 1$. This quaternary code has the largest minimum weight among all known [n = 15, k = 10] linear quaternary codes. By the construction given in [13], this code gives a corresponding [[15, 9, 4; c = 4]] EAQECC with the stabilizers given in Table 8.

	Ι	Ι	Y	Ι	Ζ	Х	Y	Ζ	Y	Ι	Ι	Ζ	Y	Х	Ζ
	Ι	Y	Ι	Ι	Y	Ι	Ζ	Х	Y	Ζ	Ι	Ι	Y	Ζ	Y
	Ι	Ζ	Y	Ι	Ι	Х	Ζ	Х	Х	Х	Ι	Ζ	Х	Ι	Ι
c	Ι	Ι	Х	Ι	Y	Ζ	Х	Y	Х	Ι	Ι	Y	Х	Ζ	Y
\mathcal{O}_E	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Ζ	Ι	Ι	Ι	Ι
	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Y	Ι	Ι	Ι	Ι
	Ι	Ζ	Ζ	Ζ	Х	Ι	Y	Ι	Y	Ι	Ι	Ζ	Ζ	Ζ	Ι
	Ι	Y	Y	Y	Ζ	Ι	Х	Ι	Х	Ι	Ι	Y	Y	Y	Ι
c	Ζ	Ζ	Y	Ι	Ζ	Y	Х	Х	Y	Ζ	Ι	Y	Ζ	Ζ	Ι
\mathcal{O}_I	Υ	Y	Х	Ι	Y	Х	Ζ	Ζ	Х	Y	Ι	Х	Y	Y	Ι

Table 8: Stabilizer generators of the [[15, 9, 4; c = 4]] EAQECC derived from the classical code given by Eq. (60). The size of S_E is equal to 2^{2c} .

The entanglement subgroup S_E of this EAQECC has c = 4 symplectic pairs. Our goal is to construct an EAOQECC from this EAQECC such that the power of error correction is largely retained, but the amount of entanglement needed is reduced. In this example, the choice of which symplectic pair is removed strongly affects the distance d of the resulting EAOQECC. By using MAGMA to perform a random search of all the possible sympletic pairs in S_E , and then putting them into the gauge subgroup S_G , we can obtain a [[15, 9, 3; c = 3, r = 1]] EAOQECC with stabilizers given in Table 9. The distance is reduced by one, which still retains the ability to correct all one-qubit errors; the amount of entanglement needed is reduced by one ebit; and we gain some

extra power of passive error correction, due to the subsystem structure inside the code space, given by the gauge subgroup S_G .

	Ι	Ι	Y	Ι	Ζ	Х	Y	Ζ	Y	Ι	Ι	Ζ	Y	Х	Ζ
	Ι	Y	Ι	Ι	Y	Ι	Ζ	Х	Y	Ζ	Ι	Ι	Y	Ζ	Y
c	Ι	Ζ	Y	Ι	Ι	Х	Ζ	Х	Х	Х	Ι	Ζ	Х	Ι	Ι
\mathcal{S}_E	Ι	Ι	Х	Ι	Y	Ζ	Х	Y	Х	Ι	Ι	Y	Х	Ζ	Y
	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Ζ	Ι	Ι	Ι	Ι
	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Ι	Y	Ι	Ι	Ι	Ι
c	Ι	Ζ	Ζ	Ζ	Х	Ι	Y	Ι	Y	Ι	Ι	Ζ	Ζ	Ζ	Ι
\mathcal{O}_G	Ι	Y	Y	Y	Ζ	Ι	Х	Ι	Х	Ι	Ι	Y	Y	Y	Ι
c	Х	Х	Ζ	Ι	Х	Ζ	Y	Y	Ζ	Х	Ι	Ζ	Х	Х	Ι
\mathcal{S}_I	Ζ	Ζ	Y	Ι	Ζ	Y	Х	Х	Y	Ζ	Ι	Y	Ζ	Ζ	Ι

Table 9: Stabilizer generators of the [[15,9,3; c = 3, r = 1]] EAOQECC derived from the EAQECC given by Table 8. The size of S_E and S_G is equal to 2^{2c} and 2^{2r} , respectively.

6.5 Discussion

We have shown a very general quantum error correction scheme that combines two extensions of standard stabilizer codes. This scheme includes the advantages of both entanglement-assisted and operator quantum error correction.

In addition to presenting the formal theory of EAOQECCs, we have given several examples of code construction. The methods of constructing OQECCs from standard QECCs can be applied directly to the construction of EAOQECCs from EAQECCs. We can also construct EAOQECCs directly from classical linear codes.

We also show that, by exploring the structure of the symplectic subgroup, we can construct versatile classes of EAOQECCs with varying powers of passive versus active error correction. Starting with good classical codes, this entanglement-assisted operator formalism can be used to construct quantum codes tailored to the needs of particular applications.

