

Visible Light Wireless Communications

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Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

- 1 Introduction
- 2 LEDs and photo diodes
- 3 VLC characteristics
- 4 MIMO, OFDM, QCM, DCM in VLC
- 5 VLC with lighting constraints
- 6 Outdoor VLC, VLC attocells
- 7 Concluding remarks

Introduction

LEDs and photo diodes

VLC characteristics

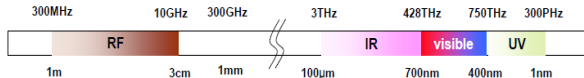
MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- Optical wireless communication (OWC)
 - promising complementary technology for RF communication (RFC) technology
 - information conveyed via **optical radiation in free space**
 - wavelengths of interest
 - infrared to ultraviolet
 - includes **visible light** wavelengths (380 to 780 nm)



Source: www.ieee802.org/15

- Visible light communication (VLC)
 - **communications using visible light spectrum**
 - abundant VLC spectrum (**~ 300 THz bandwidth**)
 - **multi-gigabit rates** over short distances
 - **LEDs as transmitters** and **photo diodes (PD) as receivers**

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- **Pros**

- low power, low cost devices (LEDs, PDs)
- **no spectrum cost**
- no RF radiation issues
- inherent security in closed-room applications
- simultaneous data transmission and **lighting**
 - **VLC technology rides along with efficient white LED lighting technology**
- **MIMO and OFDM techniques**
 - improve spectral efficiency and performance

- **Cons**

- channel itself!
 - ambient light/interference from other light sources
 - alignment between Tx and Rx
 - scattering and multipath dispersion (ISI)
- no/low mobility

Introduction

LEDs and photo diodes

VLC characteristics

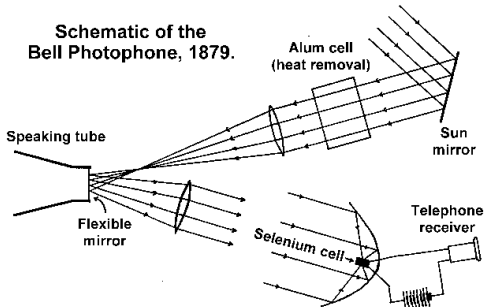
MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- 1879: 'photophone' by Alexander G. Bell (Patented Dec. 14, 1880. Filing date: Sep. 25, 1880. Patent No. US235496 A. Title: Photophone-Transmitter)
 - Analog voice transceiver
 - Transmitter: a mirror controls the amount of light reflected from a source
 - Receiver: a photocell connected to a speaker



Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- 1980
 - infrared remote controls (analog)
- 1993
 - infrared data transfer in mobiles, laptops, etc.
 - standards body: IrDA (9.6-128 Kbps).
- IEEE 802.15c
 - low power, high data rate systems in satellites, portable devices, etc.
- VLCC: Visible Light Communication Consortium
- VLC for home networks
 - hOME Gigabit Access (OMEGA) project
- IEEE 802.15.7
 - VLC PHY, up to 96 Mbps
- LiFi and attocells

VLC implementations/applications

Introduction

LEDs and photo diodes

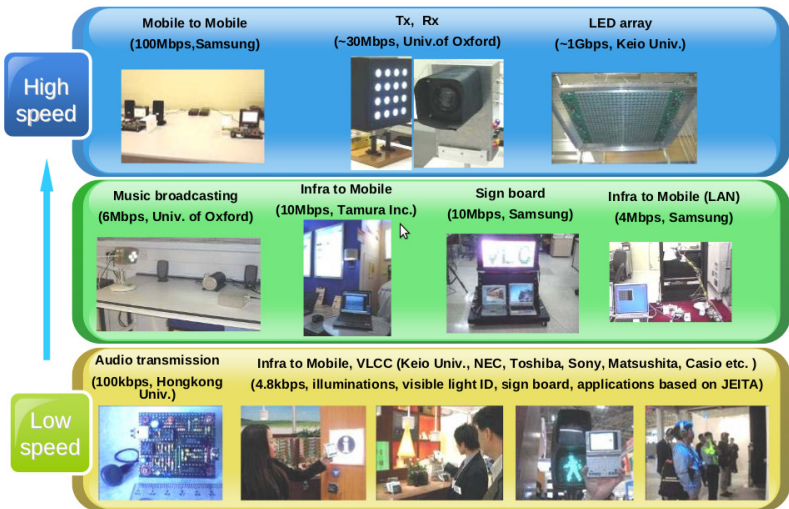
VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks



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VLC implementations/applications

Introduction

LEDs and
photo diodes

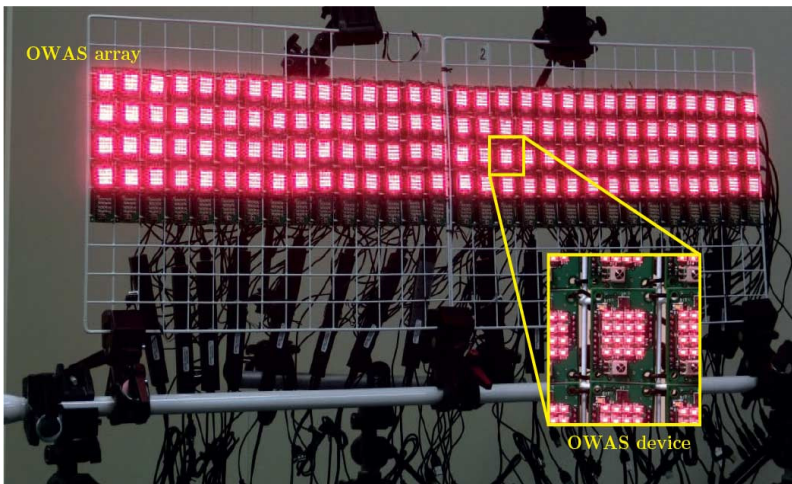
VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks



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VLC implementations/applications

Introduction

LEDs and photo diodes

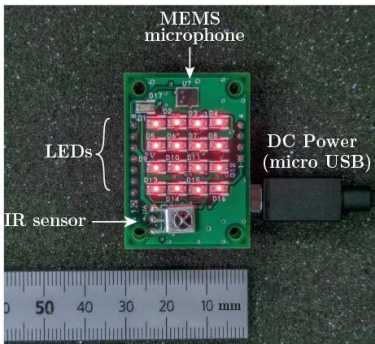
VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

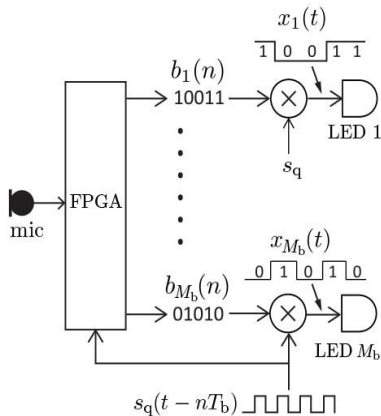
VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks



(a)



(b)

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VLC implementations/applications

Introduction

LEDs and photo diodes

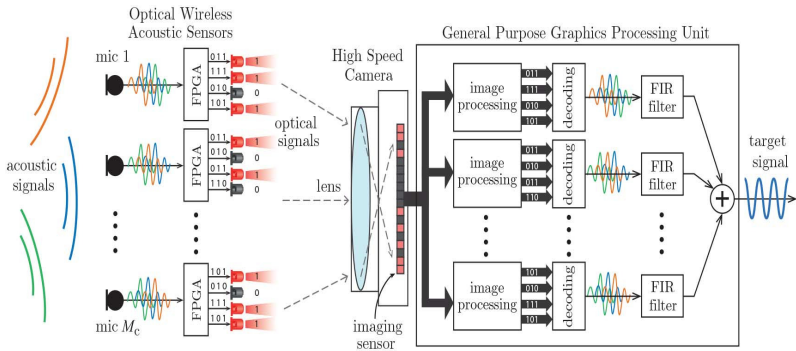
VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks



G. P. Nava, H. D. Nguyen, Y. Kamamoto, T. G. Sato, Y. Shiraki, N. Harada, and T. Moriya, "A high-speed camera-based approach to massive sound sensing with optical wireless acoustic sensors," *IEEE Trans. on Computational Imaging*, vol. 1, no. 2, pp. 126-136, Jun. 2015.

Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

LEDs and photo diodes

Why LEDs?

Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

- Efficient **lighting** using white LEDs

Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

- Efficient lighting using white LEDs
- **Lumen**: SI unit of luminous flux (luminous power)
 - measure of the quantity of visible light emitted by a source
 - example LED specs: 5 lumens, 90 lumens, 160 lumens

Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

- Efficient lighting using white LEDs
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 - measure of the quantity of visible light emitted by a source
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Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

- Efficient lighting using white LEDs
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Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

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Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

- Efficient lighting using white LEDs
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Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

- Efficient lighting using white LEDs
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Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

- Efficient lighting using white LEDs
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Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

- Efficient lighting using white LEDs
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Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

- Efficient lighting using white LEDs
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Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

- Efficient lighting using white LEDs
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Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

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Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

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 - examples commercial white LED spec: **90 lm/W**, **120 lm/W**

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- Target for 2020: 200 lm/W
 - claimed to have been breached! **208 lm/W LED** (prototype)

Introduction

LEDs and photo diodes

VLC characteristics

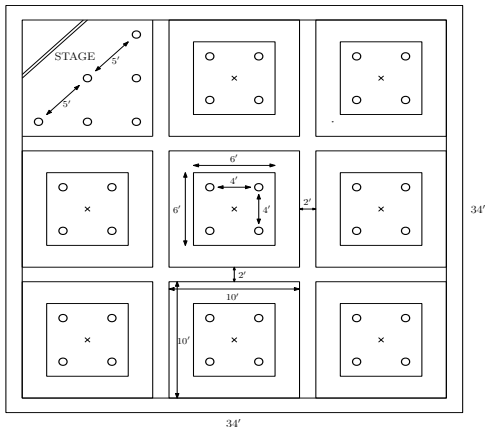
MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- Lighting arrangement in Golden Jubilee Seminar Hall, ECE



- Off-stage
 - 32 bulbs (20 W bulbs previously; now replaced with 5 W LED bulbs)
- On-stage
 - 6 bulbs (60 W bulbs previously; now replaced with 18 W LED bulbs)

• Luminous intensity (LI):

- Luminous power radiated by a point light source in a particular direction **per unit solid angle**
- SI unit of LI: Candela (Lumens/Steradian); cd (lm/sr)

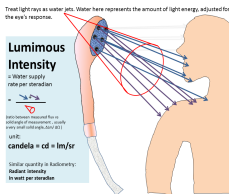


Image source: Wikipedia

- Solid angle (in steradians) of a cone with apex angle θ (in degrees) = $2\pi(1 - \cos \frac{\theta^\circ}{2})$, i.e., $\text{cd} = \text{lm}/(2\pi(1 - \cos \frac{\theta^\circ}{2}))$
- **Examples of white LED spec:**
 - Luminous flux = 90 lm; luminous intensity = 59 cd
 $\Rightarrow \theta = 81.5^\circ$ (viewing angle at 50% power; half-power angle)
 - Luminous intensity = 59 cd; $\theta = 55^\circ$
 \Rightarrow **Luminous flux = 41.8 lm**
 - luminous intensity = 11200 mcd (11.2 cd); $\theta = 45^\circ$
 \Rightarrow **Luminous flux = 5.35 lm**

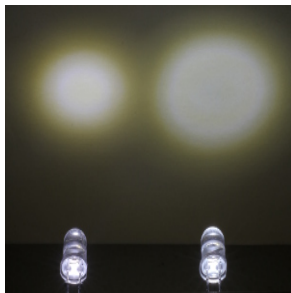
Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

