# Lecture 17: Continuous Functions

## **1** Continuous Functions

Let  $(X, \mathfrak{T}_X)$  and  $(Y, \mathfrak{T}_Y)$  be topological spaces.

**Definition 1.1 (Continuous Function).** A function  $f : X \to Y$  is said to be **continuous** if the inverse image of every open subset of Y is open in X. In other words, if  $V \in \mathcal{T}_Y$ , then its inverse image  $f^{-1}(V) \in \mathcal{T}_X$ .

**Proposition 1.2.** A function  $f : X \to Y$  is continuous iff for each  $x \in X$  and each neighborhood N of f(x) in Y, the set  $f^{-1}(N)$  is a neighborhood of x in X.

*Proof.* Let x be an arbitrary element of X and N an arbitrary neighborhood of f(x) in Y. Then,  $f^{-1}(N)$  and contains x and by definition, is open in X. Hence, for each  $x \in X$  and each neighborhood N of f(x) in Y, the set  $f^{-1}(N)$  is a neighborhood of x in X. Conversely, let for each  $x \in X$  and each neighborhood N of f(x) in Y, the set  $f^{-1}(N)$  is a neighborhood of x in X. Let V be an arbitrary open subset of Y.

- i) If  $V \cap f(X) = \emptyset$ , where f(X) is the range of f, then  $f^{-1}(V) = \emptyset$  and hence is open in X.
- ii) If  $V \cap f(X) \neq \emptyset$ , then V is a neighborhood of each of its points (let f(x) be one such point for some  $x \in X$ ). By assumption,  $f^{-1}(V) (\subseteq X)$  must be a neighborhood of each of its points (including the said x) in X and hence,  $f^{-1}(V)$  is open in X.

Note 1. Continuity of a function depends not only on f but also on its domain and co-domain topologies X and Y.

**Example 1.3.** Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces and  $f : X \to Y$  be a function.

- i) If f is a constant map, i.e., f(x) = y for all  $x \in X$  and some  $y \in Y$ , then f is continuous for all topologies on X and Y because for any open subset V of  $Y, f^{-1}(V) = \emptyset$  (if  $y \notin V$ ) or X (if  $y \in V$ ), both of which are always open in any topology on X.
- ii) If  $\mathfrak{T}_X = \mathcal{P}(X)$ , i.e.,  $(X, \mathfrak{T}_X)$  is the discrete topology, then f is continuous for any topology on Y because for any open subset V of Y,  $f^{-1}(V)$  is in  $\mathcal{P}(X)$ and hence is open in X.
- iii) If  $\mathfrak{T}_Y = \{\emptyset, Y\}$ , <u>i.e.</u>,  $(Y, \mathfrak{T}_Y)$  is the trivial topology, then f is continuous for any topology on  $\overline{X}$  because  $f^{-1}(\emptyset) = \emptyset$  and  $f^{-1}(Y) = X$ , both of which are always open in any topology on X.
- iv) The identity mapping from  $(X, \mathfrak{T}_X)$  to  $(X, \mathfrak{T}_X)$  is continuous because for any  $U \in \mathfrak{T}_X$  (co-domain topology),  $f^{-1}(U) = U \in \mathfrak{T}_X$  (domain topology).

#### Example 1.4.

Let  $(X, \mathfrak{T}_X)$  and  $(Y, \mathfrak{T}_Y)$  be topological spaces defined as follows:

$$X = \{R, G, B\} \qquad \mathfrak{T}_X = \{\emptyset, \{R\}, \{B\}, \{R, G\}, \{R, B\}, X\}$$
$$Y = \{1, 2, 3\} \qquad \mathfrak{T}_Y = \{\emptyset, \{1\}, \{1, 2\}, Y\}$$

Let f and g be bijective mapping defined as f(R) = 1, f(G) = 2 and f(B) = 3. Then, f is continuous since

$$f^{-1}(\emptyset) = \emptyset, \ f^{-1}(\{1\}) = \{R\}, \ f^{-1}(\{1,2\}) = \{R,G\}, \ f^{-1}(Y) = X$$

all of which are open in X. However, its inverse map g, with g(1) = R, g(2) = G and g(3) = B, is not continuous since

$$g^{-1}(\{B\}) = \{3\} \notin \mathfrak{T}_Y \text{ and } g^{-1}(\{R,B\}) = \{1,3\} \notin \mathfrak{T}_Y.$$