Chapter 7: Quantum quasi-cyclic low-density parity-check codes

7.1 Classical low-density parity-check codes

Given a binary parity check matrix H, its *density* is defined to be the ratio of the number of "1" entries to the total number of entries in H. When the density is less than $\frac{1}{2}$, we call such code "low-density parity-check (LDPC) code". LDPC codes were first proposed by Gallager [27] in the early 1960s, and were rediscovered [45, 19, 43] in the 90s. It has been shown that these codes can achieve a remarkable performance that is very close to the Shannon limit. Sometimes, they perform even better [42] than their main competitors, the Turbo codes. These two families of codes are called modern codes.

A LDPC code is *regular*, if its parity check matrix H has fixed weight for columns and rows; otherwise, it is *irregular*. A (J, L)-regular LDPC code is defined to be the null space of a Boolean parity check matrix H with the following properties: (1) each column consists of J "ones" (each column has weight J); (2) each row consists of L"ones" (each row has weight L); (3) both J and L are small compared to the length of the code n and the number of rows in H.

We define a *cycle* in H to be of length 2s if there is an ordered list of 2s matrix elements such that: (1) all 2s elements of H are equal to 1; (2) successive elements in the list are obtained by alternately changing the row or column only (i.e., two consecutive elements will have either the same row and different columns, or the same column and different rows); (3) the positions of all the 2s matrix elements are distinct, except the first and last ones. We call the cycle of the shortest length the *girth* of the code.

Several methods of constructing good families of regular LDPC codes have been proposed [43, 36, 26]. However, probably the easiest method is based on circulant permutation matrices [26], which was inspired by Gallager's original LDPC construction. In the following, we will first review several relevant properties of binary circulant matrices, and then show the construction of this type of classical LDPC codes using circulant matrices.

7.1.1 Properties of binary circulant matrices

Let M be an $r \times r$ circulant matrix over \mathbb{F}_2 . We can uniquely associate with M a polynomial M(X) with coefficients given by entries of the first row of M. If $\mathbf{c} = (c_0, c_1, \dots, c_{r-1})$ is the first row of the circulant matrix M, then

$$M(X) = c_0 + c_1 X + c_2 X^2 + \dots + c_{r-1} X^{r-1}.$$
(61)

Adding or multiplying two circulant matrices is equivalent to adding or multiplying their associated polynomials modulo $X^r - 1$. We now give some useful properties of these matrices and polynomials.

Proposition 13. The set of binary circulant matrices of size $r \times r$ forms a ring isomorphic to the ring of polynomials of degree less than $r: \mathbb{F}_2[X]/\langle X^r - 1 \rangle$.

Lemma 5. Let M(X) be the polynomial associated with the $r \times r$ binary circulant matrix M. If $gcd(M(X), X^r - 1) = K(X)$, and the degree of K(X) is k, then the rank of M is r - k.

Proof. Let $L(X) = (X^r - 1)/K(X)$, and let $\mathbf{b} \in (\mathbb{Z}_2)^r$ be the coefficient vector associated with L(X). Since the degree of L(X) is r - k, $b_i = 0$ for i > r - k. It follows that

$$L(X)M(X) = 0 \mod (X^r - 1).$$
(62)

If \mathbf{r}_i is the *i*-th row of M, then (62) gives the following k linearly dependent equations:

$$b_{0}\mathbf{r}_{0} + b_{1}\mathbf{r}_{1} + \dots + b_{r-k}\mathbf{r}_{r-k} = 0$$

$$b_{0}\mathbf{r}_{1} + b_{1}\mathbf{r}_{2} + \dots + b_{r-k}\mathbf{r}_{r-k+1} = 0$$

$$\vdots$$

$$b_{0}\mathbf{r}_{k-1} + b_{1}\mathbf{r}_{k} + \dots + b_{r-k}\mathbf{r}_{r-1} = 0.$$
(63)

The set $\{\mathbf{r}_{r-k}, \cdots, \mathbf{r}_{r-1}\}$ can therefore be expressed as linear combinations of $\{\mathbf{r}_0, \cdots, \mathbf{r}_{r-k-1}\}$, and the rank of M is r-k.

Theorem 11. Let $r = p \cdot q$, and let $\mathbf{c} = (c_0, c_1, \cdots, c_{r-1})$ be the first row of an $r \times r$ circulant matrix M. If c_i is 1 only when $i = 0 \mod p$, then rank(M) = p.

Proof. Let $M(X) = \sum_{i=0}^{q-1} X^{pi}$ be the polynomial associated with M, with degree r-p. Since $M(X)|(X^r-1)$, the degree of $K(X) = \gcd(M(X), X^r-1) = M(X)$ is also r-p. Therefore, by lemma 5, the rank of M is p.

Theorem 12. Let $r = p \cdot q$, and let $\mathbf{c} = (c_0, c_1, \dots, c_{r-1})$ be the first row of an $r \times r$ circulant matrix M. If c_i is 1 only when i < p, then rank(M) = r - p + 1.

Proof. In this case, $M(X) = 1 + X + \cdots X^{p-1}$ has degree p-1. Since $M(X)|X^r - 1$, again by lemma 5 the rank of M is r - p + 1.