- Luminous intensity (LI):
 - Two LEDs with same luminous flux of 0.2 lumens
 - Left LED's solid angle is 15° . $\implies LI = 3.7 \text{ cd}$
 - Right LED's solid angle is 30° . $\implies LI = 0.9 \text{ cd}$
 - Left LED produces a smaller, brighter spot



(a)

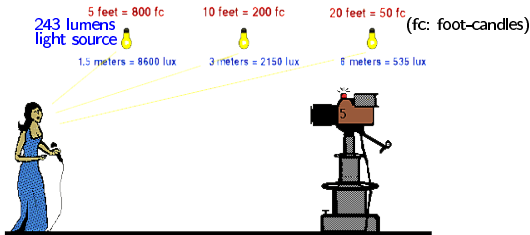


(b)

Image source: Internet

- **Illuminance:**

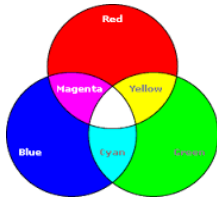
- measure of how much **luminous power** is incident on a **given area**
- **brightness:** subjective impression of illuminance
- SI unit of illuminance: **Lux (lx)**
- Lux: Lumens per square meter (lm/m^2)
- illuminance varies inversely with square of the distance from the source in free-space line of sight
 - Luminous flux (lumens) = Illuminance (lx) $\times 4\pi r^2$
(r : distance from source in meters)



Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

- Color temperature:
 - different shades of white



- 'yellowish white' (warm white): 2700° K
- 'bluish white' (cool white): 6000° K



Image source: Internet

- **Color rendering index (CRI):**
 - a measure of a light source's ability to show object colors 'realistically' (or 'naturally') compared to a familiar reference source, either incandescent light or daylight
 - **Max. value is 100**
 - Lower CRI values
 - ⇒ some colors may appear unnatural when illuminated by the light source (LED) in question
 - Example CRI values:
 - 70-80 (cool LED); 80-90 (warm/neutral LED)

- **Switching speed (rise/fall times):**
 - **typ. tens of nsec**
 - switch LED for the following reasons:
 - to meet **illumination constraints (dimming)**
 - consider human eye's response characteristics
 - to achieve **data communication**
 - consider photo detector's response characteristics
 - to achieve both **dimming control and communication simultaneously**

Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

- White LED spectrum:

- Emitted wavelengths of a white LED include peaks in **blue** (450-470 nm) and **yellow** (570-590 nm) regions (**solid curve**)
- Interpreted as white light by the human eye
 - Relative light sensitivity of human eye is shown (**dotted curve**)

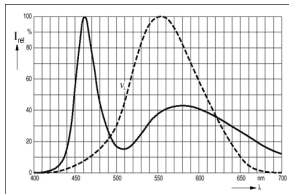


Image source: Internet

- Half-power semi-angle, $\Phi_{\frac{1}{2}}$:

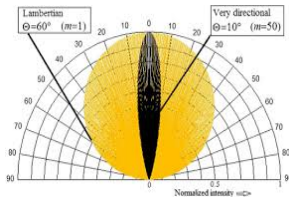
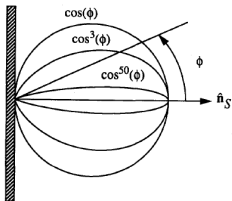


Image source: Internet

Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

$$R(\phi) = \frac{n+1}{2\pi} P_S \cos^n(\phi) \quad \text{for } \phi \in \left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$$

Generalized Lambertian radiation pattern of LED

- n is the mode number of the radiating lobe given by

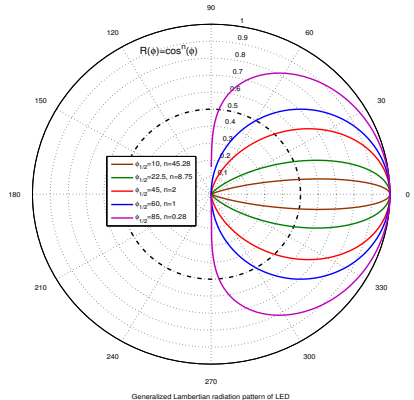
$$n = \frac{-\ln(2)}{\ln \cos \Phi_{\frac{1}{2}}}, \quad \Phi_{\frac{1}{2}} \text{ is half-power semi-angle}$$

- Mode number specifies the directionality of the source
 - larger the mode number, higher is the directionality
 - $n = 1$ corresponds to a traditional Lambertian source

Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

- Generalized Lambertian radiation pattern



Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

- Flicker

- Fluctuation of the brightness of light (as perceived by human eye)
- LEDs are switched for the purposes of
 - ① communication (using intensity modulation, e.g., OOK/PAM)
 - ② dimming control (e.g., PWM)
- Human eye won't perceive flicker frequency > 200 Hz
- No perceived flicker as long as the signaling rate is > 200 Hz (i.e., one signaling interval < 5 ms)
- Communication signaling rates are often much higher than 200 Hz
- So VLC using intensity modulation is not a major source of flicker

Introduction

LEDs and
photo diodes

VLC
characteristics

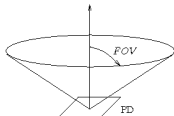
MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

- Photo diode
 - Semiconductor (e.g., Si, Ge) device that converts light into current (may contain optical filters, built-in lenses)
- Key specifications
 - **Responsivity**: Amperes/Watt
 - ratio of the generated photo current to incident light power
 - **Response/rise time (t_r)**:
 - determined by resistance and capacitance of the photo diode and external circuitry (typ. tens of nsec)
 - **determines the bandwidth available for signal modulation (f_{bw}) and thus data transmission**
 - **Modulation signal bandwidth**:
 - $f_{bw} = \frac{0.35}{t_r}$; e.g., $t_r = 50$ ns $\Rightarrow f_{bw} = 7$ MHz
 - **Field of view (FOV)**: angle (e.g., 85°)
 - only the rays coming within FOV create response



Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

VLC characteristics

Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

- **RF communication**
 - Transmitter
 - Tx RF chain (up converter, power amplifier), Tx antenna
 - Receiver
 - Rx antenna, Rx RF chain (low noise amplifier, down converter)
- **VLC**
 - Transmitter
 - LED
 - Tx data by intensity modulating (IM) the LED
 - Receiver
 - Photo detector
 - Rx data by direct detection (DD)
 - LEDs/PDs with fast switching times
 - rise and fall times typ. tens of nsec

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- VLC Tx-Rx



Introduction

LEDs and photo diodes

VLC characteristics

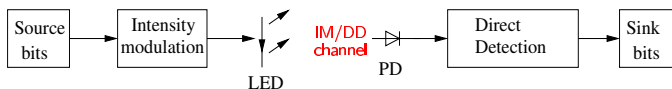
MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

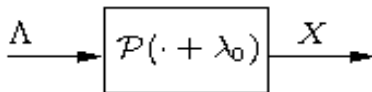
- VLC Tx-Rx



- IM/DD channel

- Modeled using Poisson processes to account for the quantum nature of light
 - channel output (i.e., the detected number of photons) is a r. v. which has a Poisson distribution with parameter λ
 - λ corresponds to the expected received intensity level
- Signal independent noise
 - originates from background radiation from other light sources (day/ambient light, fluorescent lamps, etc.) and
 - electronics in the receiver (thermal noise)
- Signal dependent noise
 - high-brightness LEDs where the randomness in the signal itself can not be neglected

- **Poisson channel** (memoryless, discrete-time)
 - Derived from photon-counting (hence the Poisson nature)
 - Input: r.v $\Lambda \geq 0$
 - Output: discrete r.v X drawn from Poisson distribution with parameter $\Lambda + \lambda_0$, i.e., $X \sim \mathcal{P}(\Lambda + \lambda_0)$



- Non-negative term λ_0 :
 - a constant related to ambient light or thermal noise
- Conditional output probability of this channel is

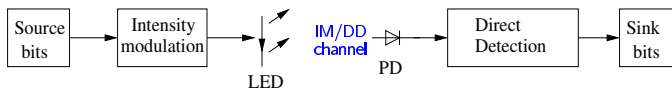
$$p(x|\lambda) = e^{-(\lambda+\lambda_0)} \frac{(\lambda + \lambda_0)^x}{x!}, \quad x \in \mathbb{N}, \quad \lambda \geq 0$$

- Distribution of r.v. $X \sim \mathcal{P}(\lambda)$ for large λ approaches a Gaussian distribution $\mathcal{N}(\lambda, \lambda)$

Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

- VLC Tx-Rx



- Baseband communication (no passband involved)
- Signaling: positive, real-valued tx. signals

D.C.O'Brien *et al*, "Visible light communications: challenges and possibilities", *IEEE PIMRC'2008*.

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

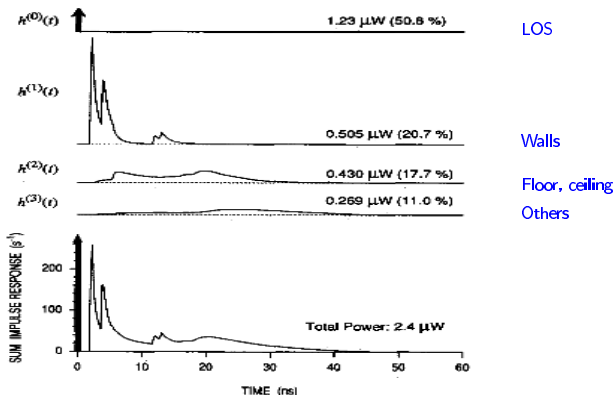
Outdoor VLC, VLC attocells

Concluding remarks

- CIR between source \mathcal{S} and receiver \mathcal{R} at time t is given by

$$h(t; \mathcal{S}, \mathcal{R}) = \sum_{k=0}^{\infty} h^{(k)}(t; \mathcal{S}, \mathcal{R})$$

$h^{(k)}(t)$: response of light undergoing exactly k reflections

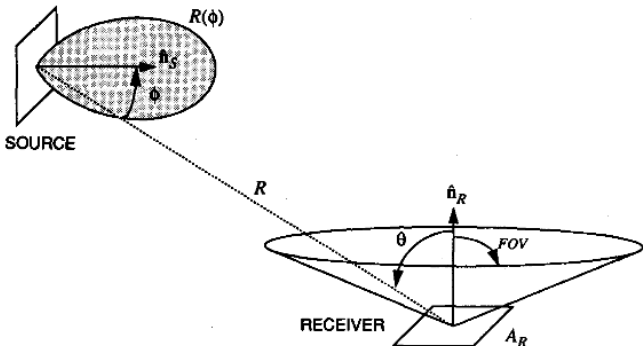


Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

- h_{ij} : LOS channel gain between j th LED and i th PD is

$$h_{ij} = \frac{n+1}{2\pi} \cos^n \phi \cos \theta \frac{A}{R^2} \text{rect}\left(\frac{\theta}{FOV}\right)$$



Geometry of LED source and photo detector

Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

MIMO in VLC

Introduction

LEDs and
photo diodes

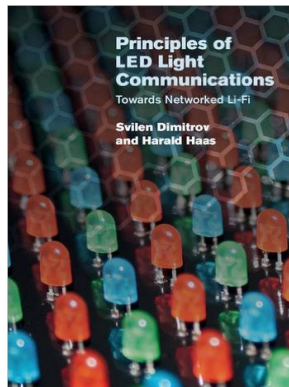
VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

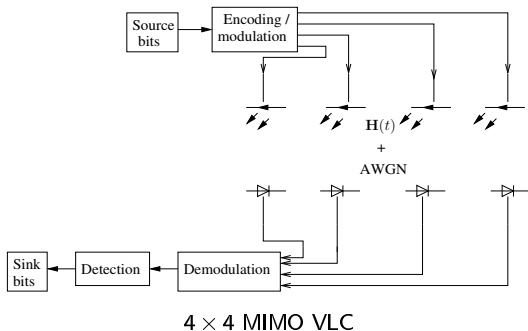
Concluding
remarks



Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

- Multiple LEDs and PDs
- N_t : no. of LEDs at Tx; N_r : no. of PDs at Rx



- Advantages
 - high data rates (N_t symbols per channel use)
 - gives MIMO gains even under LOS conditions
 - induced power imbalance at Tx LEDs helps

A typical indoor VLC configuration

Introduction

LEDs and photo diodes

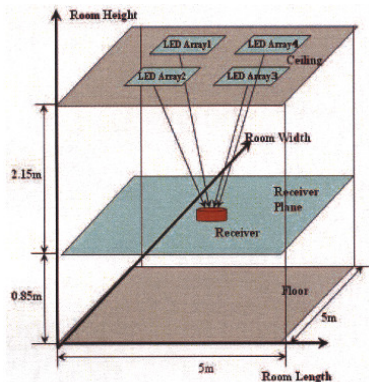
VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

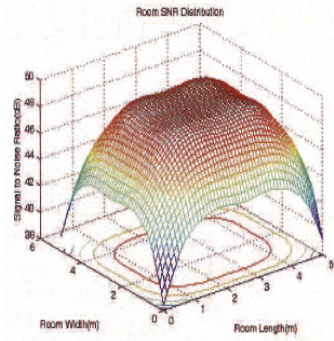
VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks



(g) Typical indoor VLC configuration



(h) SNR as a function of receiver position

D.C.O'Brien *et al*, "Visible light communications: challenges and possibilities", *IEEE PIMRC'2008*.