**Example 1.5.** The unit step function  $u : \mathbb{R} \to \{0, 1\}$  is given by

$$u(x) = \begin{cases} 0 & \text{if } x < 0 \\ 1 & \text{if } x \ge 0. \end{cases}$$

Let  $\mathbb{R}$  be equipped with the standard topology, <u>i.e.</u>, all open intervals are open, and the set  $\{0, 1\}$  be equipped with the discrete topology. Then,  $u^{-1}(0) = (-\infty, 0)$ is open in the standard topology on  $\mathbb{R}$ , but  $u^{-1}(1) = [0, \infty)$  is not. Hence, the unit step function is discontinuous. **Example 1.6.** Let  $\mathbb{R}$  and  $\mathbb{R}_l$  denote the set of real numbers equipped with the standard and lower limit topology respectively, and  $f : \mathbb{R} \to \mathbb{R}_l$  and  $g : \mathbb{R}_l \to \mathbb{R}$  be identity functions, i.e., f(x) = g(x) = x, for every real number x. Then, f is not continuous because the inverse image of the open set [a, b) in  $\mathbb{R}_l$  is [a, b) which is not open in the standard topology. But g is continuous because the inverse image of open interval (a, b) in the standard topology on  $\mathbb{R}$  is open in  $\mathbb{R}_l$   $(g^{-1}((a, b)) = (a, b) = \bigcup_{n \in \mathbb{N}} [a + 1/n, b)$  and countable union of open sets is open).

**Example 1.7.** A function  $f : \mathbb{R} \to \mathbb{R}$  is said to be continuous at  $x_0 \in \mathbb{R}$  if

 $\forall \epsilon > 0, \exists \delta > 0 \text{ such that } |x - x_0| < \delta \rightarrow |f(x) - f(x_0)| < \epsilon,$ 

where both the domain and co-domain topologies are the standard topology on  $\mathbb{R}$ . The equivalence of this definition of continuity to the open-set definition of continuity at  $x_0$  is shown below.

Let f be continuous at  $x_0$  by the open set definition, <u>i.e.</u>, inverse image of every open set containing  $x_0$  is open. Given any  $\epsilon > 0$ , the interval  $V = (f(x_0) - \epsilon, f(x_0) + \epsilon)$  is open in the co-domain topology and hence,  $f^{-1}(V)$  is open in the domain topology. Since  $f^{-1}(V)$  contains  $x_0$ , it contains a basis (a, b) about  $x_0$ (since for every open set S and every  $s \in S$ , there exists a basis  $B_s$  such that  $s \in B_s \subseteq S$ ). Let  $\delta$  be minimum of  $x_0 - a$  and  $b - x_0$ . Then if  $|x - x_0| < \delta$ , x must be in (a, b) and  $f^{-1}(V)$  (since  $(a, b) \subseteq f^{-1}(V)$ ). Hence  $f(x) \in V$  and  $|f(x) - f(x_0)| < \epsilon$  as required.

Now, let f be  $\epsilon - \delta$ -continuous at  $x \in \mathbb{R}$  and V be an open set in the co-domain topology containing f(x). Since V is open and  $f(x) \in V$ , there exists some  $\epsilon > 0$ , such that  $(f(x) - \epsilon, f(x) + \epsilon) \subseteq V$ . By continuity at x, there exists some  $\delta > 0$ such that  $(x - \delta, x + \delta) \subseteq f^{-1}(V)$ . Since  $(x - \delta, x + \delta)$  is open in the domain topology and the choices of  $\epsilon$  and V were arbitrary, inverse image of every open set containing x is open as required by the open set definition of continuity at x. Note that if an open set V in co-domain topology does not intersect the range of f, then  $f^{-1}(V) = \emptyset$ , which is open in the domain topology.