Corollary 1. Let $r = p \cdot q$, and let $\mathbf{c} = (c_0, c_1, \dots, c_{r-1})$ be the first row of an $r \times r$ circulant matrix M such that the weight of \mathbf{c} is p. If $M(X)|(X^r - 1)$, then the rank κ of M is lower-bounded by r - p + 1. *Proof.* Since the weight of **c** is p, the lowest possible degree of M(X) is p-1. Then by the method of Theorem 12, the rank κ is at least r-p+1.

7.1.2 Classical quasi-cyclic LDPC codes

Definition 2. A binary linear code C(H) of length $n = r \cdot L$ is called a quasi-cyclic (QC) code with period r if any codeword which is cyclically right-shifted by r positions is again a codeword. Such a code can be represented by a parity-check matrix H consisting of $r \times r$ blocks, each of which is an (in general different) $r \times r$ circulant matrix.

By the isomorphism mentioned in Prop. 13, we can associate with each quasi-cyclic parity-check matrix $H \in \mathbb{F}_2^{Jr \times Lr}$ a $J \times L$ polynomial parity-check matrix $\mathbf{H}(X) = [h_{j,l}(X)]_{j \in [J], l \in [L]}$ where $h_{j,l}(X)$ is the polynomial, as defined in Eq. (61), representing the $r \times r$ circulant submatrix of H, and the notation $[J] := \{1, 2, \dots, J\}$.

Generally, there are two ways of constructing (J, L)-regular QC-LDPC by using circulant matrices [54]:

Definition 3. We say that a QC-LDPC code is Type-I if it is given by a polynomial parity-check matrix $\mathbf{H}(X)$ with all monomials. We say that a QC-LDPC code is Type-II if it is given by a polynomial parity-check matrix $\mathbf{H}(X)$ with either binomials, monomials, or zero.

7.1.2.1 Type-I QC-LDPC

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To give an example, let r = 16, J = 3, and L = 8. The following polynomial parity check matrix

$$\mathbf{H}(X) = \begin{bmatrix} X & X & X & X & X & X & X & X \\ X^2 & X^5 & X^3 & X^5 & X^2 & X^5 & X^3 & X^5 \\ X^2 & X^3 & X^4 & X^5 & X^6 & X^7 & X^8 & X^9 \end{bmatrix}$$
(64)

gives a Type-I (3,8)-regular QC-LDPC code of length $n = 16 \cdot 8 = 128$. Later on, we will also express $\mathbf{H}(X)$ by its *exponent matrix* H_E . For example, the exponent matrix of (64) is

$$H_E = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 2 & 5 & 3 & 5 & 2 & 5 & 3 & 5 \\ 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 \end{bmatrix}.$$
 (65)

The difference of arbitrary two rows of the exponent matrix H_E is defined as

$$\mathbf{d}_{ij} = \mathbf{c}_i - \mathbf{c}_j = \left((c_{i,k} - c_{j,k}) \mod r \right)_{k \in [L]}, \tag{66}$$

where \mathbf{c}_i is the *i*-th row of H_E and r is the size of the circulant matrix. We then have

$$\mathbf{d}_{21} = (1, 4, 2, 4, 1, 4, 2, 4)$$
$$\mathbf{d}_{31} = (1, 2, 3, 4, 5, 6, 7, 8)$$
$$\mathbf{d}_{32} = (0, 14, 1, 0, 4, 2, 5, 4).$$

We call an integer sequence $\mathbf{d} = (d_0, d_1, \cdots, d_{L-1})$ multiplicity even if each entry appears an even number of times. For example, \mathbf{d}_{21} is multiplicity even, but \mathbf{d}_{32} is not, since only 0 and 4 appear an even number of times. We call \mathbf{d} multiplicity free if no entry is repeated; for example, \mathbf{d}_{31} .

A simple necessary condition for Type-I (J, L)-regular QC-LDPC codes to give girth $g \ge 6$ is given in [26]. However, a stronger result (both sufficient and necessary condition) is shown in [30]. We state these theorems from [30] without proof.

Theorem 13. A Type-I QC-LDPC code $C(H_E)$ is dual-containing if and only if $\mathbf{c}_i - \mathbf{c}_j$ is multiplicity even for all i and j, where \mathbf{c}_i is the i-th row of the exponent matrix H_E .

Theorem 14. A necessary and sufficient condition for a Type-I QC-LDPC code $C(H_E)$ to have girth $g \ge 6$ is $\mathbf{c}_i - \mathbf{c}_j$ to be multiplicity free for all i and j.

Theorem 15. There is no dual-containing Type-I QC-LDPC having girth $g \ge 6$.