Introduction

LEDs and photo diodes

VLC characteristics

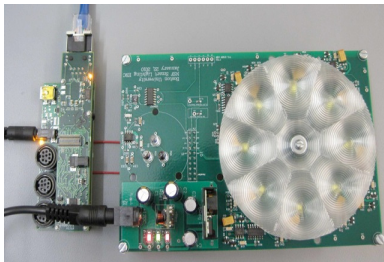
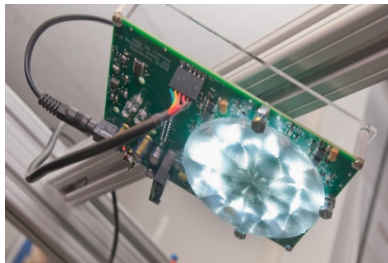
MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- 8×8 MIMO VLC system



Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- 48-LED array



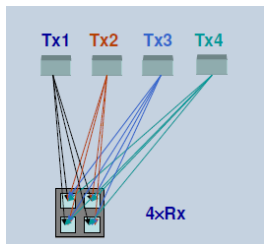
Source: Internet

Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

- N_t LEDs (transmitter)
- N_r photo detectors (receiver)
- \mathbf{H} denotes the $N_r \times N_t$ VLC MIMO channel matrix

$$\mathbf{H} = \begin{bmatrix} h_{11} & h_{12} & h_{13} & \cdots & h_{1N_t} \\ h_{21} & h_{22} & h_{23} & \cdots & h_{2N_t} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ h_{N_r,1} & h_{N_r,2} & h_{N_r,3} & \cdots & h_{N_r,N_t} \end{bmatrix}$$



MIMO channel between LEDs and PDs

Example VLC channel matrices

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- Channel matrix for $d_{tx} = 1m$
 - Channel gain: High
 - Channel correlation: High

$$\mathbf{H}_{d_{tx}=1m} = \begin{bmatrix} 0.5600 & 0.5393 & 0.5196 & 0.5393 \\ 0.5393 & 0.5600 & 0.5393 & 0.5196 \\ 0.5196 & 0.5393 & 0.5600 & 0.5393 \\ 0.5393 & 0.5196 & 0.5393 & 0.5600 \end{bmatrix} \times 10^{-5}$$

- Channel matrix for $d_{tx} = 4m$
 - Channel gain: Low
 - Channel correlation: Low

$$\mathbf{H}_{d_{tx}=4m} = \begin{bmatrix} 0.9947 & 0.9337 & 0.8782 & 0.9337 \\ 0.9337 & 0.9947 & 0.9337 & 0.8782 \\ 0.8782 & 0.9337 & 0.9947 & 0.9337 \\ 0.9337 & 0.8782 & 0.9337 & 0.9947 \end{bmatrix} \times 10^{-6}$$

Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

- Transmit signals in VLC must be
 - **positive real-valued** for intensity modulation of LEDs
- Approaches
 - OOK
 - M -PAM with positive signal points
 - M -QAM/ M -PSK with Hermitian symmetry
 - **SSK** and **spatial modulation** using multiple LEDs
 - **QCM, DCM** (Quad-/Dual-LED complex modulation)

T. Fath and H. Haas, "Performance comparison of MIMO techniques for optical wireless communications in indoor environments," *IEEE Trans. Commun.*, vol. 61, no. 2, pp. 733-742, Feb. 2013.

S. P. Alaka, T. Lakshmi Narasimhan, and A. Chockalingam, "Generalized spatial modulation in indoor wireless visible light communication," *IEEE GLOBECOM'2015*, San Diego, USA, Dec. 2015.

R. Tejaswi, T. Lakshmi Narasimhan, A. Chockalingam, "Quad-LED complex modulation (QCM) for visible light wireless communications" *IEEE WCNC'16 Workshop on Opt. Wireless Commun.*, Apr. 2016.

Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

- Spatial multiplexing (SMP)
 - N_t LEDs and N_r PDs
 - At any given time, all LEDs are ON
 - $\eta_{smp} = N_t \log_2 M$ bpcu
- Spatial modulation (SM)
 - At any given time, any one LED is ON
 - Other $N_t - 1$ LEDs are OFF
 - $\eta_{sm} = \lfloor \log_2 N_t \rfloor + \log_2 M$ bpcu
- Space shift keying (SSK)
 - Special case of SM
 - Only index of active LED conveys information
 - $\eta_{ssk} = \lfloor \log_2 N_t \rfloor$ bpcu

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- Generalized space shift keying (GSSK)

- Generalization of SSK
- $N_a \leq N_t$ active LEDs
- $\eta_{gssk} = \lfloor \log_2 \binom{N_t}{N_a} \rfloor$ bpcu

- Generalized spatial modulation (GSM)

- Generalization of SM
- $N_a \leq N_t$ active LEDs
- $\eta_{gsm} = \lfloor \log_2 \binom{N_t}{N_a} \rfloor + N_a \lfloor \log_2 M \rfloor$ bpcu

T. Fath and H. Haas, "Performance comparison of MIMO techniques for optical wireless communications in indoor environments," *IEEE Trans. Commun.*, vol. 61, no. 2, pp. 733-742, Feb. 2013.

S. P. Alaka, T. Lakshmi Narasimhan, and A. Chockalingam, "Generalized spatial modulation in indoor wireless visible light communication," *IEEE GLOBECOM'2015*, San Diego, USA, Dec. 2015.

- Each active LED emits an M -ary intensity modulation symbol $I_m \in \mathbb{M}$
 - \mathbb{M} : set of all possible intensity levels given by

$$I_m = \frac{2I_{pm}}{M+1}, \quad m = 1, 2, \dots, M, \quad M = |\mathbb{M}|$$

- \mathbf{x} : $N_t \times 1$ transmit signal vector; $x_i \in \{\mathbb{M} \cup 0\}$
- \mathbf{n} : $N_r \times 1$ noise vector at the receiver; $n_i \sim \mathcal{N}(0, \sigma^2)$
- \mathbf{y} : $N_r \times 1$ received signal vector at the receiver

$$\mathbf{y} = \mathbf{a}\mathbf{H}\mathbf{x} + \mathbf{n}$$

\mathbf{a} : responsivity of the PD (amp/Watt)

- Average received SNR

$$\bar{\gamma} = \frac{a^2 P_r^2}{\sigma^2}, \quad P_r^2 = \frac{1}{N_r} \sum_{i=1}^{N_r} \mathbb{E}[|\mathbf{h}_i \mathbf{x}|^2]$$

\mathbf{h}_i : i th row of \mathbf{H}

GSM-MIMO in VLC

Introduction

LEDs and photo diodes

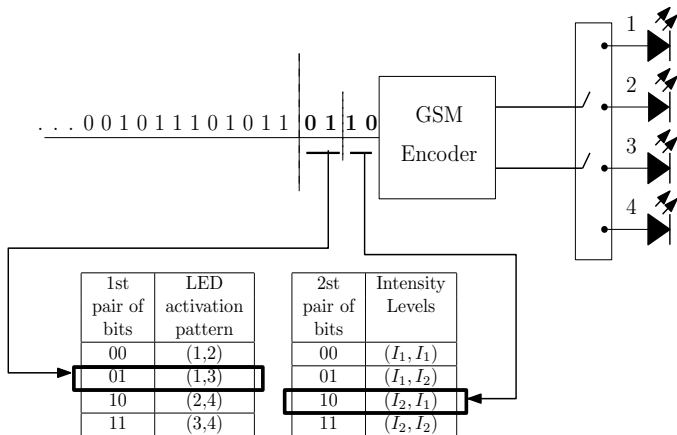
VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks



GSM-MIMO transmitter for VLC system with $N_t = 4, N_a = 2, M = 2$

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- Intensity levels are $I_1 = \frac{2}{3}$ and $I_2 = \frac{4}{3}$
- We need **only 4 activation patterns** out of $\binom{N_t}{N_a} = \binom{4}{2} = 6$ possible activation patterns
- So the GSM signal set for this example can be chosen as follows:

$$S_{N_t, M}^{N_a} = S_{4,2}^2 = \left\{ \begin{array}{l} \begin{bmatrix} \frac{2}{3} \\ \frac{2}{3} \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} \frac{2}{3} \\ \frac{4}{3} \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} \frac{4}{3} \\ \frac{2}{3} \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} \frac{4}{3} \\ \frac{4}{3} \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \frac{2}{3} \\ \frac{2}{3} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \frac{2}{3} \\ \frac{4}{3} \\ 0 \end{bmatrix}, \begin{bmatrix} \frac{4}{3} \\ 0 \\ \frac{2}{3} \\ 0 \end{bmatrix}, \begin{bmatrix} \frac{4}{3} \\ 0 \\ \frac{4}{3} \\ 0 \end{bmatrix}, \\ \begin{bmatrix} 0 \\ \frac{2}{3} \\ 0 \\ \frac{2}{3} \end{bmatrix}, \begin{bmatrix} 0 \\ \frac{2}{3} \\ 0 \\ \frac{4}{3} \end{bmatrix}, \begin{bmatrix} 0 \\ \frac{4}{3} \\ 0 \\ \frac{2}{3} \end{bmatrix}, \begin{bmatrix} 0 \\ \frac{4}{3} \\ 0 \\ \frac{4}{3} \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ \frac{2}{3} \\ \frac{2}{3} \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ \frac{2}{3} \\ \frac{4}{3} \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ \frac{4}{3} \\ \frac{2}{3} \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ \frac{4}{3} \\ \frac{4}{3} \end{bmatrix} \end{array} \right\}$$

Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

Maximum likelihood (ML) detection rule is

$$\hat{\mathbf{x}} = \underset{\mathbf{x} \in \mathbb{S}_{N_t, M}^{N_a}}{\operatorname{argmin}} \left(\frac{a}{\sigma} \|\mathbf{H}\mathbf{x}\|^2 - 2\mathbf{y}^T \mathbf{H}\mathbf{x} \right)$$

Pairwise error probability (PEP) is

$$PEP_{gsm} = Q \left(\frac{a}{2\sigma} \|\mathbf{H}(\mathbf{x}_2 - \mathbf{x}_1)\| \right)$$