Following are some properties of continuity.

1. For two topologies  $\mathfrak{T}_X$  and  $\mathfrak{T}'_X$  on X, the identity map  $1_X$  from  $(X, \mathfrak{T}_X)$  to  $(X, \mathfrak{T}'_X)$  is continuous iff  $\mathfrak{T}_X$  is finer than  $\mathfrak{T}'_X$ .

Proof. Let  $f = 1_X$ . Since the map is identity,  $f^{-1}(S) = S$  for any subset S of X. Let the identity map be continuous. Then, for any V in  $\mathcal{T}'_X$ ,  $f^{-1}(V)$  is in  $\mathcal{T}_X$ . Since  $f^{-1}(V) = V$ , this means that V is also in  $\mathcal{T}_X$ . Thus,  $\mathcal{T}'_X \subseteq \mathcal{T}_X$ , i.e.,  $(X, \mathcal{T}_X)$  is finer than  $(X, \mathcal{T}'_X)$ . Conversely, let  $\mathcal{T}_X$  is finer than  $\mathcal{T}'_X$ . Then, any set S in  $\mathcal{T}'_X$  is also in  $\mathcal{T}_X$ . For any V in  $\mathcal{T}'_X$ ,  $f^{-1}(V)$  is in  $\mathcal{T}_X$  because  $f^{-1}(V) = V$  and V is in  $\mathcal{T}_X$ . Thus, the identity map is continuous.

2. A continuous map remains continuous if the domain topology becomes finer or the co-domain topology becomes coarser.

*Proof.* Let  $(X, \mathcal{T}_1)$ ,  $(X, \mathcal{T}_2)$ ,  $(Y, \mathcal{S}_1)$  and  $(Y, \mathcal{S}_2)$  be topologies with  $\mathcal{T}_1$  and  $\mathcal{S}_1$  finer than  $\mathcal{T}_2$  and  $\mathcal{S}_2$  respectively. Let f be a continuous map from  $(X, \mathcal{T}_2)$  to  $(Y, \mathcal{S}_1)$ .

- i) Let V be in  $S_1$ . Then,  $f^{-1}(V)$  is in  $\mathfrak{T}_2$ , since f is continuous, and in  $\mathfrak{T}_1$ , since it is finer than  $\mathfrak{T}_2$ . Thus, f is also a continuous map from  $(X, \mathfrak{T}_1)$  to  $(Y, S_1)$ .
- ii) Let V be in  $S_2$ . Since,  $S_1$  is finer than  $S_2$ , it contains V. Also  $\mathfrak{T}_2$  contains  $f^{-1}(V)$  since f is a continuous. Thus, f is also a continuous map from  $(X, \mathfrak{T}_2)$  to  $(Y, \mathfrak{S}_2)$ .

- Note 2. i) From Property 1, it can be inferred that, continuity of a bijective function  $f: X \to Y$  does not guarantees continuity of its inverse (cf. Examples 1.4 and 1.6).
- ii) In Example 1.6, had f been the identity map from  $\mathbb{R}$  to itself then it would have been continuous but replacing the co-domain topology with a finer topology  $(\mathbb{R}_l)$  renders it discontinuous.

To test the continuity of a map from a topological space on X to that on Y, checking whether inverse image of each open set in Y is open in X is not necessary.

**Theorem 1.8.** Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces and  $f : X \to Y$  be a function. Then, the following statements are equivalent:

- 1. f is continuous.
- 2. Inverse image of every basis element of  $\mathcal{T}_Y$  is open.
- 3. Inverse image of every subbasis element of  $T_Y$  is open.

Thus, to test the continuity of a function it suffices to check openness of inverse images of elements of only a subset of  $T_Y$ , viz., its subbasis.

*Proof.* (1) $\rightarrow$ (2) Let f be continuous. Since every basis element of  $\mathcal{T}_Y$  is open, its inverse image will be open.