7.1.2.2 Type-II QC-LDPC

Take r = 16, J = 3, and L = 4. The following is an example of a Type-II (3,4)-regular QC-LDPC code:

$$\mathbf{H}(X) = \begin{bmatrix} X + X^4 & 0 & X^7 + X^{10} & 0 \\ X^5 & X^6 & X^{11} & X^{12} \\ 0 & X^2 + X^9 & 0 & X^7 + X^{13} \end{bmatrix}.$$
 (67)

The exponent matrix of (67) is

$$H_E = \begin{bmatrix} (1,4) & \infty & (7,10) & \infty \\ 5 & 6 & 11 & 12 \\ \infty & (2,9) & \infty & (7,13) \end{bmatrix}.$$
 (68)

Here we denote $X^{\infty} = 0$.

The difference of two arbitrary rows of H_E is defined similarly to (66) with the following additional rules: (1) if for some entry $c_{i,k}$ is ∞ , then the difference of $c_{i,k}$ and other arbitrary term is again ∞ ; (2) if the entries $c_{i,k}$ and $c_{j,k}$ are both binomial, then the difference of $c_{i,k}$ and $c_{j,k}$ contains four terms. In this example, we have

$$\begin{array}{lll} \mathbf{d}_{21} &=& ((4,1),\infty,(4,1),\infty) \\ \\ \mathbf{d}_{31} &=& (\infty,\infty,\infty,\infty) \\ \\ \mathbf{d}_{32} &=& (\infty,(12,3),\infty,(11,1)) \\ \\ \mathbf{d}_{11} &=& ((0,3,13,0),\infty,(0,3,13,0),\infty) \\ \\ \\ \mathbf{d}_{22} &=& (0,0,0,0) \\ \\ \\ \mathbf{d}_{33} &=& (\infty,(0,9,7,0),\infty,(0,10,6,0)) \,. \end{array}$$

The definition of **multiplicity even** and **multiplicity free** is the same except that we do not take ∞ into account. For example, \mathbf{d}_{32} is multiplicity free, since there is no pair with the same entry except ∞ . Unlike Type-I QC-LDPC codes whose \mathbf{d}_{ii} is always the zero vector, \mathbf{d}_{ii} of Type-II QC-LDPC codes can have non-zero entries. Therefore it is possible to have cycles of length 4 in a single layer if \mathbf{d}_{ii} is not multiplicity free. Each layer is said to be a set of rows of size r in the original parity check matrix Hthat corresponds to the row of H_E . For example, \mathbf{d}_{11} is multiplicity even, therefore the first layer of this Type-II regular QC-LDPC parity check matrix contains 4-cycles.

In the following, we will generalize theorems 13-14 given in the previous section to include the Type-II QC-LDPC case.

Theorem 16. $C(H_E)$ is a dual-containing Type-II regular QC-LDPC code if and only if $\mathbf{c}_i - \mathbf{c}_j$ is multiplicity even for all *i* and *j*.

Proof. Let $\mathbf{H}(X) = [h_{j,l}(X)]_{j \in [J], l \in [L]}$ be the polynomial parity check matrix associated with a Type-II (J, L)-regular QC-LDPC parity check matrix H. Denote the transpose of $\mathbf{H}(X)$ by $\mathbf{H}(X)^T = [h_{l,j}^t(X)]_{l \in [L], j \in [J]}$, and we have

$$h_{l,j}^{t}(X) = \begin{cases} 0 & \text{if } h_{j,l}(X) = 0 \\ X^{r-k} & \text{if } h_{j,l}(X) = X^{k} \\ X^{r-k_{1}} + X^{r-k_{2}} & \text{if } h_{j,l}(X) = X^{k_{1}} + X^{k_{2}} \end{cases}$$
(69)

Let $\hat{\mathbf{H}}(X) = \mathbf{H}(X)\mathbf{H}(X)^T$, and let the (i, j)-th component of $\hat{\mathbf{H}}(X)$ be $\hat{h}_{i,j}(X)$. Then

$$\hat{h}_{i,j}(X) = \sum_{l \in [L]} h_{i,l}(X) h_{l,j}^t(X).$$
(70)

The condition that $\mathbf{c}_i - \mathbf{c}_j$ is multiplicity even implies that $\hat{h}_{i,j}(X) = 0$ modulo $X^r - 1$, and vice versa. **Theorem 17.** A necessary and sufficient condition for a Type-II regular QC-LDPC code $C(H_E)$ to have girth $g \ge 6$ is that $\mathbf{c}_i - \mathbf{c}_j$ be multiplicity free for all i and j.

Proof. The condition that $\mathbf{c}_i - \mathbf{c}_j$ is multiplicity free for all i and j guarantees that there is no 4-cycle between layer i and layer j, and vice versa.

Theorem 18. There is no dual-containing QC-LDPC having girth $g \ge 6$.

Proof. This proof follows directly from theorem 16 and theorem 17. If the Type-II regular QC-LDPC code is dual-containing, then by theorem 16, $\mathbf{c}_i - \mathbf{c}_j$ must be multiplicity even for all i and j. However, theorem 17 says that this QC-LDPC must contain cycles of length 4.