Define $L \triangleq |\mathbb{S}_{N_t, M}^{N_a}|$. An upper bound on the BER for ML detection can be obtained using union bound as

$$\begin{aligned} BER_{gsm} &\leq \frac{1}{L} \sum_{i=1}^L \sum_{j=1, i \neq j}^{L-1} PEP(\mathbf{x}_i \rightarrow \mathbf{x}_j | \mathbf{H}) \frac{d_H(\mathbf{x}_i, \mathbf{x}_j)}{\eta_{gsm}} \\ &= \frac{1}{L} \sum_{i=1}^L \sum_{j=1, i \neq j}^{L-1} Q \left(\frac{r}{2\sigma} \|\mathbf{H}(\mathbf{x}_j - \mathbf{x}_i)\| \right) \frac{d_H(\mathbf{x}_i, \mathbf{x}_j)}{\eta_{gsm}} \end{aligned}$$

where $d_H(\mathbf{x}_i, \mathbf{x}_j)$ is the Hamming distance between the bit mappings corresponding to the signal vectors \mathbf{x}_i and \mathbf{x}_j

Indoor VLC - A typical geometric set-up

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

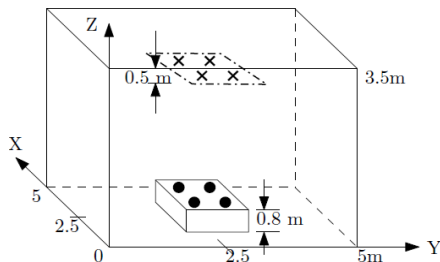
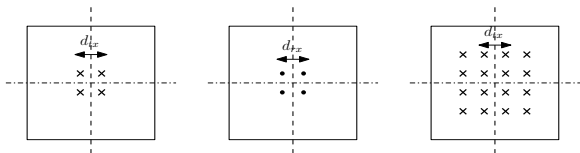


Figure : Geometric set-up of a typical indoor VLC system
(\times denotes an LED and \bullet denotes a PD)



(a) $T_x, N_t = 4$ (b) $R_x, N_r = 4$ (c) $T_x, N_t = 16$

Placement of LEDs and PDs

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

Room	Length (X)	5m
	Width (Y)	5m
	Height (Z)	3.5m
Transmitter	Height from the floor	3m
	Elevation	-90°
	Azimuth	0°
	$\Phi_{1/2}$	60°
	Mode number, n	1
	d_{tx}	0.6m
Receiver	Height from the floor	0.8m
	Elevation	90°
	Azimuth	0°
	Responsivity, a	0.75 Ampere/Watt
	FOV	85°
	d_{rx}	0.1m

Introduction

LEDs and photo diodes

VLC characteristics

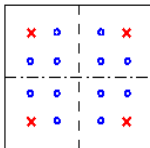
MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

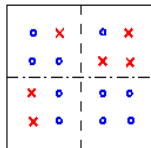
Outdoor VLC, VLC attocells

Concluding remarks

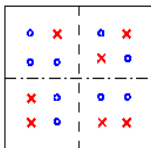
- LED placements in a 4×4 square grid
- Different GSM configurations for $\eta = 8$ bpcu, 5 bpcu



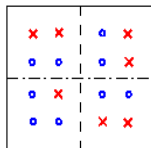
(d) GSM, 8 bpcu
 $N_t=4, N_a=2, M=8$



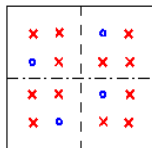
(e) GSM, 5 bpcu
 $N_t=6, N_a=2, M=2$



(f) GSM, 8 bpcu
 $N_t=7, N_a=2, M=4$



(g) GSM, 8 bpcu
 $N_t=7, N_a=3, M=2$



(h) GSM, 8 bpcu
 $N_t=12, N_a=2, M=2$

× indicates the presence of an LED. ○ indicates the absence of LED.

- Comparison of analytical upper bound and simulated BERs

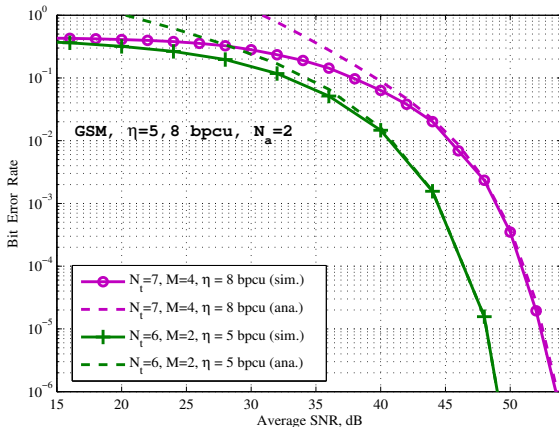


Figure : GSM with $N_t = 6, 7$, $N_a = 2$, $M = 2, 4$, $\eta_{gsm} = 5, 8$ bpcu.

- Performance of different GSM configurations for fixed $\eta = 8$ bpcu

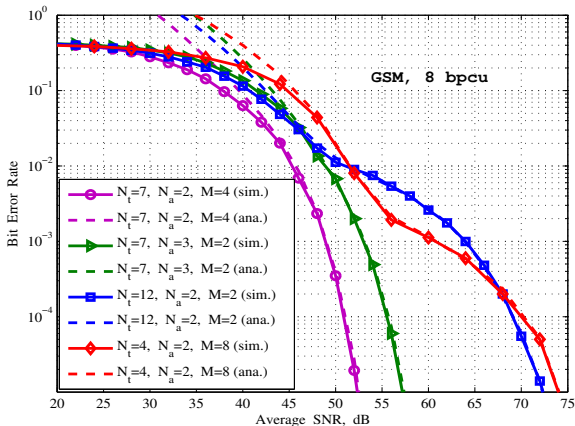


Figure : Comparison of the BER performance of different configurations of GSM with $\eta_{gsm} = 8$ bpcu, $N_r = 4$.

Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

- Optimum placement of LEDs

- The minimum Euclidean distance between any two GSM signal vectors \mathbf{x}_1 and \mathbf{x}_2 transmitted through \mathbf{H} is given by

$$d_{\mathbf{H},min} \triangleq \min_{\mathbf{x}_1, \mathbf{x}_2 \in \mathbb{S}_{N_t, M}^{N_a}} \|\mathbf{H}(\mathbf{x}_2 - \mathbf{x}_1)\|^2$$

- Similarly, the average Euclidean distance between any two GSM signal vectors \mathbf{x}_1 and \mathbf{x}_2 transmitted through \mathbf{H} is

$$d_{\mathbf{H},avg} = \frac{1}{\binom{|\mathbb{S}_{N_t, M}^{N_a}|}{2}} \sum_{\mathbf{x}_1, \mathbf{x}_2 \in \mathbb{S}_{N_t, M}^{N_a}} \|\mathbf{H}(\mathbf{x}_2 - \mathbf{x}_1)\|^2$$

- Choose the placement of the LEDs at the transmitter such that $d_{\mathbf{H},min}$ and $d_{\mathbf{H},avg}$ are maximized over all possible placements

Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

System	GSM configuration	$d_{\mathbf{H},min}$	$d_{\mathbf{H},avg}$
1	$N_t = 4, N_a = 2, M = 8$	4.623×10^{-17}	4.520×10^{-11}
2	$N_t = 7, N_a = 2, M = 4$	1.977×10^{-14}	6.601×10^{-11}
3	$N_t = 7, N_a = 3, M = 2$	1.541×10^{-14}	6.003×10^{-11}
4	$N_t = 12, N_a = 2, M = 2$	1.346×10^{-16}	4.842×10^{-11}

Table : Values of $d_{\mathbf{H},min}$ and $d_{\mathbf{H},avg}$ for different GSM configurations with $\eta_{gsm} = 8$ bpcu.

- Configuration 2 has the largest $d_{\mathbf{H},min}$, $d_{\mathbf{H},avg}$ and hence the best BER performance

GSM performance for varying d_{tx}

- GSM performance as a function of d_{tx} for different SNRs

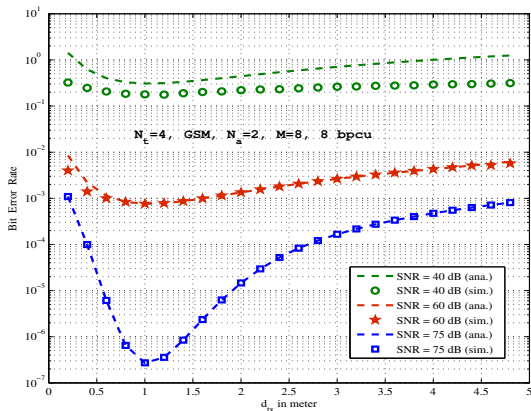


Figure : GSM with $N_t = 4$, $N_a = 2$, $M = 8$, $\eta_{gsm} = 8$ bpcu.

- Opposing effects of channel correlation and channel chains for increasing d_{tx} results in optimum d_{tx}

GSM vs other MIMO techniques

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

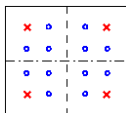
Outdoor VLC, VLC attocells

Concluding remarks

- SMP, GSSK, SM, and GSM with $\eta = 8$ bpcu

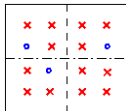
- **SMP:**

- $N_t = 4, N_a = 4, M = 4$



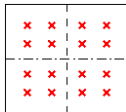
- **GSSK:**

- $N_t = 13, N_a = 3, M = 1$



- **SM:**

- $N_t = 16, N_a = 1, M = 16$



- **GSM:**

- $N_t = 7, N_a = 2, M = 4$



GSM vs other MIMO techniques

Introduction

LEDs and photo diodes

VLC characteristics

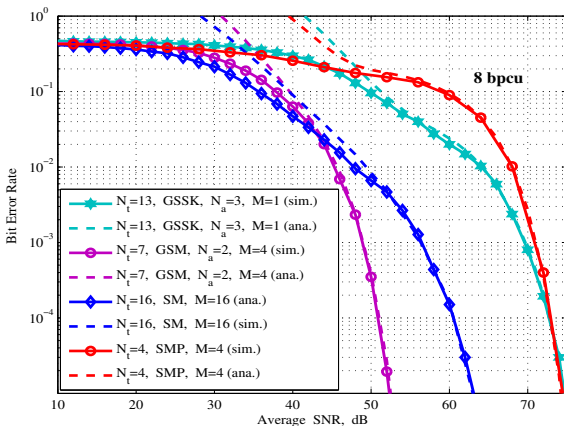
MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- Comparison of the BER performance of SMP, GSSK, SM, and GSM for the same $\eta = 8$ bpcu, $N_r = 4$



- For the same $\eta = 8$ bpcu, GSM performs better (by about 9 dB at 10^{-5} BER) compared to SMP, SSK, GSSK, SM

Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

OFDM in VLC

Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

- OFDM
 - Popular in wired and wireless RF communications
 - **Attractive in VLC as well**
- OFDM in RF communications
 - OFDM signals are in the complex domain
 - Signals can be bipolar
- OFDM in VLC
 - VLC transmit signal must be **real** and **positive**
 - Use **Hermitian symmetry** on information symbols before IFFT to obtain real signals
 - Perform bipolar or unipolar conversion
 - Achieves good performance (3 Gbps single-LED OFDM link has been reported)

J. Armstrong, "OFDM for optical communications," *J. Lightwave Tech.*, vol. 27, no. 3, pp. 89-204, Feb. 2009.

H. Elgala, R. Mesleh, H. Haas, and B. Pricope, "OFDM visible light wireless communication based on white LEDs," *Proc. IEEE VTC 2007-Spring*, pp. 2185-2189, Apr. 2007.

