

dec 15: Channel Coding Theorem (towards)

Recap

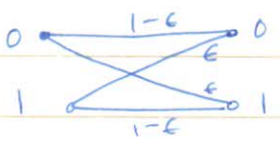
- Arithmetic coding
- Channel Capacity
- $C = \max_{P_x} I(X; Y)$
- Some simple examples

TODAY

- Further examples
- BEC, BSC
- Symmetric ch
- Discrete Memoryless channel set up
- Joint typicality
- Coding Theorem

Further examples of channels

1. BSC

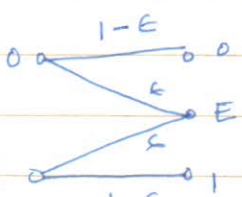


$$I(X; Y) = H(Y) - H(Y|X) = H(Y) - H(\epsilon, 1-\epsilon) \leq 1 - H(\epsilon, 1-\epsilon)$$

(By picking X uniform, Y is uniform)

$$C = 1 - H(\epsilon, 1-\epsilon) \text{ bits/channel use}$$

2. BEC



$$I(X; Y) = H(X) - H(X|Y) = H(X) - \epsilon H(X) = (1-\epsilon) H(X) \leq (1-\epsilon)$$

$$C = 1 - \epsilon$$

(Reed Muller Codes achieve capacity)

$$\left\{ \begin{aligned} &P_2(Y=E) H(X|Y=E) \\ &+ P_2(Y=0) H(X|Y=0) \\ &+ P_2(Y=1) H(X|Y=1) \end{aligned} \right\}$$

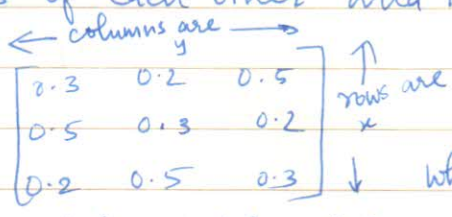
3. Symmetric channel

Defn: A channel is said to be symmetric if the rows of the channel transition matrix

$[P(y|x)]$  are permutations of each other and likewise the columns are permutations of one another.

A channel is said to be weakly symmetric if all the rows are permutations of each other and the column sums are equal

Eg:  $[P(y|x)]$  Symmetric



$$I(X; Y) = H(Y) - H(Y|X) = H(Y) - H(\underline{z})$$

$$\leq \log |Y| - H(\underline{z}) \therefore C = \log |Y| - H(\underline{z}) \text{ Natural}$$

By picking X uniform, Y is uniform

Eg:  $P(y|x) = \begin{bmatrix} \frac{1}{3} & \frac{1}{6} & \frac{1}{2} \\ \frac{1}{3} & \frac{1}{2} & \frac{1}{6} \end{bmatrix} \Rightarrow$  weakly symmetric channel  
 $\underline{z} \rightarrow$  row vector in  $P(y|x)$

Similarly  $I(X; Y) = H(Y) - H(Y|X) = H(Y) - H(\underline{z})$   
 $\leq \log |Z| - H(\frac{1}{2}, \frac{1}{3}, \frac{1}{6})$  WHY?

$$C = \log |Z| - H(\frac{1}{2}, \frac{1}{3}, \frac{1}{6})$$

achievable by picking  $X$  to be uniform.

$\Rightarrow Y$  is uniform.

(as column sum is same)

$$P(Y=y) = \sum_x P(X=x) P(Y=y|X=x)$$

$$= \sum_x \frac{1}{|X|} P(Y=y|X=x)$$

$$= \frac{C_y}{|X|} = \frac{C_y}{|X|} = P(Y=y)$$

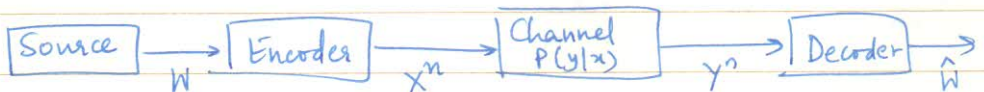
$$= \frac{1}{|Y|}$$

$C_y |Y| = |X|$   
 By summing up all elements in  $P(y|x)$  columnwise & rowwise

Proposition:  $C = \max_{P(X)} I(X; Y)$

$\rightarrow C \geq 0$ ;  $\rightarrow C \leq \log |X|$ ;  $\rightarrow C \leq \log |Y|$

### Setting of Channel Coding Theorem:



$w \in \{1, 2, \dots, M\}$  one of the  $M$  messages

$X^n(w) = x_1(w), x_2(w), \dots, x_M(w)$  (notation)

Channel:  $P_{y^n|x^n}(y^n|x^n)$  (characterization)

Discrete channel:  $X, Y$  finite

Defn: The  $n$ -th extension of the discrete memoryless channel (DMC) is the channel

$(X^n, P(y^n|x^n), Y)$  where

$$P(y_k|x^k y^{k-1}) = P(y_k|x_k) \quad \text{--- (1)} \quad k=1, 2, \dots, n$$

If the DMC is used without feedback

$$P(y^n|x^n) = \prod_{i=1}^n P(y_i|x_i) \quad \text{--- (2)}$$

unless otherwise specified, we will assume DMC w/o feedback  
ie, assume (a) holds.

Defn: An  $(M, n)$  code for channel  $(X, P(y|x), Y)$  consists of  
 a) an index set  $\{1, 2, \dots, M\}$  b) Encoding function  
 $X^n: \{1, 2, \dots, M\} \rightarrow X^n$  yielding codewords  
 $\{x^n(1), x^n(2), \dots, x^n(M)\}$ . The set of codewords is  
 called the codebook. c) A decoding function:  
 $g: Y^n \rightarrow \{1, 2, \dots, M\}$  which is a deterministic  
 rule assigning a guess for each possible  $Y^n$ .

ERROR PROB (definition) Conditional probability of error  
 let  $\lambda_i = P_x(g(Y^n) \neq i | X^n = x^n(i))$   
 = Conditional probability of error given  $i$ th  
 codeword was transmitted.

Defn:  $\lambda^{(n)} = \max_{i \in [M]} \lambda_i$   $[M] = \{1, 2, \dots, M\}$

(maximum probability of error for an  $(M, n)$  code)

Defn:  $P_c^{(n)} = \frac{1}{M} \sum_{i=1}^M \lambda_i = \left\{ \begin{array}{l} \text{average probability} \\ \text{of errors.} \end{array} \right.$

(note: if all codewords are used with equal likelihood,  
 then  $P_c^{(n)}$  is the (overall) probability of error).

clearly  $P_c^{(n)} \leq \lambda^{(n)}$

Rate of Code =  $\frac{\log M}{n}$ , A rate  $R$  is said to be  
 achievable over the  $n$  channel if  $\exists$  a sequence of  $(\lfloor 2^{nR} \rfloor, n)$   
 codes with  $\lambda^{(n)} \rightarrow 0$  as  $n \rightarrow \infty$

$C = \sup \{ \text{achievable rate} \}$

operational  
 capacity