7.1.3 Iterative decoding algorithm

There are various methods for decoding classical LDPC codes [36]. Among them, sum-product algorithm (SPA) decoding [43] provides the best trade-off between errorcorrection performance and decoding complexity. Before leaving this section, we will review this SPA decoding procedure for classical LDPC codes. It turns out that the same SPA decoding algorithm can be used in the quantum case to decode the error syndromes effectively.

Let $\mathbf{s}, \mathbf{r} \in (\mathbb{Z}_2)^n$ be the encoded signal and the received signal, respectively, such that

$$\langle H, \mathbf{s} \rangle = \mathbf{0}^T, \tag{71}$$

$$\mathbf{r} = \mathbf{s} + \mathbf{n},\tag{72}$$

where $\mathbf{n} \in (\mathbb{Z}_2)^n$ is the noise vector introduced by the binary symmetric channel, and H is the parity check matrix. The decoder's task is to infer **s** based on the received signal **r** and the knowledge of the noise **n**. The *optimal decoder*, also known as the maximally likelihood decoder, returns the encoded signal \mathbf{s} that maximizes the *posterior* probability

$$P(\mathbf{s}|\mathbf{r}) = \frac{P(\mathbf{r}|\mathbf{s})P(\mathbf{s})}{P(\mathbf{r})}.$$
(73)

It is known that this optimal decoding is an NP-complete problem [7].

If we assume that the *prior* probability of \mathbf{s} is uniform, and the noise \mathbf{n} is independent of \mathbf{s} , then it follows that estimating the encoded signal \mathbf{s} is the same as estimating the noise \mathbf{n} . This is because once \mathbf{n} is known, then the encoded signal is

$$\mathbf{s} = \mathbf{r} + \mathbf{n}.$$

We can further reduce the decoding problem to the task of finding the most probable noise vector \mathbf{n} based on the error syndrome vector \mathbf{z} since

$$\mathbf{z}^T = \langle H, \mathbf{n} \rangle = \langle H, \mathbf{r} \rangle. \tag{74}$$

Next, we will formally introduce the sum-product algorithm, also known as a "belief propagation algorithm" [49]. Assume the parity check matrix H is of size $m \times n$. The decoding problem is to find a noise vector **n** (given that **n** is independent of **s**) satisfying

$$\langle H, \mathbf{n} \rangle = \mathbf{z}^T.$$

The elements $\{n_i\}$, $i = 1, 2, \dots, n$, are referred as *bits*, while the elements $\{z_j\}$, $j = 1, 2, \dots, m$, are referred as *checks*. Together $\{n_i\}$ and $\{z_j\}$ form a *belief network*, and the network of checks and bits are a *bipartite graph*: bits only connect to checks and vice versa.

The algorithm presented below follows closely from [43]. The goal is to compute the marginal posterior probability $P(n_i | \mathbf{z}, H)$ for each *i*. Denote the set of bits that participate in check *j* by $\mathfrak{N}(j) = \{i : H_{ji} = 1\}$. Denote the set of checks in which bit *i* participates by $\mathfrak{M}(i) = \{j : H_{ji} = 1\}$. Denote a set $\mathfrak{N}(j)$ with bit *i* excluded by $\mathfrak{N}(j) \setminus i$. Define the quantity q_{ji}^x to be the probability that bit *i* of **n** has the value $x \in \{0, 1\}$, given the probability obtained via checks other than check *j*, $\{r_{j'i}^x : j' \in \mathfrak{M}(i) \setminus j\}$. Define the quantity r_{ji}^x to be the probability of check *j* being satisfied if bit *i* of **n** is considered fixed at the value *x* and the other bits have a separable distribution given by the probabilities $\{q_{ji'} : i' \in \mathfrak{N}(j) \setminus i\}$. These two quantities q_{ij} and r_{ij} associated with each nonzero element of *H* are iteratively updated, and would produce the exact marginal posterior probabilities of all the bits after a fixed number of iterations if the bipartite graph defined by the matrix *H* contained no cycle [49]. When cycles exist, the algorithm produces inaccurate probabilities. However, the correct marginal probabilities are not necessary as long as the decoding is correct.

Initialization. Denote the prior probability that bit $n_i = 0$ by p_i^0 , and $p_i^1 = 1 - p_i^0$. Set $p_i^1 = f$, where f is the crossover probability of binary symmetric channel. The variables q_{ji}^0 and q_{ji}^1 are initialized to the value p_i^0 and p_i^1 when $H_{ji} = 1$.