D. Tsonev *et al.*, "A 3-Gb/s single-LED OFDM-based wireless VLC link using a gallium nitride μ LED," *IEEE Photonics Tech. Lett.*, vol. 26, no. 7, pp. 637-640, Jan. 2014.

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

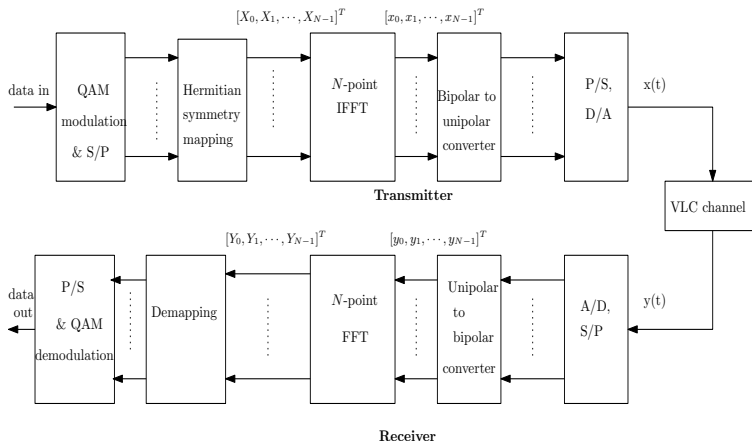


Figure : A general single-LED OFDM system model in VLC.

Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks


- Techniques to generate VLC compatible OFDM signals in the positive real domain:
 - DCO OFDM (DC-biased optical OFDM)
 - ACO OFDM (Asymmetrically clipped optical OFDM)
 - Flip OFDM
 - NDC OFDM (Non-DC-biased OFDM)
 - CI-NDC OFDM (Coded Index NDC OFDM)

O. Gonzalez *et al*, "OFDM over indoor wireless optical channel," *Proc. IEE Optoelectronics*, vol. 152, no. 4, pp. 199-204, Aug. 2005.

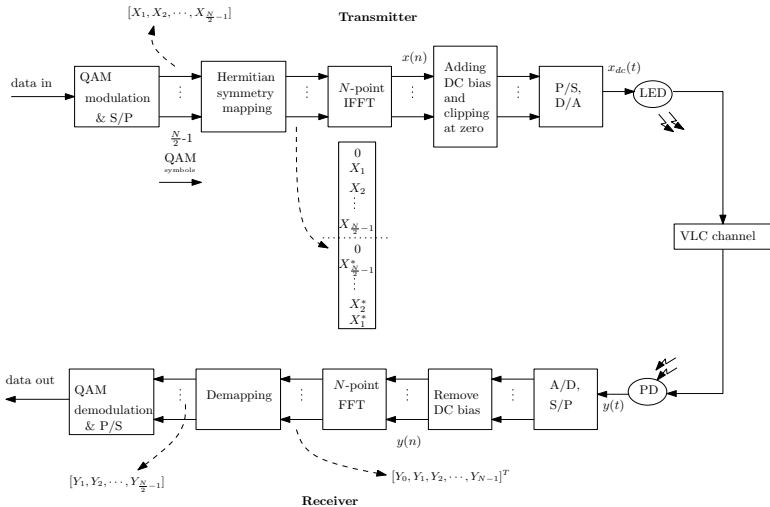
J. Armstrong and B. J. Schmidt, "Comparison of asymmetrically clipped optical OFDM and DC-biased optical OFDM in AWGN," *IEEE Commun. Letters*, vol. 12, no. 5, pp. 343-345, May 2008.

N. Fernando, Y. Hong, and E. Viterbo, "Flip-OFDM for unipolar communication systems," *IEEE Trans. Commun.*, vol. 60, no. 12, pp. 3726-3733, Aug. 2012.

Y. Li, D. Tsonev, and H. Haas, "Non-DC-biased OFDM with optical spatial modulation," *IEEE PIMRC 2013*, pp. 486-490, Sep. 2013.

S. P. Alaka, T. Lakshmi Narasimhan, and A. Chockalingam, "Coded index modulation for non-DC-biased OFDM in multiple LED visible light communication," *IEEE VTC'2016-Spring*, May 2016. 

Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

O. Gonzalez *et al*, "OFDM over indoor wireless optical channel," *Proc. IEEE Optoelectronics*, vol. 152, no. 4, pp. 199-204, Aug. 2005.

Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

- $\frac{N}{2} - 1$ QAM symbols are modulated per OFDM symbol
- The unipolar OFDM signal $x_{dc}(t)$ is given by

$$x_{dc}(t) = x(t) + B_{dc}$$

where $x(t)$ is the bipolar OFDM signal

- $B_{dc} = k\sqrt{\mathbb{E}\{x^2(t)\}}$; define this as a bias of $10 \log_{10}(k^2 + 1)$ dB
- The achieved rate in DCO OFDM is given by

$$\begin{aligned} \eta_{dco} &= \frac{\frac{N}{2} - 1}{N} \log_2 M \\ &\approx \frac{1}{2} \log_2 M \text{ bpcu, for large } N \end{aligned}$$

Introduction

LEDs and photo diodes

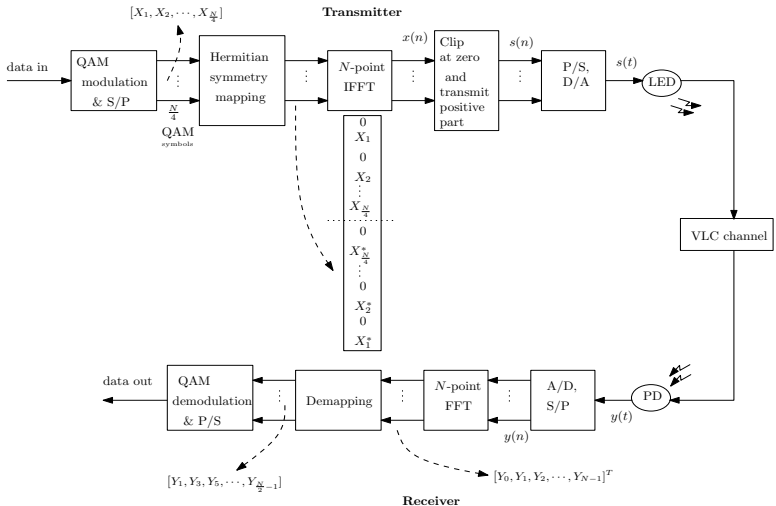
VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks



J. Armstrong and B. J. Schmidt, "Comparison of asymmetrically clipped optical OFDM and DC-biased optical OFDM in AWGN," *IEEE Commun. Letters*, vol. 12, no. 5, pp. 343-345, May 2008.

Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

- $\frac{N}{4}$ QAM symbols are modulated per OFDM symbol
- Only odd subcarriers are used to send information
- All even subcarriers are set to zero
- The unipolar OFDM signal is obtained by **clipping the negative signals at zero**
- The achieved data rate in ACO OFDM is given by

$$\eta_{aco} = \frac{1}{4} \log_2 M \text{ bpcu}$$

Introduction

LEDs and photo diodes

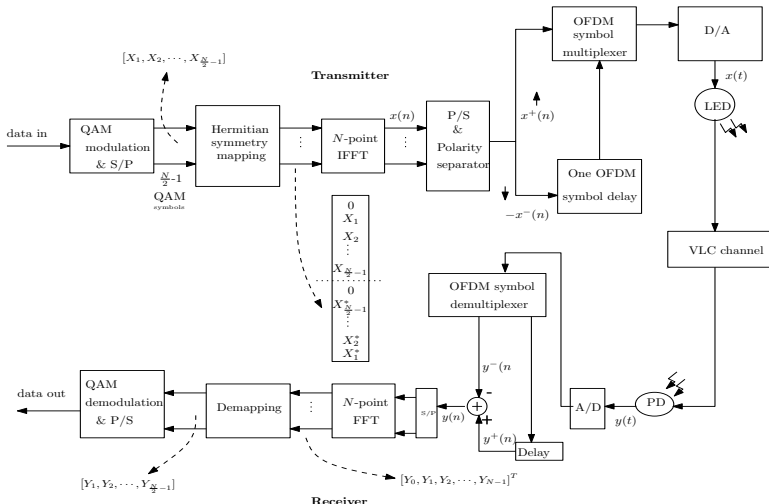
VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks



N. Fernando, Y. Hong, and E. Viterbo, "Flip-OFDM for unipolar communication systems," *IEEE Trans. Commun.*, vol. 60, no. 12, pp. 3726-3733, Aug. 2012.

- $\frac{N}{2} - 1$ QAM symbols are modulated per OFDM symbol
- The unipolar OFDM signal is obtained by **flipping the negative signals**
- **Two OFDM time slots** are used to send one OFDM symbol
- Positive parts are sent on the first slot
- Flipped negative parts are sent on the second slot
- The achieved data rate in flip OFDM is given by

$$\begin{aligned}\eta_{flip} &= \frac{\frac{N}{2} - 1}{2N} \log_2 M \\ &\approx \frac{1}{4} \log_2 M \text{ bpcu, for large } N\end{aligned}$$

DCO, ACO, flip OFDM performance

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

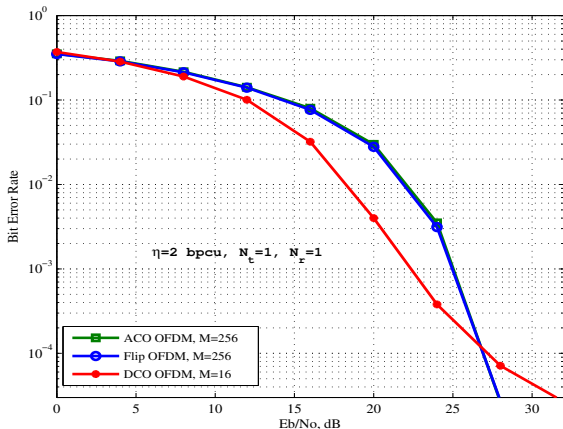


Figure : Comparison of the BER performance of ACO OFDM, flip OFDM, and DCO OFDM with 7dB bias for $\eta = 2$ bpcu, $N_t = N_r = 1$.

DCO OFDM performance for varying DC bias

- Optimum DC bias

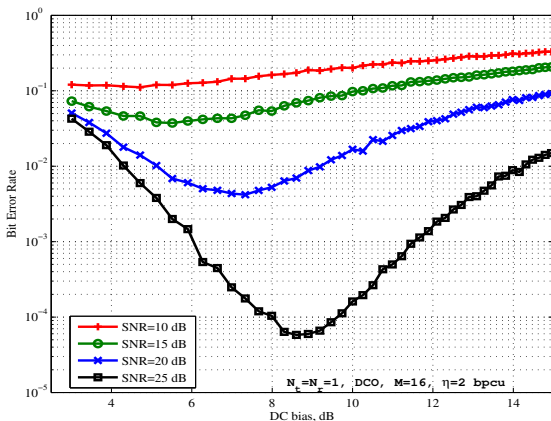


Figure : BER performance of DCO OFDM as a function of DC bias with $\eta = 2$ bpcu, $M = 16$, and $N_t = N_r = 1$, for SNR = 10, 15, 20, 25 dB.