- (2) $\rightarrow$ (1) Let  $\mathcal{B}_Y$  be a basis for  $\mathcal{T}_Y$  and let the inverse image of every basis element  $B \in \mathcal{B}_Y$  be open in X, <u>i.e.</u>,  $f^{-1}(B) \in \mathcal{T}_X$ . Note that any open set V in Y can be written as a union of the basis elements, <u>i.e.</u>,  $V = \bigcup_{j \in J} B_j$ ,  $f^{-1}(V) = \bigcup_{j \in J} f^{-1}(B_j)$ , for some  $\{B_1, \ldots, B_{|J|}\} \subseteq \mathcal{B}_Y$ . Since union of opens sets is open,  $f^{-1}(V)$  is open.
- (2) $\rightarrow$ (3) Since every subbasis element is in the basis it generates, inverse image of every subbasis element of Y is open in X.
- (3) $\rightarrow$ (2) Let  $S_Y$  be subbasis of Y which generates the basis  $\mathcal{B}_Y$ . Let the inverse image of every subbasis element  $S \in \mathcal{S}_Y$  be open in X, <u>i.e.</u>,  $f^{-1}(S) \in \mathcal{T}_X$ . Since any basis element can be written as a finite intersection of subbasis elements, <u>i.e.</u>,  $B = \bigcap_{i=1}^n S_i$ ,  $f^{-1}(B) = \bigcap_{i=1}^n f^{-1}(S_i)$ . Since finite intersection of open sets is open,  $f^{-1}(B)$  is open in X.

**Theorem 1.9.** Let f be a map from a topological space on X to a topological space on Y. Then, the following statements are equivalent:

- 1. f is continuous.
- 2. Inverse image of every closed set of Y is closed in X.
- 3. For each  $x \in X$  and every neighborhood V of f(x), there is a neighborhood U of x such that  $f(U) \subseteq V$ .
- 4. For every subset A of X,  $f(\overline{A}) \subseteq \overline{f(A)}$ .
- 5. For every subset B of Y,  $\overline{f^{-1}(B)} \subseteq f^{-1}(\overline{B})$ .
- *Proof.* (1) $\rightarrow$ (2) Let a subset *C* of *Y* be closed. Then, its complement *Y*\*C* is open and the inverse image of the complement  $f^{-1}(Y \setminus C) = f^{-1}(Y) \setminus f^{-1}(C) = X \setminus f^{-1}(C)$  is open in *X*. Hence,  $f^{-1}(C)$  is closed in *X*.
- (2) $\rightarrow$ (1) Let V be open in Y. Then, its complement  $Y \setminus V$  is closed and the inverse image of the complement  $f^{-1}(Y \setminus V) = f^{-1}(Y) \setminus f^{-1}(V) = X \setminus f^{-1}(V)$  is closed in X. Hence,  $f^{-1}(V)$  is open in X.
- (1) $\rightarrow$ (3) Since  $f^{-1}(V)$  is an open neighborhood of x, choose  $U = f^{-1}(V)$ .
- (3) $\rightarrow$ (4) Let  $A \subseteq X$  and  $x \in \overline{A}$ . Let V be a neighborhood f(x) and U be a neighborhood of x such that  $f(U) \subseteq V$ . Since  $x \in \overline{A}$ ,  $U \cap A \neq \emptyset$  and hence  $\emptyset \neq f(U \cap A) \subseteq f(U) \cap f(A) \subseteq V \cap f(A)$  (cf. Lecture 5, Theorem 2.3(vii)). Since the choice of V neighborhood of f(x) was arbitrary, every neighborhood of f(x) intersects f(A). Hence,  $f(x) \in \overline{f(A)}$  and  $f(\overline{A}) \subseteq \overline{f(A)}$ .

- (4)  $\rightarrow \underline{(5)}$  Let  $A = f^{-1}(B)$ . Then, by (4),  $f(\overline{A}) \subseteq \overline{f(A)} = \overline{f(f^{-1}(B))} = \overline{B}$ . Hence,  $\overline{f^{-1}(B)} \subseteq f^{-1}(\overline{B})$ .
- (5) $\rightarrow$ (2) Let  $B \subseteq Y$  be closed; then,  $\overline{B} = B$  since a set is closed iff it is equal to its closure. Then, by (5),  $\overline{f^{-1}(B)} \subseteq f^{-1}(B)$  and since  $f^{-1}(B) \subseteq \overline{f^{-1}(B)}$  is always true,  $\overline{f^{-1}(B)} = f^{-1}(B)$ . Hence,  $f^{-1}(B)$  is closed (being equal to its closure).