Horizontal step. The procedure in the horizontal step of the algorithm is to run through the checks j and compute for each $i \in \mathfrak{A}(j)$ two probabilities r_{ji}^0 and r_{ji}^1 , where

$$r_{ji}^{0} = \sum_{n_{i'}:i'\in\mathfrak{N}(j)\backslash i} \left[P\left(z_{j}|n_{i}=0, \{n_{i'}:i'\in\mathfrak{N}(j)\backslash i\}\right) \prod_{i'\in\mathfrak{N}(j)\backslash i} q_{ji'}^{n_{i'}} \right], \quad (75)$$

$$r_{ji}^{1} = \sum_{n_{i'}:i'\in\mathfrak{N}(j)\setminus i} \left[P\left(z_{j}|n_{i}=1, \{n_{i'}:i'\in\mathfrak{N}(j)\setminus i\}\right) \prod_{i'\in\mathfrak{N}(j)\setminus i} q_{ji'}^{n_{i'}} \right].$$
(76)

The quantity r_{ji}^0 is the probability of the observed value of z_j when n_i is assumed to be 0, given that the other bits $\{n_{i'}: i' \in \mathfrak{R}(j) \setminus i\}$ have a separable distribution given by the probabilities $\{q_{ji'}^0, q_{ji'}^1\}$. The quantity r_{ji}^1 is defined similarly except n_i is assumed to be 1.

Vertical step. The procedure in the vertical step of the algorithm is to take the computed values of r_{ji}^0 and r_{ji}^1 and update the values of the probabilities q_{ji}^0 and q_{ji}^1 for each j.

$$q_{ji}^{0} = \alpha_{ji} p_{i}^{0} \prod_{j' \in \mathfrak{M}(i) \setminus j} r_{j'i}^{0}, \qquad (77)$$

$$q_{ji}^1 = \alpha_{ji} p_i^1 \prod_{j' \in \mathfrak{M}(i) \setminus j} r_{j'i}^1, \tag{78}$$

where α_{ji} is chosen such that $q_{ji}^0 + q_{ji}^1 = 1$.

DecodingThe *pseudoposterior* probabilities q_i^0 and q_i^1 are calculated after each iteration of the horizontal and vertical steps, where

$$q_i^0 = \alpha_i p_i^0 \prod_{j \in \mathfrak{M}(i)} r_{ji}^0, \tag{79}$$

$$q_i^1 = \alpha_i p_i^1 \prod_{j \in \mathfrak{M}(i)} r_{ji}^1.$$

$$\tag{80}$$

These quantities are used to create a tentative decoding $\hat{\mathbf{n}}$. If $q_i^1 > 0.5$, \hat{n}_i is set to 1. If $\hat{\mathbf{n}}$ satisfies $\langle H, \hat{\mathbf{n}} \rangle = \mathbf{z}^T$, the decoding algorithm stops. Otherwise, the algorithm repeats from the horizontal step. If the number of iterations reaches some preset maximum number without successful decoding, we declare a failure.

It has been shown that the performance of iterative decoding very much depends on the cycles of shortest length [57]—in particular, cycles of length 4. These shortest cycles make successive decoding iterations highly correlated, and severely limit the decoding performance. Therefore, to use SPA decoding, it is important to design codes without short cycles, especially cycles of length 4.

The sum-product decoding algorithm can be directly applied to the quantum codes constructed using the (generalized) CSS construction. This is because the Z errors and X errors of a CSS-type quantum code can be decoded separately. Therefore, decoding the quantum errors is equivalent to using the SPA separately for each classical code in the CSS construction (though this would throw away some information about the correlations between X errors and Y errors).

7.2 Quantum low-density parity-check codes

The quantum versions of low-density parity-check codes [30, 44, 17, 20] are far less studied than their classical counterparts. The main obstacle comes from the dualcontaining constraint of the classical codes that are used to construct the corresponding quantum codes. While this constraint was not too difficult to satisfy for relatively small codes, it is a substantial barrier to the use of highly efficient LDPC codes. However, with the entanglement-assisted formalism, such constraints can be removed, and constructing quantum LDPC codes from classical LDPC codes becomes transparent.

The second obstacle to constructing quantum LDPC codes comes from the bad performance of the efficient decoding algorithm. Though the SPA can be directly used to decode the quantum errors, the performance of SPA decoding was severely limited by the many 4-cycles in the standard quantum LDPC codes. We show in this section that using the entanglement-assisted formalism, we can completely eliminate all the 4-cycles in the quantum LDPC codes. We will focus on the quantum LDPC codes constructed from classical quasi-cyclic LDPC codes, and demonstrate their performance using numerical methods.

7.2.1 Quantum quasi-cyclic LDPC codes

It has been shown that any classical linear code can be used to construct a corresponding entanglement-assisted quantum error-correcting code.

In the following, we will consider conditions that will give us (J, L)-regular QC-LDPC codes C(H) with girth $g \ge 6$ and with the rank of HH^T as small as possible. In general, $\hat{\mathbf{H}}(X)$ represents a square Hermitian matrix \hat{H} with size $Jr \times Jr$ that contains J^2 circulant $r \times r$ matrices represented by $\hat{h}_{i,j}(X)$ as defined in (70). Next, we provide two examples to illustrate two different ways of minimizing the rank of the square Hermitian matrix represented by $\hat{\mathbf{H}}(X)$.