Introduction

LEDs and photo diodes

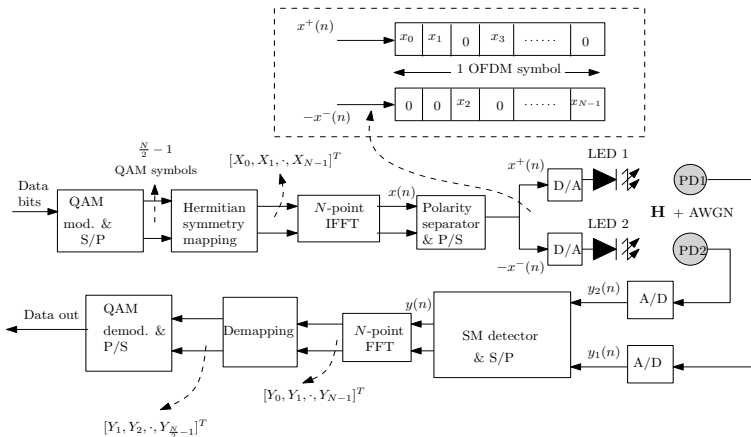
VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks



- $$\eta_{\text{ndc}} = \frac{N-1}{N} \log_2 M \approx \frac{1}{2} \log_2 M \text{ bpcu, for large } N$$

Y. Li, D. Tsonev, and H. Haas, "Non-DC-biased OFDM with optical spatial modulation," *IEEE PIMRC 2013*, pp. 486-490, Sep. 2013.

Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

- The detector output $y(n)$, $n = 0, 1, 2, \dots, N - 1$, is

$$|y(n)| = \max_{i=1,2} |z_i(n)|$$

$$\text{sign}\{y(n)\} = \begin{cases} +\text{ve}, & \text{if } \arg \max_{i=1,2} |z_i(n)| = 1 \\ -\text{ve}, & \text{if } \arg \max_{i=1,2} |z_i(n)| = 2, \end{cases}$$

where

$$\begin{bmatrix} z_1(n) \\ z_2(n) \end{bmatrix} = \begin{bmatrix} (\mathbf{h}_1^T \mathbf{h}_1)^{-1} \mathbf{h}_1^T \mathbf{y} \\ (\mathbf{h}_2^T \mathbf{h}_2)^{-1} \mathbf{h}_2^T \mathbf{y} \end{bmatrix},$$

and \mathbf{h}_i is the i th column of channel matrix \mathbf{H} , $i = 1, 2$.

Introduction

LEDs and photo diodes

VLC characteristics

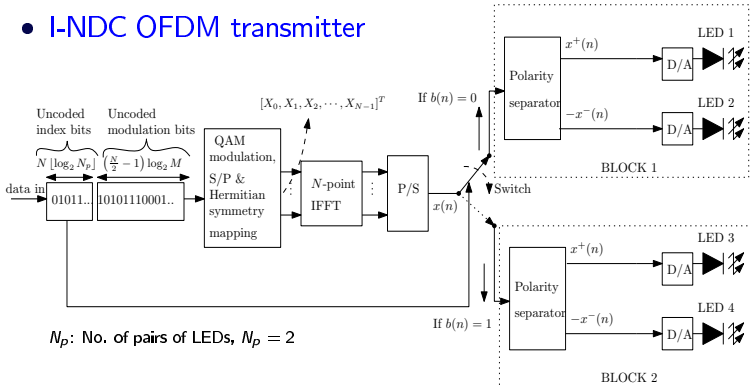
MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

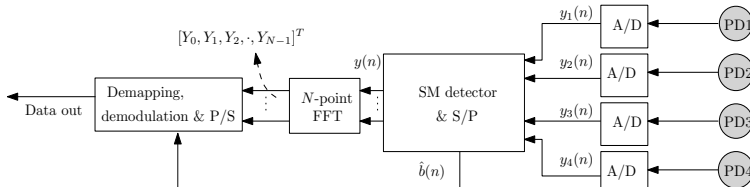
Outdoor VLC, VLC attocells

Concluding remarks

I-NDC OFDM transmitter



I-NDC OFDM receiver



- The detector output $y(n)$, $n = 0, 1, 2, \dots, N - 1$, is

$$|y(n)| = \max_{i=1,2,3,4} |z_i(n)|$$

$$\text{sign}\{y(n)\} = \begin{cases} +\text{ve,} & \text{if } \arg \max_{i=1,2,3,4} |z_i(n)| = 1 \\ -\text{ve,} & \text{if } \arg \max_{i=1,2,3,4} |z_i(n)| = 2 \\ +\text{ve,} & \text{if } \arg \max_{i=1,2,3,4} |z_i(n)| = 3 \\ -\text{ve,} & \text{if } \arg \max_{i=1,2,3,4} |z_i(n)| = 4, \end{cases}$$

where

$$\begin{bmatrix} z_1(n) \\ z_2(n) \\ z_3(n) \\ z_4(n) \end{bmatrix} = \begin{bmatrix} (\mathbf{h}_1^T \mathbf{h}_1)^{-1} \mathbf{h}_1^T \mathbf{y} \\ (\mathbf{h}_2^T \mathbf{h}_2)^{-1} \mathbf{h}_2^T \mathbf{y} \\ (\mathbf{h}_3^T \mathbf{h}_3)^{-1} \mathbf{h}_3^T \mathbf{y} \\ (\mathbf{h}_4^T \mathbf{h}_4)^{-1} \mathbf{h}_4^T \mathbf{y} \end{bmatrix},$$

and \mathbf{h}_i is the i th column of channel matrix \mathbf{H} , $i = 1, 2, 3, 4$.

Performance of NDC OFDM, I-NDC OFDM

Introduction

LEDs and
photo diodes

VLC
characteristics

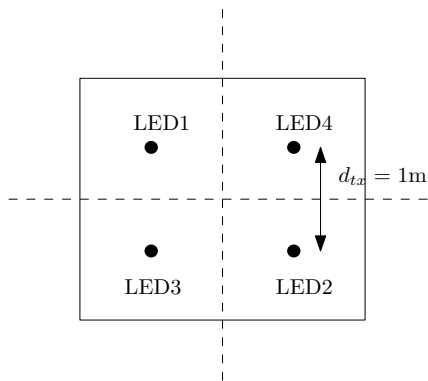
MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

- Placement of LEDs



- BLOCK 1: (LED1, LED2)
- BLOCK 2: (LED3, LED4)

NDC OFDM and I-NDC OFDM performance

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

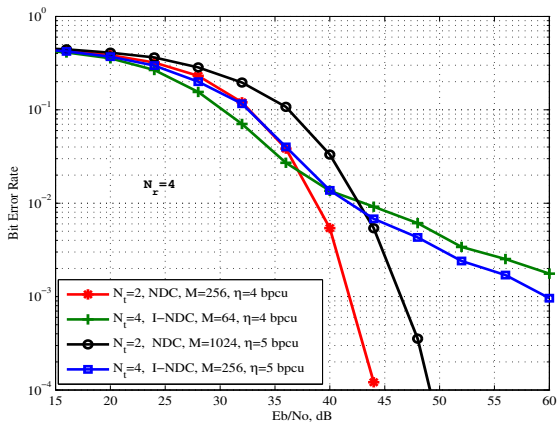


Figure : BER performance of I-NDC OFDM and NDC OFDM for $\eta = 4, 5$ bpcu, $N_r = 4$

NDC OFDM and I-NDC OFDM performance

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

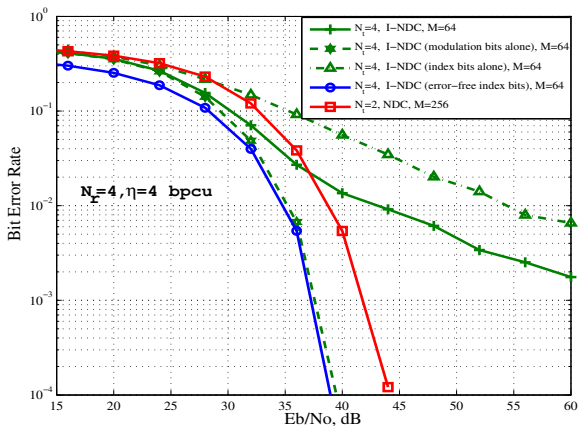


Figure : Reliability of modulation bits and index bits in I-NDC OFDM for $\eta = 4$ bpcu, $N_r = 4$

- Reliability of index bits is poor!
- Use coding for index bits

Introduction

LEDs and photo diodes

VLC characteristics

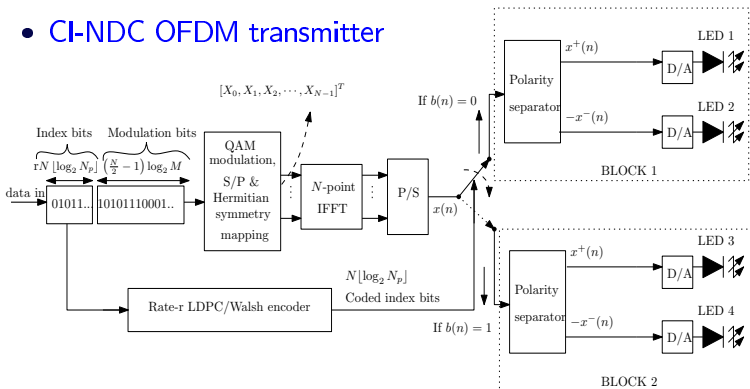
MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

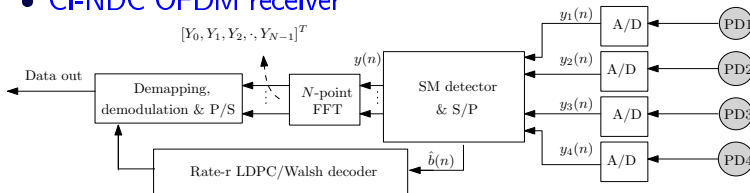
Outdoor VLC, VLC attocells

Concluding remarks

CI-NDC OFDM transmitter



CI-NDC OFDM receiver



CI-NDC OFDM performance

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

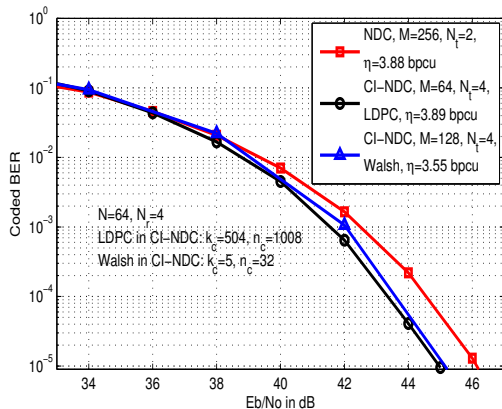


Figure : BER performance of CI-NDC OFDM and NDC OFDM at $\eta = 3.8$ bpcu, $N_r = 4$

- CI-NDC OFDM performs better than NDC OFDM

Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

Quad-LED & dual-LED complex modulation

Quad-LED complex modulation (QCM)

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- A complex modulation scheme for VLC
- Uses 4 LEDs (hence the name 'quad')
- Does not need Hermitian symmetry
- QCM signaling
 - LEDs are simultaneously intensity modulated by the magnitudes of the real and imaginary parts of a complex symbol
 - Sign information is conveyed through spatial indexing of additional LEDs
- QCM module can serve as a basic building block to bring in the benefits of complex modulation to VLC

R. Tejaswi, T. Lakshmi Narasimhan, A. Chockalingam, "Quad-LED complex modulation (QCM) for visible light wireless communications" [IEEE WCNC'16 Workshop on Optical Wireless Commun., Apr. 2016.](#)

Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

- Mapping of complex symbol $s = s_I + js_Q$ to LEDs activity in QCM

Real part s_I	Status of LEDs	Imag. part s_Q	Status of LEDs
≥ 0	LED1 emits $ s_I $ LED2 is OFF	≥ 0	LED3 emits $ s_Q $ LED4 is OFF
< 0	LED1 is OFF LED2 emits $ s_I $	< 0	LED3 is OFF LED4 emits $ s_Q $

- Example:
 - If $s = -3 + j1$, then
LED1: OFF; LED2: emits 3;
LED3: emits 1; LED4: OFF
Corresponding QCM tx. vector is $\mathbf{x} = [0 \ 3 \ 1 \ 0]^T$
- Note:
 - Two LEDs (one among LED1 and LED2, and another one among LED3 and LED4) will be ON simultaneously. Other two LEDs will be OFF