# 2 Homeomorphism

**Definition 2.1 (Homeomorphism).** Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces and  $f: X \to Y$  be a bijection. If both f and its inverse  $f^{-1}: Y \to X$  are continuous, then f is called a **homeomorphism**.

The two spaces are said to be *homeomorphic* and each is a *homeomorph* of the other. If a map is a homeomorphism, then so is its inverse. Composition of any two homeomorphisms is again a homeomorphism.

The requirement the  $f^{-1}$  be continuous means that for any U open in X, its inverse image under  $f^{-1}$  be open in Y. But since the inverse image of U under  $f^{-1}$ is same as the image of U under f (cf. Lecture 5, Remark 2(vi)), another way to define a homeomorphism is to say that it is a bijective map  $f: X \to Y$  such that f(U) is open iff U is open. Thus, a homeomorphism is a bijection between  $\mathcal{T}_X$ and  $\mathcal{T}_Y$ . Consequently, any property of X expressed in terms of  $\mathcal{T}_X$  (or the open sets), yields, via f, the corresponding property for Y. Such a property is called a *topological property* of X.

Let  $f : X \to Y$  be an injective continuous map and  $Z = f(X) \subset Y$  be its range, considered as a subspace of Y. Then, the map obtained by restricting Y to  $Z, f' : X \to Z$  is a bijection. If f' happens to be a homeomorphism, then we say that  $f : X \to Y$  is a topological imbedding, or simply an imbedding, of X in Y.

**Example 2.2.** Let  $\mathbb{R}$  be equipped with the trivial, standard or discrete topology. For every pair of real numbers m and c, the function  $f_{m,c} : \mathbb{R} \to \mathbb{R}$  defined by  $f_{m,c}(x) = mx + c, \forall x \in \mathbb{R}$  is a homeomorphism.

- **Example 2.3.** i) The identity map from a topological space to itself is a homeomorphism (Example 1.3(iv)).
- ii) The map f in Examples 1.4 and the map g in 1.6 are both continuous and bijective but not homeomorphic because their inverse maps are not continuous.

- **Example 2.4.** i) Two discrete spaces are homeomorphic iff there is a bijection between them, <u>i.e.</u>, iff they have the same cardinality. This is true because every function on a discrete space is continuous, no matter the co-domain topology (Example 1.3(ii)).
- ii) Two trivial topologies are homeomorphic iff there is a bijection between them. This holds because every function to a trivial topology is continuous regardless of the domain topology (Example 1.3(iii)).

**Proposition 2.5.** Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be topological spaces and  $f : X \to Y$  be a function. Then, the following statements are equivalent:

- i) f is a homeomorphism.
- ii) U is open in X iff f(U) is open in Y.
- iii) C is closed in X iff f(C) is closed in Y.
- iv) V is open in Y iff  $f^{-1}(V)$  is open in X.
- v) D is closed in Y iff  $f^{-1}(D)$  is closed in X.

### **3** Constructing Continuous Functions

Some rules for constructing continuous functions are given below.

**Theorem 3.1.** Let X, Y and Z be topological spaces.

- 1. (Constant function) If  $f : X \to Y$  defined as f(x) = y for all  $x \in X$  and some  $y \in Y$ , then f is continuous.
- 2. (Inclusion) If A is a subspace of X, then the inclusion function  $j : A \to X$  is continuous.  $(j(a) = a, \forall a \in A)$
- 3. (Composites) If  $f : X \to Y$  and  $g : Y \to Z$  are continuous, then so is their composition  $g \circ f : X \to Z$ .
- (Restricting the domain) If f : X → Y is continuous and A is a subspace of X, then the restriction of f to A, f|A : A → Y is also continuous.
- 5. (Restricting or expanding the range) Let  $f: X \to Y$  be continuous.
  - a) If Z is subspace of Y containing the range f(X), then the function  $g: X \to Z$  obtained by restricting the co-domain topology is continuous.