The first method is to make the matrix $\hat{H} = HH^T$ become a circulant matrix with a small rank. This can be achieved by choosing $\mathbf{H}(X)$ such that

$$\hat{h}_{i,j}(X) = \hat{h}_{i+1,j+1}(X),$$

for $i, j = 0, 1, \dots, J - 2$. The rank κ of \hat{H} can then be read off by lemma 5. If $gcd(\hat{\mathbf{H}}(X), X^{Jr} - 1) = K(X)$, and the degree of K(X) = k, then $\kappa = Jr - k$. Let's look at an example of this type using a classical Type-I QC-LDPC code. Take r = 16, J = 3, and L = 8. The following polynomial parity check matrix $\mathbf{H}(X)$ gives the corresponding quantum QC-LDPC code with length 128:

$$\mathbf{H}(X) = \begin{bmatrix} X & X & X & X & X & X & X & X \\ X & X^2 & X^3 & X^4 & X^5 & X^6 & X^7 & X^8 \\ X & X^3 & X^5 & X^7 & X^9 & X^{11} & X^{13} & X^{15} \end{bmatrix}.$$
 (81)

Then

$$\hat{h}_{i,j}(X) = \begin{cases} 0, & i = j, \\ \sum_{k=0}^{7} X^k, & i = j+1 \\ \sum_{k=0}^{7} X^{2k}, & i = j+2 \end{cases}$$
(82)

It can be easily verified that $\hat{\mathbf{H}}(X)$ represents a circulant matrix, and the polynomial associated with \hat{H} is

$$\hat{\mathbf{H}}(X) = X^{16} \left(\sum_{k=0}^{7} X^k\right) + X^{32} \left(\sum_{k=0}^{7} X^{2k}\right).$$

The degree of $gcd(\hat{\mathbf{H}}(X), X^{48} - 1) = 30$, therefore by lemma 5, the number of ebits that were needed to construct the corresponding quantum code is only 18. Actually, (81) gives us a [[128, 48, 6; 18]] EAQECC, and we will refer to this example as "ex1" later in section 7.3.

The second method is to minimize the rank of each circulant matrix inside \hat{H} . Let the rank of the circulant matrix represented by $\hat{h}_{i,j}(X)$ be $\kappa_{i,j}$. Let the rank of \hat{H} be κ . Then

$$\kappa \le \sum_{i=1}^{J} \max_{j \in [J]} \kappa_{i,j}.$$
(83)

This upper bound is not tight for Type-I (J, L)-regular QC-LDPC codes when L is odd. This is because $\kappa_{i,i} = r$ for every i. When L is even, we have $\kappa_{i,i} = 0$ for every i. We can obtain a tighter upper bound for κ by carefully choosing the exponents of $\mathbf{H}(X)$ such that the degree of $gcd(\hat{h}_{i,j}(X), X^r - 1)$ is as large as possible for every iand j.

Theorem 19. Given a Type-I (J, L)-regular QC-LDPC code with $\mathbf{H}(X)$, if L is even and $gcd(\hat{h}_{i,j}(X), X^r - 1) > 1$ for $i \neq j$, then the rank κ is upper bounded by J(r - L + 1).

Proof. Let $\hat{h}_{i,j}$ be the circulant matrix associated with the polynomial $\hat{h}_{i,j}(X)$, then the weight of the coefficient vector of $\hat{h}_{i,j}$ is L. By Corollary 1, $\kappa_{i,j} \leq r - L + 1$. Therefore

$$\kappa \le \sum_{i=1}^{J} \max_{j \in [J]} \kappa_{i,j} \le J(r - L + 1).$$

Our second example comes from a classical Type-II QC-LDPC code. Again take r = 16, J = 3, and L = 8. The following polynomial parity check matrix $\mathbf{H}(X)$ gives the corresponding quantum QC-LDPC code with length 128:

Then

$$\hat{h}_{i,j}(X) = \begin{cases} 0, & (i,j) = (2,2), (1,3), \text{or}(3,1) \\ \sum_{k=0}^{7} X^{1+2k}, & (i,j) = (1,1), (3,3) \\ \sum_{k=0}^{7} X^{k}, & (i,j) = (2,1), (2,3) \end{cases}$$
(85)

In this example, each layer of the matrix $\hat{\mathbf{H}}(X)$ has rank less than 9. Actually, (84) gives a [[128, 48, 6; 18]] quantum QC-LDPC code, and we will refer to this example as "ex2" in section 7.3.

7.3 Performance

In this section, we compare the performance of the QLDPC codes given in Sec. 7.2 to conventional (dual-containing) QLDPC codes that have been derived in the existing literature. The easiest way of constructing a QLDPC is the following technique, proposed by MacKay et al. in [44]. Take an $n/2 \times n/2$ cyclic matrix C with row weight L/2, and define

$$H_0 = [C, C^T].$$

Then we delete some rows from H_0 to obtain a matrix H with m rows. It is easy to verify that H is dual-containing. Therefore by the CSS construction, we can obtain conventional QLDPC codes of length n. The advantage of this construction is that the choice of n, m, and L is completely flexible; however, the column weight J is not fixed. We picked n = 128, m = 48, and L = 8, and called this quantum LDPC code "ex-MacKay."