Introduction

LEDs and photo diodes

VLC characteristics

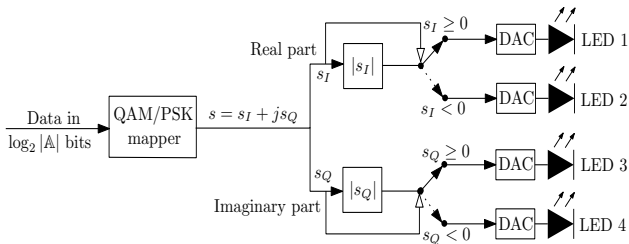
MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

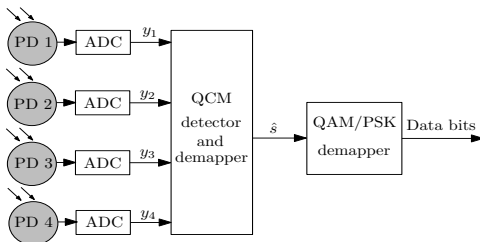
Outdoor VLC, VLC attocells

Concluding remarks

- QCM transmitter



- QCM receiver



Introduction

LEDs and photo diodes

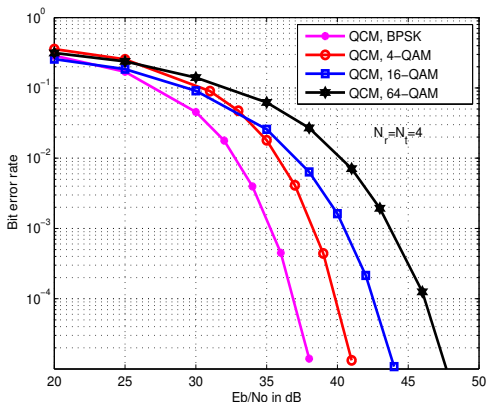
VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks



- Crossover between performance of 4-QAM and 16-QAM
 - due to multiuser detection effect - strong interferer helps

Introduction

LEDs and photo diodes

VLC characteristics

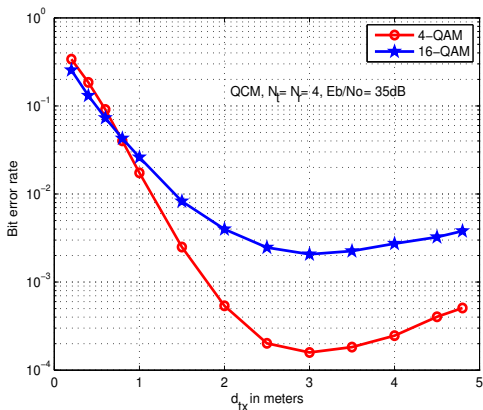
MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- Effect of varying LED spacing (d_{tx})



- optimum LED spacing
 - due to opposing effects of weak channel gain and weak channel correlation for increasing d_{tx}

QCM with phase rotation

Introduction

LEDs and photo diodes

VLC characteristics

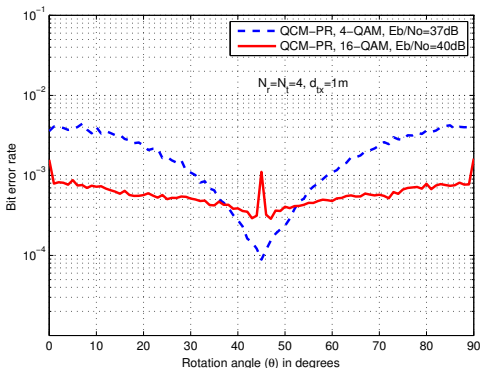
MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- Rotation of complex modulation symbols
 - known to improve performance in RF wireless
- Effect of phase rotation in QCM (QCM-PR) in VLC?



- Phase rotation helps. There is optimum rotation.

Introduction

LEDs and photo diodes

VLC characteristics

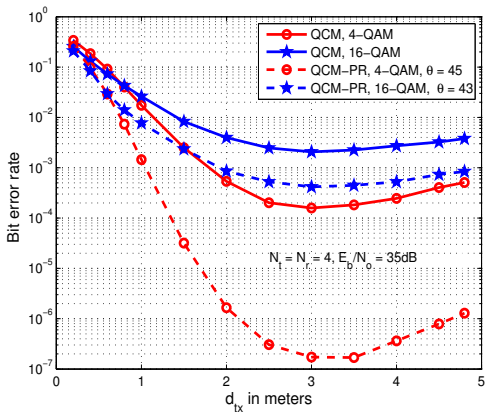
MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

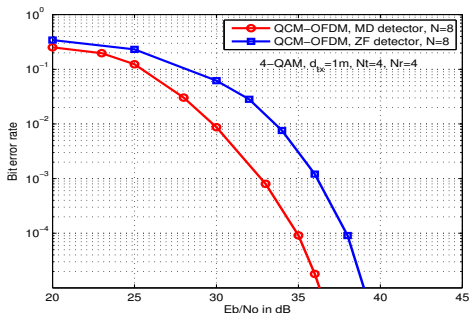
- Performance of QCM and QCM-PR (with optimum rotation) as a function of d_{tx}



Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

- OFDM signaling along with QCM (QCM-OFDM)
 - N complex symbols drive N -point IFFT
 - IFFT output vector (OFDM symbol) drives QCM transmitter block in N channel uses
 - QCM-OFDM signal detection
 - Zero-forcing (ZF), minimum distance (MD) detectors
 - Performance of QCM-OFDM



QCM, QCM-PR, QCM-OFDM

Introduction

LEDs and photo diodes

VLC characteristics

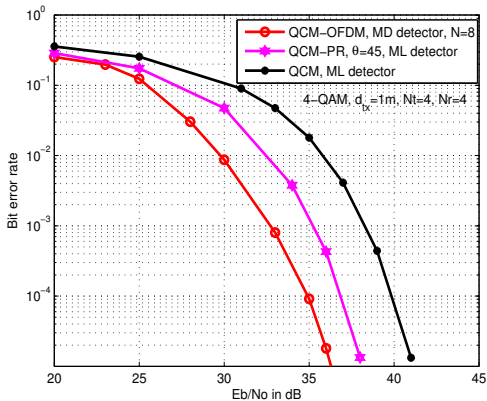
MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

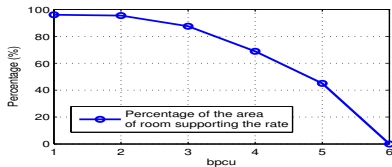
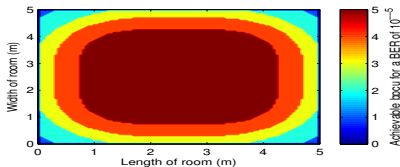
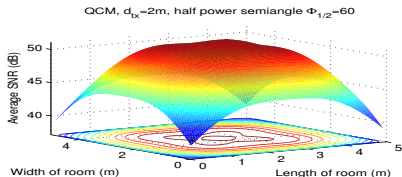
Concluding remarks

- Performance comparison between QCM, QCM-PR, QCM-OFDM



Achievable rate contours in QCM

- Spatial distribution of received SNR
- Achievable rate (in bpcu) for a given target BER (e.g., 10^{-5} BER)
- Percentage area of the room covered vs achieved rate



Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

Dual-LED complex modulation (DCM)

Introduction

LEDs and photo diodes

VLC characteristics

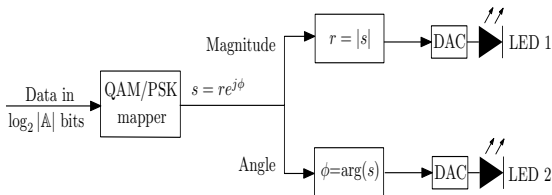
MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- Exploit representation of complex symbols in **polar coordinates**
- Adequate to convey **only the magnitude and phase** of a complex symbol $s = re^{j\phi}$, $r \in \mathbb{R}^+$, $\phi \in [0, 2\pi)$
 - only two LEDs suffice
 - no sign information to convey
- The 2×1 DCM tx. vector is $\mathbf{x} = [r \ \phi]^T$
- **DCM transmitter:**



T. Lakshmi Narasimhan, R. Tejaswi, and A. Chockalingam, "Quad-LED and Dual-LED complex modulation for visible light communications" [arXiv:1510.08805v2 \[cs.IT\]](https://arxiv.org/abs/1510.08805v2) 2 May 2016.

Introduction

LEDs and
photo diodesVLC
characteristicsMIMO,
OFDM, QCM,
DCM in VLCVLC with
lighting
constraintsOutdoor VLC,
VLC attocellsConcluding
remarks

- DCM signal detection

- The $N_r \times 1$ received signal vector is

$$\mathbf{y} = r\mathbf{H}\mathbf{x} + \mathbf{n}$$

- ML estimate of the transmit vector \mathbf{x} is

$$\hat{\mathbf{x}}_{ML} = \underset{\mathbf{x} \in \mathbb{S}_D}{\operatorname{argmin}} \|\mathbf{y} - r\mathbf{H}\mathbf{x}\|^2$$

\mathbb{S}_D : DCM signal set (all possible tx. vectors \mathbf{x})

- $\hat{\mathbf{x}}_{ML}$ is demapped to corresponding complex symbol \hat{s}_{ML}
 - \hat{s}_{ML} is demapped to get corresponding information bits
- Remark on DCM with M -PSK:
 - Only phase carries information in M -PSK (constant r)
 - 'magnitude-LED' becomes redundant
 - Can be viewed a single-LED scheme with M -PAM
 - Both LEDs matter when M -symbols undergo some pre-processing (e.g., IFFT in DCM-OFDM)

Performance of QCM and DCM

Introduction

LEDs and photo diodes

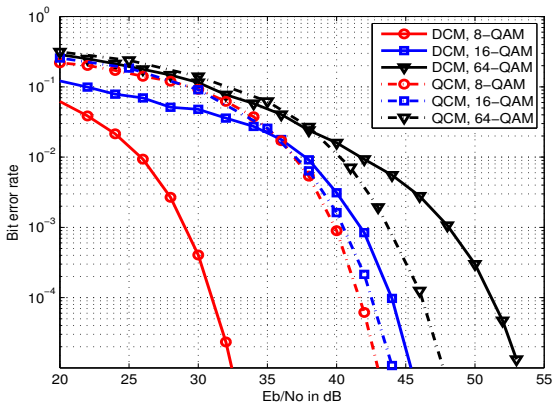
VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks



- For small sized QAM (8-QAM), DCM performs better than QCM
- For larger sized QAM (16-QAM, 64-QAM), QCM performs better

Performance of QCM and DCM

Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

Modulation alphabet	DCM	QCM	QCM-PR
8-QAM	29.2 dB	39.8 dB	39.2 dB
16-QAM	41.8 dB	40.6 dB	38.6 dB
32-QAM	45.5 dB	41.8 dB	40 dB
64-QAM	48.2 dB	43.7 dB	40.2 dB

Table : Comparison of E_b/N_0 required by DCM, QCM, and QCM-PR to achieve a BER of 10^{-3} for different M -QAM alphabets.