- b) If Z is space containing Y as a subspace, then the function  $h: X \to Z$  obtained by expanding the co-domain topology is continuous.
- 6. (Local formulation of continuity) The map  $f : X \to Y$  is continuous if X cna be written as the union of open sets  $U_{\alpha}$  such that  $f|U_{\alpha}$  is continuous for each  $\alpha$ .

*Proof.* i) See Example 1.3(i).

- ii) If U is open in X, then  $j^{-1}(U) = U \cap A$  is open in A by definition of subspace topology.
- iii) If W is open in Z, then  $g^{-1}(W)$  is open in Y since g is continuous. Since f is continuous,  $f^{-1}(g^{-1}(W))$  is open in X. Thus,  $g \circ f$  is continuous (since  $f^{-1}(g^{-1}(W)) = (g \circ f)^{-1}(W)$ ).
- iv)  $f|A = j \circ f$ , both of which are continuous and composition of continuous maps is continuous.
- v) (a) Let W be open in Z. Then,  $B = Z \cap U$  for some U open in Y. Since  $f(Z) \subseteq Z, f^{-1}(B) = f^{-1}(U)$  and is open in X because  $f^{-1}(U)$  is open in X. (b) Let  $j: Y \to Z$  be the inclusion map. Then,  $h = f \circ j$ .
- vi) Let V be open in Y. Then,

$$f^{-1}(V) \cap U_{\alpha} = (f|U_{\alpha})^{-1}(V)$$

and is open in  $U_{\alpha}$  and hence open in X. But

$$f^{-1}(V) = \bigcup_{\alpha} \left( f^{-1}(V) \cap U_{\alpha} \right),$$

so that V is also open in X.

**Theorem 3.2 (The Pasting Lemma).** Let  $X = A \cup B$ , where A and B are closed in X. Let  $f : A \to Y$  and  $g : B \to Y$  e continuous maps. If f(x) = g(x) for every  $x \in A \cap B$ , the f and g combine to give a continuous map  $h : X \to Y$ , defined as

$$h(x) = \begin{cases} f(x) & \text{if } x \in A \\ g(x) & \text{if } x \in B. \end{cases}$$

*Proof.* Let C be a closed subset of Y. Then,  $h-1(C) = f^{-1}(C) \cup g^{-1}(C)$  and is closed in X since each of  $f^{-1}(C)$  and  $g^{-1}(C)$  are closed in X.

The pasting lemma hold even if A and B are open in X and is a special case of Theorem 3.1(vi).

**Theorem 3.3 (Maps into Products).** Let  $f : A \to X \times Y$  be defined as  $f(a) = (f_1(a), f_2(a))$ . Then f is continuous iff both the co-ordinate functions  $f_1 : A \to X$  and  $f_2 : A \to Y$  are continuous.

Proof. The projection maps  $\pi_1 : X \times Y \to X$  and  $\pi_2 : X \times Y \to Y$  onto the first and second factor space are continuous since  $\pi_1^{-1}(U) = U \times Y$  and  $\pi_2^{-1}(V) = X \times V$ are open if U and V are open in X and Y respectively. Note that  $f_1 = \pi_1 \circ f$  and  $f_2 = \pi_2 \circ f$ . If f is continuous, then so are  $f_1$  and  $f_2$  (composites of continuous functions). Conversely, let  $f_1$  and  $f_2$  are continuous. Let  $U \times V$  be a basis element of  $X \times Y$ . A point a is in  $f^{-1}(U \times V)$  iff  $f(a) \in U \times V$ , i.e., iff  $f_1(a) \in U$  and  $f_2(a) \in V$ . Hence,  $f^{-1}(U \times V) = f^{-1}(U) \cap f^{-1}(V)$  and is open in A since both  $f^{-1}(U)$  and  $f^{-1}(V)$  are open. Thus, since inverse image of every basis element is open, f is continuous (by Theorem 1.8(2))