The second example of constructing a conventional QLDPC is described in the following theorem [30]:

Theorem 20. Let P be an integer which is greater than 2 and σ an element of $\mathbb{Z}_P^* := \{z : z^{-1} exists\}$ with $ord(\sigma) \neq |\mathbb{Z}_P^*|$, where $ord(\sigma) := \min\{m > 0 | \sigma^m = 1\}$ and |X| means the cardinality of a set X. If we pick any $\tau \in \mathbb{Z}_P^* = \{1, \sigma, \sigma^2, \cdots\}$, define

$$c_{j,l} := \begin{cases} \sigma^{-j+l} & 0 \le l < L/2 \\ -\tau \sigma^{j-1+l} & L/2 \le l < L \end{cases}$$
$$d_{k,l} := \begin{cases} \tau \sigma^{-k-1+l} & 0 \le l < L/2 \\ -\sigma^{k+l} & L/2 \le l < L \end{cases},$$

and define the exponent matrix H_C and H_D as

$$H_C = [c_{j,l}]_{j \in [J], l \in [L]}, \quad H_D = [d_{k,l}]_{k \in [K], l \in [L]},$$

where $L/2 = ord(\sigma)$ and $1 \leq J, K \leq L/2$, then H_C and H_D can be used to construct quantum QC-LDPC codes with girth at least 6. Here, we pick the set of parameters (J, L, P, σ, τ) to be (3, 8, 15, 2, 3). The exponent matrices H_C and H_D described in theorem 20 are

$$H_{C} = \begin{bmatrix} 1 & 2 & 4 & 8 & 6 & 12 & 9 & 3 \\ 8 & 1 & 2 & 4 & 12 & 9 & 3 & 6 \\ 4 & 8 & 1 & 2 & 9 & 3 & 6 & 12 \end{bmatrix}$$
(86)
$$H_{D} = \begin{bmatrix} 9 & 3 & 6 & 12 & 14 & 13 & 11 & 7 \\ 12 & 9 & 3 & 6 & 13 & 11 & 7 & 14 \\ 6 & 12 & 9 & 3 & 11 & 7 & 14 & 13 \end{bmatrix},$$
(87)

and by the CSS construction, it will give a [[120, 38, 4]] quantum QC-LDPC code. We will call this code "ex-HI".



Figure 10: Performance of QLDPC with SPA decoding, and 100-iteration

We compare the performance of our examples in section 7.2.1 with these two dualcontaining quantum LDPC codes in figure 10. In the simulation, we assume the depolarizing channel and use of sum-product decoding algorithm. The performances of ex1 and ex2 do not differ much. This is not surprising, since these two codes have similar parameters. The reason that the performance of ex-MacKay is worse than our two examples is because there are so many 4-cycles in ex-MacKay. These cycles impair the decoding performance of sum-product algorithm. Our entanglement-assisted quantum QC-LDPC codes also outperform the quantum QC-LDPC code of ex-HI, since the classical QC-LDPC codes used to construct our examples have better distance properties than the classical QC-LDPC of ex-HI. This simulation result is also consistent with our result in [13]: better classical codes give better quantum codes. Even though the parameters are not exactly the same, our codes have higher rate than the code rate of ex-HI.

It is not difficult to verify that the girth of ex1 is 6, and the girth of ex2 is 8. We numerically investigated the performance of these two examples with various numbers of iterations. According to our simulation results, the performance of ex1 and ex2 is almost the same. The result agrees with the classical result in [26] showing that the increase of girth from 6 to 8 is not of great help. The result is quite interesting since it implies that we do not need to worry about constructing QLDPC with higher girth.

7.4 Conclusions

There are two advantages of Type-II QC-LDPCs over Type-I QC-LDPCs. First, according to [54] certain configurations of Type-II QC-LDPC codes have larger minimum distance than Type-I QC-LDPC. Therefore, we can construct better quantum QC-LDPCs from classical Type-II QC-LDPC codes. Second, it seems likely that Type-II QC-LDPCs will have more flexibility in constructing quantum QC-LDPC codes with small amount of pre-shared entanglement, because of the ability to insert zero submatrices. However, further investigation of this issue is required.

By using the entanglement-assisted error correction formalism, it is possible to construct EAQECCs from any classical linear code. We have shown how to do this for two classes of quasi-cyclic LDPC codes (Type-I and Type-II), and proven a number of theorems that make it possible to bound how much entanglement is required to send a code block for codes of these types. Using these results, we have been able to easily construct examples of quantum QC-LDPC codes that require only a relatively small amount of initial shared entanglement, and that perform better than previously constructed dual-containing QLDPCs. Since in general the performance of quantum codes follows directly from the performance of the classical codes used to construct them, and the evidence of our examples suggests that the iterative decoders can also be made to work effectively on the quantum versions of these codes, this should make possible the construction of large-scale efficient quantum codes.

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