Performance of QCM-OFDM and DCM-OFDM

Introduction

LEDs and photo diodes

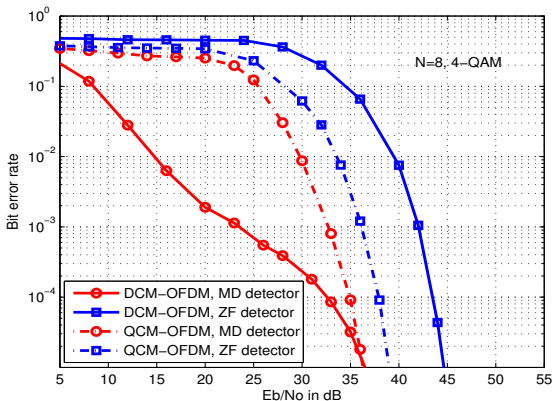
VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks



Achievable rate contours in DCM

Introduction

LEDs and photo diodes

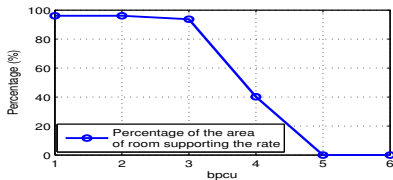
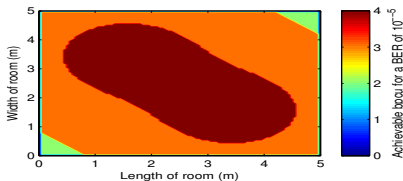
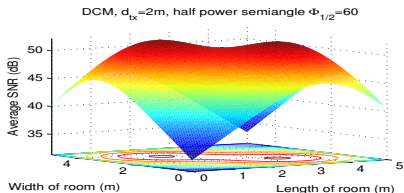
VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks



Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

VLC with lighting constraints

VLC with dimming support

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- Human eye perceives the **average intensity** (when intensity changes faster than 200 Hz)
- Need dimming support in lighting applications
 - dimming target (e.g., 75%, 50%, 25%)
- Two approaches
 - **time-domain (TD) approach**
 - adds compensation symbols of two levels (ON/OFF) within a max. flickering time period (MFTP) to match dimming target
 - **Adv:** easy to implement; **Disadv:** rate loss
 - **intensity-domain (ID) approach**
 - changes the intensity levels; also includes bias scaling (alters DC bias level), intensity distribution adaptation
 - **Adv:** high rate; suited for multi-level modulation like PAM
 - **an optimization problem formulation**
 - maximize rate w.r.t intensity level distribution

VLC with dimming support

Introduction

LEDs and
photo diodes

VLC
characteristics

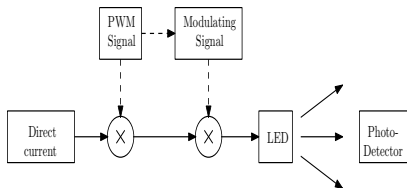
MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

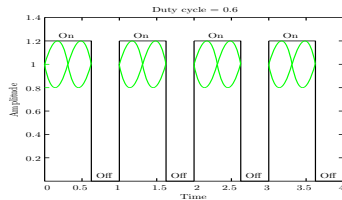
Outdoor VLC,
VLC attocells

Concluding
remarks

- Data modulation (e.g., using OFDM) with dimming control (e.g., using PWM)



(a)

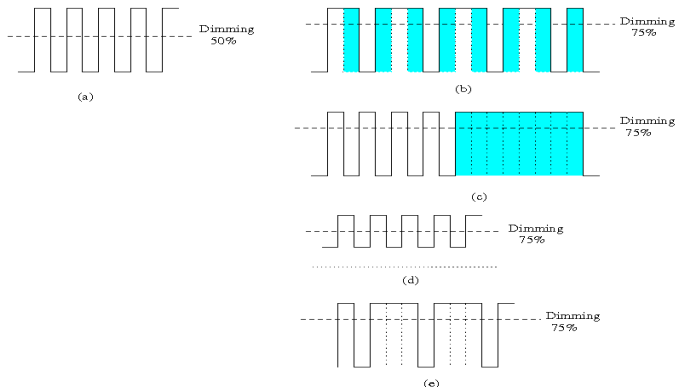


(b)

Z. Wang, W-D. Zhong, C. Yu, J. Chen, C. P. S. Francois, and W. Chen, **Performance of dimming control scheme in visible light communication system**, *Optics Express*, vol. 20, no. 17, pp. 18861-18868 (2012).

T. D. C. Little and H. Elgala, **Adaptation of OFDM under visible light communications and illumination constraints**, *Asilomar Conf. Signals, Systems, and Computers*, pp. 1739-1744, 2014.

- Examples of dimming support
 - TD approach: (b) intra-pulse insertion; (c) inter-pulse padding (IEEE 802.15.7 OOK mode uses this)
 - ID approach: (d) bias-scaling; (e) distribution adaptation



Introduction

LEDs and photo diodes

VLC characteristics

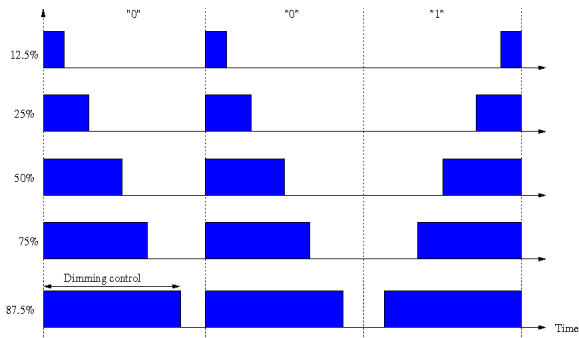
MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- PPM to support dimming control



- other PPM variants (MPPM, OPPM, VPPM)

Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

**Outdoor VLC,
VLC attocells**

Concluding
remarks

Outdoor VLC, VLC attocells

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- Vehicular communication (intelligent transportation systems)
 - a challenging and challenging outdoor VLC application
 - vehicle-to-vehicle (V2V), infrastructure-to-vehicle (I2V), vehicle-to-infrastructure (V2I)
 - Outdoor VLC elements: traffic lights, street lights, head/tail lights, etc.
- Motivation: road-safety; reduce road accidents
- Typical requirements
 - Indoor applications:
 - High data rates (Mbps-Gbps)
 - Short range (1-2 m)
 - Vehicle (outdoor) applications:
 - Relatively low data rates (Kbps)
 - Longer range (80-100 m)
 - Robustness to numerous sources of parasitic light (vehicular VLC channel is extremely noisy)

Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

- IEEE 802.11p (DSRC: Dedicated Short Range Communication)
 - standard for RF wireless access in vehicular environments
 - based on IEEE 802.11a
 - 75 MHz allotted in 5.9 GHz
 - rates: 3-27 Mbps; MAC: CSMA/CA; range: up to 1 Km
- Issues in DSRC
 - high traffic densities (numerous packet collisions, delay)
- Vehicular VLC can play a complementary role to DSRC
- IEEE 802.15.7 VLC standard - PHY I
 - intended for outdoor, long-range, low data rate applications such as I2V and V2V communication
- VLC is still an early stage technology for usage in ITS

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- Spatial reuse
 - an efficient approach to improve spectral efficiency
- **Multiple light fixtures (luminaires)** installed in large indoor environments (e.g., offices, airports, hospitals)
 - provide an opportunity to set up VLC systems with dense spatial reuse
- **Optical attocell network**
 - use each luminaire as a small base station (BS) or access point (AP)
 - smaller cell sizes compared to RF femtocells
 - uplink connection to achieve full-duplexing
 - handovers to allow users to roam within the room or an entire building
 - **co-channel interference (CCI) is a key issue**

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

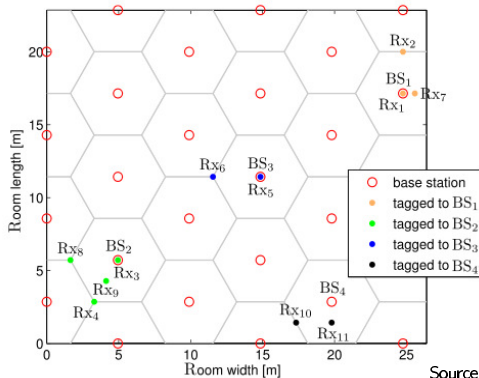
VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- An example optical attocell network

- Room size: 24m × 23m × 3m
- No. of cells: 27; Cell radius: 3.3 m



Source: Ref. [1]

[1] C. Chen, S. Videv, D. Tsonev, and H. Hass, **Fractional frequency reuse in DCO-OFDM-based optical attocell networks**, *Jl. of Lightwave Tech.*, vol. 33, no. 19, pp. 3989-4000, Oct. 2015.

Introduction

LEDs and photo diodes

VLC characteristics

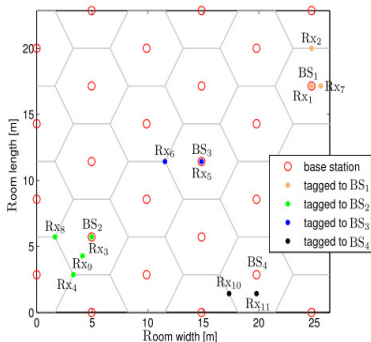
MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

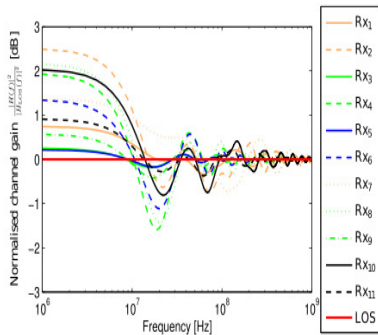
Outdoor VLC, VLC attocells

Concluding remarks

- Channel response at different receiver locations



(c)



(d)

Source: Ref. [1]

- Receivers near walls have more variation (3 dB) than receivers far off from walls (1.5 dB)
- This is because of the strong 1st order reflections by walls
- Adaptive bit loading in OFDM can compensate for this variation

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- CCI mitigation in optical attocell networks
 - resource partitioning
 - use of different wavelengths in adjacent cells
 - interference coordination based on busy-burst signaling
 - fractional frequency reuse
 - offers good balance between average spectral efficiency, cell edge performance, system complexity
- Fractional frequency reuse (FFR)
 - strict FFR
 - one common sub-band (for cell center users)
 - multiple protected sub-bands (for cell edge users)
 - soft frequency reuse (SFR)
 - different sub-band for cell edge users in each adjacent cell
 - allows center users to take edge users' sub-bands in adjacent cells

Introduction

LEDs and photo diodes

VLC characteristics

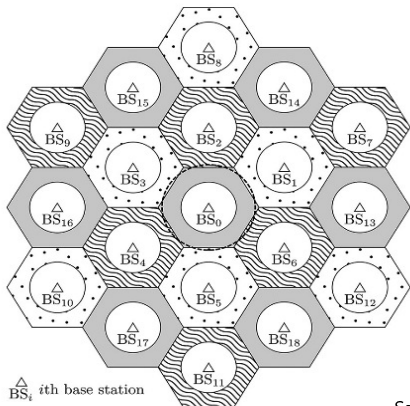
MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- FR pattern in two-layer optical attocell network model
 - pattern in edge regions: reuse factor 3



Source: Ref. [1]

- Shown to be a good model to use to estimate interference statistics and user performance in attocells

Introduction

LEDs and photo diodes

VLC characteristics

MIMO, OFDM, QCM, DCM in VLC

VLC with lighting constraints

Outdoor VLC, VLC attocells

Concluding remarks

- **Visible light** wireless communication
 - an emerging and promising complementary technology to RF communication technology
- Several **hard-to-resist** advantages
 - with matching challenges
- A fast growing area with great potential
- MIMO and OFDM techniques for VLC are promising
- QCM and DCM: **simple** and novel signaling for VLC
- **Open areas for research and innovation**
 - New VLC signaling schemes
 - Outdoor VLC issues (robustness, range, rate)
 - VLC networking issues (MAC, coverage, mobility, handovers in attocells)
- **Bright future** for VLC!

Introduction

LEDs and
photo diodes

VLC
characteristics

MIMO,
OFDM, QCM,
DCM in VLC

VLC with
lighting
constraints

Outdoor VLC,
VLC attocells

Concluding
remarks

Thank you